

**Convention on
Biological Diversity**

Distr.:
GENERAL

UNEP/CBD/SBSTTA/20/13/Add.1
UNEP/CBD/SBI/1/11/Add.1
15 February 2016

ORIGINAL: ENGLISH

SUBSIDIARY BODY ON SCIENTIFIC,
TECHNICAL AND TECHNOLOGICAL ADVICE
Twentieth meeting
Montreal, Canada, 25-30 April 2016
Item 11 of the provisional agenda*

SUBSIDIARY BODY ON IMPLEMENTATION
First meeting
Montreal, Canada, 2-5 May 2016
Item 13 of the provisional agenda**

GUIDELINES FOR THE SIXTH NATIONAL REPORT

Note by the Executive Secretary

I. INTRODUCTION

1. Parties are required by Article 26 of the Convention to submit national reports to the Conference of the Parties on measures taken for the implementation of the Convention and their effectiveness in meeting the objectives of the Convention.
2. The sixth national reports are due by 31 March 2019. Given the time required to finalize a national report, Parties are encouraged to start preparing their national report well before the deadline. The sixth national reports should provide updates since the last national report was submitted, such as additional actions/efforts made and new initiatives taken since the last national report.
3. Parties are encouraged to involve relevant stakeholders in the preparation of their national report. This includes national focal points for the Cartagena Protocol on Biosafety and the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from Their Utilization as well as the national focal points for the biodiversity-related Conventions, the Rio Conventions and other relevant international and regional conventions. Representatives of indigenous peoples and local communities, as well as representatives from relevant sectors, business, civil society organizations and non-governmental organizations should also be involved in the preparation of the national report.

II. STRUCTURE AND FORMAT OF THE REPORT

4. To facilitate the preparation of the sixth national report an online reporting tool has been developed. The online reporting tool can be accessed from <https://chm.cbd.int/>. This tool allows multiple nationally designated users to prepare the national report. In order to reduce the burden on Parties, the online reporting tool automatically fills in some of the required information. It also allows for parts of the

* UNEP/CBD/SBSTTA/20/1/Rev.1

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national report to be submitted as they are finalized or for the entire report to be submitted once all sections are complete.

5. For those Parties with limited Internet access or who prefer to submit their national reports in document form, an offline version of the reporting templates will be made available. If the national report is submitted as a PDF or similar document type, it should be accompanied by an official letter from the national focal point or a responsible senior government official. Parties not using the online reporting tool may send their sixth national report to secretariat@cbd.int.

6. The sixth national report contains six sections or modules:

- (a) Information on the targets being pursued at the national level;
- (b) Implementation measures taken, assessment of their effectiveness, and scientific and technical needs;
- (c) Assessment of progress towards each national target;
- (d) Assessment of the national contribution to the achievement of each Aichi Biodiversity Target;
- (e) Assessment of the national contribution to the achievement of each target of the Global Strategy for Plant Conservation;
- (f) Updated biodiversity country profiles.

7. To facilitate the preparation of the sixth national report, each section of the report is accompanied by a template that contains specific questions with a selection of possible answers. Space to provide narrative information to further substantiate the answers given is also provided. In addition, space is provided to include hyperlinks to other relevant sources of information, thus eliminating the need to include this information directly in the national report.

III REPORTING TEMPLATES

Section I. Information on the targets being pursued at the national level

If your country has set and/or adopted national targets or equivalent commitments related to the Strategic Plan for Biodiversity 2011-2020 please use the following template to describe them. Please complete this template for each of your country's national targets. If your country has not set or adopted any national target related to the Strategic Plan for Biodiversity 2011-2020 please indicate so in the first box and move on to section II.

National targets entered in this section will be linked with Section III so that progress in their implementation can be assessed.

<p>I. Information on the targets being pursued at the national level</p> <p><input type="checkbox"/> My country has adopted national biodiversity targets or equivalent commitments in line with the Strategic Plan for Biodiversity 2011-2020 and the Aichi Targets</p> <p>or</p> <p><input type="checkbox"/> My country has not adopted national biodiversity targets. I wish to use the Aichi Biodiversity Targets. (Move to section II. In section III, the Aichi Biodiversity Targets should be considered national targets and progress should be assessed towards their achievement in the national context.)</p>
<p>National Target (Please use the official title, if available):</p> <p><Text entry></p>
<p>Rationale for the National Target</p> <p><Text entry></p>
<p>Level of application (Please specify the level to which the target applies):</p> <p>Select level of application</p> <p><input type="checkbox"/> Regional/multilateral – please indicate area concerned <Text entry></p> <p><input type="checkbox"/> National/federal</p> <p><input type="checkbox"/> Subnational – please indicate area concerned <Text entry></p>

Relevance of National Targets to Aichi Biodiversity Targets (Links between national targets and Aichi Biodiversity Targets.)

Main related Aichi Biodiversity Targets (Note: Please tick one or more Aichi Targets to which your national target is wholly or partially related. Parties can select an entire target or a target component (not shown))

- | | | | |
|----------------------------|-----------------------------|-----------------------------|-----------------------------|
| <input type="checkbox"/> 1 | <input type="checkbox"/> 6 | <input type="checkbox"/> 11 | <input type="checkbox"/> 16 |
| <input type="checkbox"/> 2 | <input type="checkbox"/> 7 | <input type="checkbox"/> 12 | <input type="checkbox"/> 17 |
| <input type="checkbox"/> 3 | <input type="checkbox"/> 8 | <input type="checkbox"/> 13 | <input type="checkbox"/> 18 |
| <input type="checkbox"/> 4 | <input type="checkbox"/> 9 | <input type="checkbox"/> 14 | <input type="checkbox"/> 19 |
| <input type="checkbox"/> 5 | <input type="checkbox"/> 10 | <input type="checkbox"/> 15 | <input type="checkbox"/> 20 |

Other related Aichi Biodiversity Targets (Please tick one or more Aichi Biodiversity Targets to which your national target is related indirectly.)

- | | | | |
|----------------------------|-----------------------------|-----------------------------|-----------------------------|
| <input type="checkbox"/> 1 | <input type="checkbox"/> 6 | <input type="checkbox"/> 11 | <input type="checkbox"/> 16 |
| <input type="checkbox"/> 2 | <input type="checkbox"/> 7 | <input type="checkbox"/> 12 | <input type="checkbox"/> 17 |
| <input type="checkbox"/> 3 | <input type="checkbox"/> 8 | <input type="checkbox"/> 13 | <input type="checkbox"/> 18 |
| <input type="checkbox"/> 4 | <input type="checkbox"/> 9 | <input type="checkbox"/> 14 | <input type="checkbox"/> 19 |
| <input type="checkbox"/> 5 | <input type="checkbox"/> 10 | <input type="checkbox"/> 15 | <input type="checkbox"/> 20 |

or

National target has no corresponding Aichi Biodiversity Target or relates to other parts of the Strategic Plan for Biodiversity – please explain <Text entry>

Other relevant information (Please use this field to provide any other relevant information, such as the process of developing and adopting national targets, stakeholders involved and the strategies and plans in which this national target has been included.)

<Text entry>

Relevant websites, web links, and files (Please provide below websites or web links by which information related to this national target can be accessed or found, or attach documents related to this national target.)

<Add link> <Add file>

Section II. Implementation measures taken and assessment of their effectiveness, and scientific and technical needs

Using the template below, please indicate the actions your country has taken to achieve its national targets and/or to implement its national biodiversity strategy and action plan. Please also provide an assessment of the effectiveness of these actions. The template should be replicated for each of your country's national targets.

II. Implementation measures taken and assessment of their effectiveness, and scientific and technical needs
<p>Actions or measures undertaken to achieve this national target, including actions in implementation of the updated NBSAP, mainstreaming of biodiversity within and across sectors, and legislative measures taken (Parties can describe below all the actions taken to contribute to the implementation of this national target, including relevant actions taken to implement the updated NBSAP, mainstreaming of biodiversity and relevant legislations, policies, strategies and plans.)</p> <p><Text entry></p> <p>Relevant websites, web links and files (Please provide below websites, web links or documents where more information related to the implementation of this national target can be found).</p> <p><Add link> <Add file></p>
<p>For each action or measure, please indicate to which national target or targets the action contributes significantly</p> <p><Please select one or several targets> The list of targets entered in Section I will be displayed here automatically for selection</p>
<p>Assessment of the effectiveness of actions or measures taken in achieving desired outcomes:</p> <p><input type="checkbox"/> Measures taken are highly effective</p> <p><input type="checkbox"/> Measures taken are partially effective but will need time to take effect</p> <p><input type="checkbox"/> Measures taken are partially effective but insufficient in scale</p> <p><input type="checkbox"/> Measures taken are partially neutralized by other factors</p> <p><input type="checkbox"/> Measures taken are ineffective</p> <p><input type="checkbox"/> Unknown</p> <p><i>Please explain the selection and where possible indicate the tools or methodology used for the assessment</i></p> <p><Text entry></p> <p>Relevant websites, web links and files. (Please provide below websites, web links or documents where more information can be found in relation to the assessment made above).</p>

<Add link> <Add file>

Relevant documents and information, including case studies¹ to illustrate how the actions taken have resulted in (or are expected to result in) outcomes in the implementation of national targets, including relevant cases from the implementation of the updated NBSAP or the mainstreaming of biodiversity into relevant sectors:

Relevant information

<Text entry>

Relevant websites, links and files (Please provide below websites, web links or documents where such information including cases can be found)

<Add link> <Add file>

Scientific and technical needs: Are there any obstacles that may be overcome by technical and scientific cooperation, capacity development activities or the development of guidance materials?

Please describe these obstacles and needs as specifically as possible

<Text entry>

If there is documentation on these obstacles and needs assessments, please provide relevant websites, links and files

<Add link> <Add file>

¹ Proposed criteria or possible elements for cases or case studies will be included in a resource manual for the sixth national report.

Section III. Assessment of progress towards each national target

Using the template below, please assess the level of progress made towards each of your country's national targets or similar commitments. The template should be replicated for each national target. If your country has not set national targets or similar commitments please skip this section and go directly to section IV.

III. Assessment of progress towards each national target
<p>Target</p> <p><Please select one target> The list of targets entered in Section I will be displayed here automatically for selection</p>
<p>Category of progress towards the implementation of the selected target:</p> <p><input type="checkbox"/> On track to exceed target</p> <p><input type="checkbox"/> On track to achieve target</p> <p><input type="checkbox"/> Progress towards target but at an insufficient rate</p> <p><input type="checkbox"/> No significant change</p> <p><input type="checkbox"/> Moving away from target</p>
<p>Date the assessment was done:</p> <p><Date></p>
<p>Assessment summary (Please provide information on the evidence you have used to support your assessment, drawing upon relevant information provided in Section III).</p> <p><Text entry></p>
<p>Indicators and other tools used in this assessment</p> <p><i>Indicator(s) used in this assessment</i></p> <p><Indicator(s) used> Please provide a list of indicators used for the assessment above.</p> <p>Or:</p> <p><input type="checkbox"/> No indicator used</p>
<p>Please describe any other tools or means used for assessing progress</p> <p><Text entry></p>
<p>Please provide relevant web links and/or files where additional information can be found to support the assessment.</p>

<Add link> <Add file>

Level of confidence of the above assessment

- Based on comprehensive evidence
- Based on partial evidence
- Based on limited evidence

Please provide an explanation for the level of confidence indicated above.

<Text entry>

Adequacy of monitoring information to support assessment

- Monitoring related to this target is adequate
- Monitoring related to this target is partial (e.g. only covering part of the area or issue)
- No monitoring system in place
- Monitoring is not needed

Please describe the monitoring system (if it exists)

<Text entry>

Relevant websites, web links and files (please provide below websites, web links or documents related to the monitoring system described above)

<Add link> <Add file>

Section IV. Assessment of the national contribution to the achievement of each Aichi Biodiversity Target

Using the template below, please indicate your assessment of your country's contribution towards the achievement of the Aichi Biodiversity Targets. This template should be replicated for each of the Aichi Biodiversity Targets. For assessment of progress towards *Aichi Target 20*, Parties should use the financial reporting framework contained in annex II to decision XII/3: <http://www.cbd.int/decisions/cop/?m=cop-12> and accessible online at <https://chm.cbd.int>.

In this section, please report on actions taken and progress made in the achievement of the Aichi Biodiversity Targets and assess your Party's contributions to the achievement of the Aichi Biodiversity Target.

<p>IV. Assessment of the national contribution to the achievement of each Aichi Biodiversity Target</p>
<p>Aichi Biodiversity Target 1: Awareness increased</p>
<p>List of national targets with main link to this Aichi Biodiversity Target (generated automatically for each Aichi Biodiversity Target) List of national targets also related to this Aichi Biodiversity Target (generated automatically for each Aichi Biodiversity Target) Please refer to relevant information provided in Section I. Countries that have not adopted national targets can skip this box.</p>
<p>Please describe the extent to which your country has contributed to the achievement of this Aichi Biodiversity Target:</p> <p>(For Parties with national target(s) related to this target, please provide an assessment of national contributions to the achievement of this Aichi Biodiversity Target, drawing upon relevant information in Sections II and III.</p> <p>For Parties that have adopted all or some of the Aichi Biodiversity Targets as national targets or that have worked towards the achievement of the Aichi Biodiversity Targets without necessarily having adopted them as national targets, please report here on actions taken to achieve this Aichi Biodiversity Target)</p> <p><Text entry></p>
<p>Category of national contribution towards the Aichi Biodiversity Target:</p> <p><input type="checkbox"/> On track to exceed target at national level <input type="checkbox"/> On track to achieve target at national level <input type="checkbox"/> Progress towards target at national level but at an insufficient rate <input type="checkbox"/> No significant change <input type="checkbox"/> Moving away from target</p> <p>Please explain the selection above and if a change in category is anticipated in the future:</p> <p><Text entry></p>

Section V. Assessment of the national contribution to the achievement of each target of the Global Strategy for Plant Conservation

Using the template below, please indicate your assessment of your country's contribution towards the achievement of the targets of the Global Strategy for Plant Conservation. This template should be replicated for each of the 16 targets of the Global Strategy for Plant Conservation.

V. Assessment of the national contribution to the achievement of each target of the Global Strategy for Plant Conservation
Assessment of progress made towards related target of the updated Global Strategy for Plant Conservation
Does your country have a national target related to this GSPC Target?
<input type="checkbox"/> Yes. Please provide details:
<Text entry>
Or:
<input type="checkbox"/> No, there is no related national target
Please describe the extent to which your country has contributed to the achievement of this target (Parties can report on actions taken to implement this target if they are not covered in sections II, III or IV):
<Text entry>
Category of progress towards the target of the Global Strategy for Plant Conservation at the national level:
<input type="checkbox"/> On track to exceed target at national level
<input type="checkbox"/> On track to achieve target at national level
<input type="checkbox"/> Progress towards target at national level but at an insufficient rate
<input type="checkbox"/> No significant change
<input type="checkbox"/> Moving away from target
Please explain the selection above:
<Text entry>

Section VI. Updated biodiversity country profiles

Using the template below, please provide an update of your country's biodiversity profile using the text provided as a starting point and guide regarding length and level of detail. Where relevant, you can summarize information provided in previous sections. Biodiversity country profiles, which will be uploaded on the Convention's website, provide an overview of information relevant to the country's implementation of the Convention and its Protocols.

VI. Updated biodiversity country profile (update information from the version currently displayed at <https://www.cbd.int/countries>. *Note:* the text of the current version will be displayed for updating. A time stamp will be added to each section to indicate the date when the update was published.)

Biodiversity facts

Status and trends of biodiversity, including benefits from biodiversity and ecosystem services:

<Text provided for possible update>

Main pressures on and drivers of change to biodiversity (direct and indirect):

<Text provided for possible update>

Measures to enhance implementation of the Convention

Implementation of the NBSAP:

<Text provided for possible update>

Overall actions taken to contribute to the implementation of the Strategic Plan for Biodiversity 2011-2020:

<Text provided for possible update>

Support mechanisms for national implementation (legislation, funding, capacity-building, coordination, mainstreaming, etc.):

<Text provided for possible update>

Mechanisms for monitoring and reviewing implementation:

<Text provided for possible update>

Implementation of the Cartagena Protocol and related obligations under the Convention (Note: this will draw on the country profiles from the Biosafety Clearing-House <http://bch.cbd.int/protocol/parties/>)

<Text entry> or <Text provided for possible update>

Implementation of the Nagoya Protocol and related obligations under the Convention (Note: this will draw on the country profiles from the ABS Clearing-House <https://absch.cbd.int/search/countries>)

<Text entry> or <Text provided for possible update>