



CONVENTION ON BIOLOGICAL DIVERSITY

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ADVISORY COMMITTEE ON ARTICLE
8(J) AND RELATED PROVISIONS OF
THE CONVENTION ON BIOLOGICAL DIVERSITY
Montreal, 11-14 July 2005

ORGANIZATION OF WORK: ADOPTION OF THE AGENDA

Annotations to the provisional agenda

INTRODUCTION

1. In decision VII/16 E, paragraph 4, on article 8 (j) and related provisions, the Conference of the Parties decided to undertake further activities to complete phase one of the composite report on the status and trends of traditional knowledge relevant to the conservation and sustainable use of biological diversity, in order to produce a revised version of it, in consultation with and with input from Parties, governments, relevant stakeholders as appropriate, and from indigenous and local communities through the national focal points in consultation with and after approval of indigenous and local communities.
2. In paragraph 5 of the same decision, the Conference of the Parties requested the Executive Secretary to immediately start work on a second phase of the composite report, laying emphasis on sections 4 and 5 of the outline of the composite report, foreseeing respectively the identification of national processes that may threaten the maintenance, preservation and application of traditional knowledge, innovations and practices and the identification of processes at the local community level that may threaten the maintenance, preservation and application of traditional knowledge, innovations and practices.
3. The Conference of the Parties also requested the Executive Secretary “*to take action [...] to create an advisory group/steering committee in which indigenous and local communities will be represented to assist in the completion of the report and undertake a peer review of the revised version, in consultation with indigenous peoples and local community organizations that have participated in the work on the Convention*”.
4. Pursuant to this request, the Executive Secretary invited Parties, organizations and stakeholders to make nominations for the Advisory Committee. In notification 46350 of 21st December 2004, Parties were informed of the composition of the Advisory Committee.
5. As requested by the Conference of the Parties, the main purpose of the establishment of the Advisory Committee is to review phase one of the composite report, as well as the regional reports on phase two. In addition, the Executive Secretary wishes to draw upon the expertise of Advisory Committee members to seek advice and guidance regarding a number of issues under consideration under the work programme on Article 8

(j) and related provisions and which will be on the agenda of the next meeting of the Ad Hoc Open-ended Working Group on Article 8 (j) and other provisions, to be held in Granada, Spain, on 23-27 January 2006. The advice and guidance provided by the Advisory Committee will assist the Secretariat in the preparation of documentation for the meeting. These relevant issues are examined below.

6. The draft regional reports for phase two of the composite report as well as other documents for the meeting of the Advisory Committee are available at www.biodiv.org

ITEM 1. OPENING OF THE MEETING

7. The meeting will be opened by the Executive Secretary, or his representative, on Monday, 11 July 2005 at 9.30am.

ITEM 2. ORGANIZATIONAL MATTERS

2.1. Chairperson

8. The meeting will be chaired by the Secretariat representative.

2.2. Adoption of the Agenda

9. The meeting will be invited to adopt the agenda provided in document UNEP/CBD/WG8J/Advisory Committee/1/1 prepared by the Secretariat.

2.3. Organization of Work

10. The Advisory Group/Steering Committee Meeting is invited to consider the proposed organization of work for the meeting annexed to the present annotated provisional agenda. (Annex I).

11. The work will be carry out in plenary. However working groups may be set up on an ad hoc basis to consider different aspects of items 3 and 4 of the agenda.

ITEM 3. COMPOSITE REPORT ON THE STATUS AND TRENDS REGARDING TRADITIONAL KNOWLEDGE: REVIEW OF PHASE ONE AND REGIONAL REPORTS ON PHASE TWO

12. A representative of the Executive Secretary will review the objectives of the Advisory Group/Steering Committee Meeting, against the background of the programme of work on Article 8(j) and other relevant work programmes, as well as the expected outputs of the Meeting.

13. Following this introduction, each consultant will be invited to introduce his/her report regarding the regions they are covering for the Composite Report on the Status and Trends regarding the knowledge, innovations and practices of indigenous and local communities. The presentation will be followed by discussion related to the reports presented. The meeting will then be invited to break into four working groups according to regions used in phase II of the composite report (Group 1: Africa, Group 2: Asia, Australia and the Pacific, Group 3: Latin America and the Caribbean and Group 4: Western Europe and Arctic and North America) in order to discuss the issues raised. Each consultant is invited to participate in the regional group that corresponds to the mandate of its work. The draft report on registries will be considered in plenary.

14. Each working group is invited to review phase one of the composite report as well as the regional reports on phase two presented by the consultants. It is expected that the working groups will consider, refine and enrich the information presented in order to produce a revised version of phase I and to contribute to its second phase. The working groups should also consider whether the regional reports address all priority issues

as requested by the Conference of the Parties (Decision VII/16E), especially sections 4 and 5 of the outline of the composite report, foreseeing respectively the identification of national processes that may threaten the maintenance, preservation and application of traditional knowledge, innovations and practices and the identification of processes at the local community level that may threaten the maintenance, preservation and application of traditional knowledge, innovations and practices (decision VI/10, annex I).

15. The Working Groups are invited to provide advice and make improvements to the draft reports as needed, while adhering to the guidance given by the Conference of the Parties.

16. After concluding their discussions, the working groups will be invited to report back to the plenary to ensure consistency of approaches among regions for both review of phase one of the composite report as well as the regional reports on phase two.

ITEM 4. ELEMENTS FOR A PLAN OF ACTION FOR THE RETENTION OF KNOWLEDGE, INNOVATIONS AND PRACTICES OF INDIGENOUS AND LOCAL COMMUNITIES

17. Under this agenda item, the representative of the Secretariat will introduce elements of a possible plan of action for the retention of traditional knowledge, innovations and practices of indigenous and local communities as contained in COP 7, Decision 16/E, paragraphs 8-9, Annex, copy of which is attached as Annex III. The action plan aims to identify actors and timeframes, taking into account ongoing work under the Convention and relevant organizations, to facilitate synergy between existing initiatives aimed at halting the loss of and encouraging the retention and use of TK, and to provide further guidance for the implementation of the programme of work on the implementation of Article 8j and related provisions taking into account elements identified in the annex.

18. The participants will be invited to further elaborate on proposals for the Plan of Action contained in this agenda item, notably by providing specific timeframes and objectives and may also identify priority issues for the plan of action in light of the specific characteristics of the knowledge, innovations and practices of indigenous and local communities.

ITEM 5. MECHANISMS FOR THE PARTICIPATION OF INDIGENOUS AND LOCAL COMMUNITIES IN THE WORK OF THE CONVENTION: CRITERIA FOR THE OPERATION OF THE VOLUNTARY FUNDING MECHANISM.

19. Under this item, Committee members will be invited to initiate discussion on mechanisms for the participation of indigenous and local communities in the work of the convention. A representative of the Executive Secretary of the Convention on Biological Diversity will review the establishment of the Voluntary Funding Mechanism (as per COP 7 Decision 16/G, paragraph 10), followed by discussions related to possible selection criteria for indigenous and local communities representatives, as requested by COP 7, Decision 16/G. Discussions may also include other participatory mechanisms including the development of information sharing-tools such as the TK Web Portal aimed to promote awareness and enhance access by indigenous and local communities to information on issues relating to Article 8(j) and related provisions of the Convention.

20. Drawing on the presentation and the discussion, the participants will be invited provide advice with regard to identifying criteria for the selection of participants from indigenous and local communities and the operation of the Fund, for the eventual consideration of WG8j and the COP 8. In developing these mechanisms one should bear in mind: 1) that traditional knowledge is a cross-cutting issue of the Convention, 2) the work carried out in the framework on thematic areas of the Convention that are relevant for indigenous and local communities and 3) the implementation of the objectives of the Convention in traditional knowledge.

ITEM 6. DEVELOPMENT OF ELEMENTS OF *SUI GENERIS* SYSTEMS FOR THE PROTECTION OF TRADITIONAL KNOWLEDGE, INNOVATIONS AND PRACTICES.

21. As per COP 7 Decision 16/H, paragraphs 1-11, under this agenda item, the representative of the Secretariat will introduce an initial overview of relevant material/s regarding *sui generis* systems for the protection of traditional knowledge, innovations and practices.

22. The participants will be invited to elaborate on the development of elements of *sui generis* systems, taking into account the specific characteristics of the knowledge, innovations and practices of indigenous and local communities and bearing in mind that the issue of *sui generis* systems is related to the negotiation of an international regime on access and benefit sharing. In particular, participants will be requested to identify any relevant information on innovative policy, administrative and legislative measures that are supportive of customary laws and practices (as requested by COP Decision VII/16, Annex VI H).

ITEM 7. ETHICAL CODE OF CONDUCT TO ENSURE RESPECT FOR THE CULTURAL AND INTELLECTUAL HERITAGE OF INDIGENOUS AND LOCAL COMMUNITIES

23. As per COP 7 Decision 16/E, Annex C, under this agenda item, the representative of the Secretariat will submit for consideration by the participants a draft code of ethics/conduct to guide further research on the retention and use of traditional knowledge, innovations and practices of indigenous and local communities, embodying traditional lifestyles relevant to the conservation and sustainable use of biological diversity.

24. The participants will be invited to further elaborate on the draft presented, taking into account the outcomes of their work under item 3. In particular, participants will be encouraged to review and enrich the draft proposal in light of the specific characteristics of the knowledge, innovations and practices of indigenous and local communities.

ITEM 8. INDICATORS FOR ASSESSING PROGRESS TOWARDS THE 2010 BIODIVERSITY TARGET: STATUS OF TRADITIONAL KNOWLEDGE, INNOVATIONS AND PRACTICES

25. To initiate discussion, a representative of the Executive Secretary of the Convention on Biological Diversity will review the objective of this agenda item in connection with the ongoing work on indicators under the Convention, as per COP 7 Decision 30, Annex 1 and II (attached to this annotated agenda as Annex IV). The presentation is followed by discussion on possible indicators for the retention and use of TK, including status and trends of linguistic diversity and numbers of speakers of indigenous languages, as well as with the view to identifying further possible indicators.

26. The participants will be invited to further elaborate on the issue, taking into account the outcomes of their work under item 3 and assessing the importance of establishing indicators to assess the success or failure of measures to promote or preserve traditional knowledge, innovations and practices.

ITEM 9. OTHER MATTERS

27. Under this item, participants will be invited to raise other matters related to the subject matter of the meeting.

ITEM 10. ADOPTION OF THE REPORT

28. The Advisory Group/Steering Committee will consider and adopt its report, on the basis of a draft to be presented by the Secretariat representative.

ITEM 11. CLOSURE OF THE MEETING

29. The meeting is expected to close at 5 p.m. on Thursday, 14 July 2005.

*Annex I*DOCUMENTS FOR THE ADVISORY COMMITTEE ON ARTICLE 8(J) AND RELATED PROVISIONS OF
THE CONVENTION ON BIOLOGICAL DIVERSITY

<i>Symbol</i>	<i>Title</i>
<i>A. Working documents</i>	
UNEP/CBD/WG8J/Advisory Committee/1/1	Provisional agenda
UNEP/CBD/WG8J/Advisory Committee/1/1/Add.1	Annotated provisional agenda
UNEP/CBD/WG8J/3/4	Executive Summary - Report on the first phase of the composite report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities relevant to the conservation and sustainable use of biological diversity.
UNEP/CBD/WG8J/4/Africa/1	Phase Two - Composite report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities relevant to the conservation and sustainable use of biological diversity – African region (Working Draft only)
UNEP/CBD/WG8J/4/Artic/1	Phase Two - Composite report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities relevant to the conservation and sustainable use of biological diversity – Arctic region (Working Draft only)
UNEP/CBD/WG8J/4/Asia/1	Phase Two - Composite report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities relevant to the conservation and sustainable use of biological diversity – Asian region (Working Draft only)
UNEP/CBD/WG8J/4/LAC/1	Phase Two - Composite report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities relevant to the conservation and sustainable use of biological diversity – Latin America, Central America and the Caribbean region (Working Draft only)
UNEP/CBD/WG8J/4/North America/1	Phase Two - Composite report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities relevant to the conservation and sustainable use of biological diversity – North American region (Working Draft only)
UNEP/CBD/WG8J/4/Pacific/1	Phase Two - Composite report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities relevant to the conservation and sustainable use of biological diversity – Pacific region (Working Draft only)

UNEP/CBD/WG8J/4/Registers/1 Phase Two - Composite report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities relevant to the conservation and sustainable use of biological diversity – the advantages and limitations of registers as a measure to protect traditional knowledge, innovations and practices – Working Draft only.

B. Background/information documents (by region)

African Region

UNEP/CBD/WG8J/3/INF/3 Composite Report on the status and trends regarding the knowledge, Innovations and practices of indigenous and local communities.
Regional Report: Africa

UNEP/CBD/WG8J/RW/Africa/1 Report of the African regional meeting on the composite report on traditional knowledge (New York, 21-22 April 2005)

Australia, Asia and the Middle East

UNEP/CBD/WG8J/3/INF/4 First Phase of the Australia, Asia and the Middle East - Regional Report on the status and trends concerning the knowledge, innovations and practices of indigenous and local communities relevant to the conservation and sustainable use of biological diversity.

UNEP/CBD/WG8J/RW/Asia/1 Report of the Asian regional meeting on the composite report on traditional knowledge (Baguio City, Philippines, 28-30 April 2005)

Latin America and Caribbean Region

UNEP/CBD/WG8J/3/INF/10 Composite Report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities.
Regional Report: South America

UNEP/CBD/WG8J/3/INF/10/CORR1 Composite Report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities.
Regional Report: South America - Corrigendum

UNEP/CBD/WG8J/3/INF/6 Composite Report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities.
Regional Report: Central America

UNEP/CBD/WG8J/3/INF/6/CORR1 Composite Report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities.
Regional Report: Central America – Corrigendum

UNEP/CBD/WG8J/3/INF/5 Composite Report on the status and trends regarding the knowledge,

innovations and practices of indigenous and local communities.
Regional Report: Caribbean

UNEP/CBD/WG8J/3/INF/5/CORR1

Composite Report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities.
Regional Report: Caribbean - Corrigendum

UNEP/CBD/WG8J/RW/LAC/1

Report of the Latin America and the Caribbean regional meeting on the composite report on traditional knowledge (New York, United States of America, 14-15 May 2005)

North America

UNEP/CBD/WG8J/3/INF/8

Composite report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities. Regional report: North America

Pacific Region

UNEP/CBD/WG8J/3/INF/9

Composite Report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities.
Regional Report: Pacific.

Europe and Russia

UNEP/CBD/WG8J/3/INF/7

Composite report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities.
Regional report: Europe and Russia

Annex II

PROPOSED ORGANIZATION OF WORK FOR THE FOR THE ADVISORY COMMITTEE ON ARTICLE 8(J) AND RELATED PROVISIONS OF THE CONVENTION ON BIOLOGICAL DIVERSITY

	<i>Plenary</i>	<i>Notes</i>
<i>Monday</i> 11 June 2005 9.30 am to 12.30 p.m.	1. Opening of the meeting; 2. Organizational matters: 2.1 Election of Officers; 2.2 Adoption of the Agenda; 2.3 Organization of Work. 2.4 Background and review of the elements of the work programme of Article 8j and of the First Phase of the Composite Report.	1. Meeting opened by the Executive Secretary of the CBD or his representative; 2. The programme officer will assist as Rapporteur; A representative of the SCBD will act as chairperson. 2.4 Power-Point presentation on CBD and Article 8j by Programme Officer for Traditional Knowledge, will be followed by a review of the objective of the Advisory Group/Steering Committee, the background and relevant Convention processes and decisions, including an overview of the composite report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities, relevant to the conservation and sustainable use of biodiversity. The presentation is followed y discussion related to the topics presented.
2.00 – 5.30 p.m.	3. Composite report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities relevant to the conservation and sustainable use of biodiversity: review of draft regional reports.	3. Presentations by the regional consultants of the regional reports. The order of the presentations is as follows: All regions (Phase I, revised version – the use of registers); Africa; Asia and Australia; Arctic; Latin, Central America and the Caribbean, North America and the Pacific. This will be followed by a question and answer period and discussions.
<i>Tuesday</i> 12 June 2005 9.00 am. to 12.30 pm	3. Composite report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities relevant to the conservation and sustainable use of biodiversity: review of draft regional reports.	3. Finalization of presentations by the regional consultants of the regional reports. The meeting is then invited to break into four working groups according to the regions used for the purpose of the regional reports. Each consultant is invited to participate in the regional group that corresponds to the mandate of its work. Each group is invited to review phase one of the composite report as well as the regional reports on phase two presented by the consultants.

	<i>Plenary</i>	<i>Notes</i>
<i>Tuesday</i> 12 June 2005 1.00 p.m. to 5.30 pm.	3. Composite report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities relevant to the conservation and sustainable use of biodiversity: review of draft regional reports.	3 Plenary will be re-convened to allow working groups to report on progress and draft recommendations.
<i>Wednesday</i> 13 June 2005 9.00 am to 12.30 pm	4. Elements for a plan of action for the retention of knowledge, innovations and practices of indigenous and local communities.	Item 4 will also take the form of group work with various experts brainstorming on possible elements of a plan of action, followed by the Group Leaders reporting the outcomes back to the broader meeting/plenary. These groups can be formed on a regional level to provide a commonality of experience.
<i>Wednesday</i> 13 June 2005 2.00 – 5.30 p.m.	5. Participatory Mechanisms for the participation of indigenous and local communities in the work of the Convention, including criteria for the operation of the Voluntary fund Identification and assessment of measures and initiatives to protect, promote and facilitate the use of Traditional Knowledge; 6. Development of elements of sui generis systems for the protection of traditional knowledge.	Item 5 will take the form of plenary discussions based on the draft document concerning participatory processes and will then open for a discussion, proposals and recommendations. Item 6 will take the form plenary discussions based on the draft document concerning sui generis systems and will then open for a discussion, proposals and recommendations.
<i>Thursday</i> 14 June 2005 9.0 a.m. to 12.30 p.m.	7. Ethical code of conduct to ensure respect for the cultural and intellectual heritage of indigenous and local communities.	Item 7 will take the form of plenary discussions based on the draft document concerning an ethical code of conduct and will then open for a discussion, proposals and recommendations.
2.00 pm – 5.30 p.m.	8. Indicators for assessing progress towards the 2010 biodiversity target:	Item 8 will take the form of plenary discussions based on the draft document concerning possible indicators towards the 2010 biodiversity target: status of

	<i>Plenary</i>	<i>Notes</i>
	status of traditional knowledge, innovations and practices; 6. Adoption of the Report/Recommendations; 7. Closure of meeting.	traditional knowledge, innovations and practices and will then open for a discussion, proposals and recommendations.

Annex III**DECISION VII/16*****A. Article 8(j) and related provisions*****E. Composite report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities relevant to the conservation and sustainable use of biodiversity**

The Conference of the Parties,

Mindful that any information-gathering exercise pertaining to knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity should be conducted with the prior informed consent of the holders of such knowledge, innovations and practices,

Taking note of the report of the Ad Hoc Technical Expert Group on Biodiversity and Climate Change, which stresses the special impact of climate change on Arctic biodiversity and indigenous and local communities depending on such biodiversity,

Recalling that, by decision VI/10, the Conference of the Parties decided to adopt the outline of the composite report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity, and to request the Executive Secretary to undertake the first phase of the composite report based on elements 1 and 2 of the outline,

Having considered the report on the first phase of the composite report on the status and trends of knowledge, innovations and practices, of indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity,

Recalling that phase I of the composite report is intended to provide an accurate and comprehensive assessment of the state of retention of traditional knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity and identify and assess measures and initiatives to protect and promote the use of traditional knowledge, innovations and practices,

Recognizing the conceptual and methodological challenges and financial and time constraints faced in preparing the composite report as foreseen in decision VI/10,

Acknowledging that further activities are necessary in phase one of the preparation of the composite report in order to address gaps and deficiencies,

Also acknowledging the desirability of ongoing information-gathering and sharing of knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant

for the conservation and sustainable use of biological diversity, particularly for the purpose of judging the collective success at reversing the decline of such knowledge, innovations and practices,

Emphasizing that any further activity needed under the first phase of the composite report should not prevent immediate initiation of activities under a second phase of the composite report, based upon sections 3 to 7 of the outline of the composite report (decision VI/10, annex I),

Phase one

1. *Takes note* with appreciation of the information prepared for the third meeting of the Open-ended Inter-Sessional Working Group on Article 8(j) and Related Provisions of the Convention on Biological Diversity (UNEP/CBD/WG8J/3/INF/1);

2. *Decides* to undertake further activities to complete phase one of the composite report by the next meeting of the Ad Hoc Open-ended Inter-Sessional Working Group on Article 8(j) and Related Provisions,

3. *Urges* Parties, Governments, relevant organizations, indigenous and local communities and all relevant stakeholders to provide information, through the clearing-house mechanism of the Convention and other feasible means/media, to the Executive Secretary to support the completion of phase one of the composite report,

4. *Requests* the Executive Secretary to continue work on phase one of the composite report in order to produce a revised version of it, in consultation with and with input from Parties, Governments, relevant organizations, all relevant stakeholders as appropriate, and from indigenous and local communities through the national focal points in consultation with and after approval of indigenous and local communities, taking into account the following elements, *inter alia*:

a. Organization of regional workshops;

b. Gathering at national level and inclusion in phase I of the report of additional information in particular on:

(i) Assessment, particularly by indigenous and local communities, of the success of measures and initiatives to support the retention and use of knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity, including the advantages and limitations of registers as a measure to protect traditional knowledge, innovations and practices, as well as approaches used for the implementation of registers, and of incentives and disincentives for the retention and use of traditional knowledge, innovations and practices relevant to the conservation and sustainable use of biological diversity;

(ii) Examples of measures to protect traditional knowledge, innovations and practices;

(iii) Recent field studies undertaken with the full involvement of indigenous and local communities, which demonstrate the state of knowledge, innovations and practices;

c. Preparation of a regional report focusing on the Arctic region;

d. Action on decision VI/10, annex I, paragraph 28 (b), to create an advisory group/steering committee in which indigenous and local communities will be represented to assist in the completion of the report and undertake a peer review of the revised version, in consultation with indigenous peoples and local community organizations that have participated in the work on the Convention.

Phase two

5. *Requests* the Executive Secretary, through the national focal points in consultation with and after approval of indigenous and local communities and in consultation with and with input from Parties, Governments, relevant organizations, indigenous and local communities and all relevant stakeholders as appropriate, to immediately start work on a second phase of the composite report, laying emphasis on sections 4 and 5 of the outline of the composite report, foreseeing respectively the identification of national processes that may threaten the maintenance, preservation and application of traditional knowledge, innovations and practices and the identification of processes at the local community level that may threaten the maintenance, preservation and application of traditional knowledge, innovations and practices (decision VI/10, annex I);

6. *Encourages* Parties, Governments and competent organizations to support the efforts of indigenous and local communities to undertake field studies to determine the status, trends and threats related to the knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity, with the full involvement and approval of these communities. In undertaking these studies, the general principles of the programme of work on Article 8(j) and related provisions should be respected and followed, as should paragraph 28 (d) of annex I to decision VI/10, which states that codes of ethics/guidelines which entail permission and/or consent of indigenous and local communities to enter the communities and conduct the research, will be respected and followed;

Financial support

7. *Urges* Parties, Governments and relevant organizations to provide financial assistance for the completion of phase one and activities under phase two of the preparation of the composite report, in particular in order to support the full involvement and participation of indigenous and local communities in this work;

Development of a plan of action

8. *Requests* the Executive Secretary, through the national focal points in consultation with and after approval of indigenous and local communities, to further develop the draft elements for an action plan

contained in the annex to the present recommendation, in particular with a view to identifying actors and timeframes, taking fully into account ongoing work under the Convention and relevant international organizations. The action plan would aim to:

(a) Facilitate synergy between existing initiatives aimed at halting the loss of and encouraging the retention and use of knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity;

(b) Provide further practical guidance, respectful of indigenous and local communities' perspectives, for the implementation of the programme of work on the implementation of Article 8(j) and related provisions as it relates to the retention of knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity.

9. *Invites* Parties, Governments and relevant organizations to take the elements identified in the annex into consideration when deciding upon activities to protect traditional knowledge, innovations and practices.

Annex

ELEMENTS OF A PLAN OF ACTION FOR THE RETENTION OF TRADITIONAL KNOWLEDGE, INNOVATIONS AND PRACTICES OF INDIGENOUS AND LOCAL COMMUNITIES EMBODYING TRADITIONAL LIFESTYLES RELEVANT FOR THE CONSERVATION AND SUSTAINABLE USE OF BIOLOGICAL DIVERSITY

A. Improved monitoring and reporting process

1. National reports on Article 8(j) should be compiled by Parties in consultation with indigenous and local communities, based on a questionnaire to be produced by the Secretariat.
2. Reporting periods should be agreed upon and reviews of the status and trends of traditional knowledge, innovations and practices should be pursued on a regular basis.
3. Mobilization of resources to undertake regular review should be promoted.
4. Mechanisms should be established to encourage representatives of indigenous groups and local communities to present information under the Convention on Biological Diversity, including capacity building and incentive measures.
5. Mechanisms should be developed to ensure input from overseas territories and autonomous or semi-autonomous regions. [\[56\]](#)
6. The thematic focal point on Article 8(j) under the clearing-house mechanism should be utilized to catalogue and share best.
7. A survey of current activities of international organizations relevant to Article 8(j) should be undertaken with view to developing synergy.

B. Indicators

8. Indicators on the state of retention of traditional knowledge, innovations and practices should be established with the active involvement of indigenous and local communities, in consultation with relevant organizations, in connection with the ongoing work on indicators under the Convention.
9. Indicators to assess the success or failure of measures to promote or preserve traditional knowledge, innovations and practices should be established, with the active involvement of indigenous and local communities, in connection with the ongoing work on indicators under the Convention.
10. Information on legislative measures to protect traditional knowledge, innovations and practices as drawn from regional and national reports, should be kept up to date.

C. Research ethics

11. Examples of codes of ethics and conduct governing research as used by such bodies as research institutions, business and indigenous and local communities, should be gathered with a view to assisting in future possible development of codes of ethics or conduct, and to guide further research on the retention and use of traditional knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant to the conservation and sustainable use of biological diversity;
12. Parties, Governments, international organizations, research institutions and business should respect and promote existing codes of ethics or conduct governing research, and Parties, Governments and relevant organizations should facilitate the development of additional codes by indigenous and local communities where none exist.

D. Research on and implementation of mechanisms and measures to address the underlying causes of the decline of traditional knowledge, innovations and practices

13. Research should be undertaken on existing and new threats to the retention and use of traditional knowledge, innovations and practices.
14. Mechanisms to promote cooperation in order to address the cause of decline should be identified in cooperation with the Permanent Forum on Indigenous Issues of the United Nations and other relevant initiatives and organizations.
15. Parties should be encouraged, in accordance with national domestic law and international obligations, to recognize land tenure of indigenous and local communities, as recognized rights and access to land are fundamental to the retention of traditional knowledge, innovations and practices.
16. Subject to national legislation and international obligations, Parties should be encouraged to pursue the fair and equitable resolution of land claims as an essential element of efforts to facilitate the retention and use of traditional knowledge, innovations and practices.
17. Indigenous and local communities should, where relevant, be actively involved in the management of protected areas.

18. The rights of indigenous and local communities should be given due respect when establishing new protected areas.
19. Parties should establish measures to ensure respect for the rights of unprotected or voluntarily isolated communities.
20. Restrictions on use of and access to sacred sites or otherwise culturally significant sites should be incorporated into appropriate local or national legislation, in consultation with and with full involvement of indigenous and local communities.
21. Legislation intended to protect, promote the use of traditional knowledge, innovations and practices should be consistent with the needs and views of indigenous and local communities, comprehensive and enforceable.
22. Parties should be encouraged to work with indigenous and local communities to develop measures and mechanisms to mitigate the consequences of actions arising from perverse incentives leading to the decline of knowledge, innovations and practices embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity.
23. Parties should share their experiences with incentive measures as well as other mechanisms and measures to support the retention and use of knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant to the conservation and sustainable use of biological diversity.
24. Mobilization of financial and technical resources should be promoted to support the design and implementation of mechanisms and measures to support retention of knowledge, innovations and practices embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity.

E. Capacity-building, education and training

25. Parties, Governments and relevant organizations should be encouraged to facilitate strengthening existing indigenous organizational structures and organizations representing local communities.
26. Specific capacity-building activities should be targeted at indigenous women and women in rural or otherwise marginal communities, and at traditional knowledge, innovations and practices.
27. Where appropriate, traditional knowledge, innovations and practices should be integrated into formal, local, subnational or national systems of education, which are directed towards local or indigenous communities.
28. Education and training should be offered to indigenous and local communities with special attention to the future role of young people so as to enable sustainable development, while being compatible with their traditions.
29. Parties, Governments and relevant stakeholders should be encouraged to learn about knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity and to incorporate it in decision-making processes.

Annex IV

DECISION VII/30

B. Strategic Plan: future evaluation of progress

The Conference of the Parties,

Review and evaluation

Recognizing the need to: (i) facilitate assessment of progress towards the 2010 target, and communication of this assessment; (ii) promote coherence among the various programmes of work of the Convention; and (iii) provide a flexible framework within which national and regional targets may be set, and indicators identified, where so desired by Parties; as well as (iv) the need for a mechanism to review implementation of the Convention,

Recalling the statement in the Johannesburg Plan of Implementation that a more efficient and coherent implementation of the three objectives of the Convention and the achievement by 2010 of a significant reduction in the current rate of loss of biological diversity will require the provision of new and additional financial and technical resources to developing countries,

Annex I

PROVISIONAL INDICATORS FOR ASSESSING PROGRESS TOWARDS THE 2010 BIODIVERSITY TARGET

A: Focal area	B: Indicator for immediate testing	C: Possible indicators for development by SBSTTA or Working Groups
Status and trends of the components of biological diversity	Trends in extent of selected biomes, ecosystems and habitats	
	Trends in abundance and distribution of selected species	
		Change in status of threatened species (Red List indicator under development)
		Trends in genetic diversity of domesticated animals, cultivated plants, and fish species of major socioeconomic importance
	Coverage of protected areas	
Sustainable use		Area of forest, agricultural and aquaculture ecosystems under sustainable management
		Proportion of products derived from sustainable sources
Threats to biodiversity	Nitrogen deposition	
		Numbers and cost of alien invasions
Ecosystem integrity and ecosystem goods and services	Marine trophic index	Application to freshwater and possibly other ecosystems
		Connectivity/fragmentation of ecosystems
		Incidence of human-induced ecosystem failure

A: Focal area	B: Indicator for immediate testing	C: Possible indicators for development by SBSTTA or Working Groups
		Health and well-being of people living in biodiversity-based-resource dependent communities
	Water quality in aquatic ecosystems	
		Biodiversity used in food and medicine
Status of traditional knowledge, innovations and Practices	Status and trends of linguistic diversity and numbers of speakers of indigenous languages	Further indicators to be identified by WG-8j
Status of access and benefit-sharing		Indicator to be identified by WG-ABS
Status of resource transfers	Official development assistance provided in support of the Convention (OECD-DAC-Statistics Committee)	
		Indicator for technology transfer

Annex II

Provisional framework for goals and targets

Protect the components of biodiversity

Goal 1. Promote the conservation of the biological diversity of ecosystems, habitats and biomes

Target 1.1: At least 10% of each of the world's ecological regions effectively conserved.

Target 1.2: Areas of particular importance to biodiversity protected

Goal 2. Promote the conservation of species diversity

Target 2.1: Restore, maintain, or reduce the decline of populations of species of selected taxonomic groups

Target 2.2: Status of threatened species improved.

Goal 3. Promote the conservation of genetic diversity

Target 3.1: Genetic diversity of crops, livestock, and of harvested species of trees, fish and wildlife and other valuable species conserved, and associated indigenous and local knowledge maintained.

Promote sustainable use

Goal 4. Promote sustainable use and consumption.

Target 4.1: Biodiversity-based products derived from sources that are sustainably managed, and production areas managed consistent with the conservation of biodiversity.

Target 4.2: Unsustainable consumption, of biological resources, or that impacts upon biodiversity, reduced

Target 4.3: No species of wild flora or fauna endangered by international trade

Address threats to biodiversity

Goal 5. Pressures from habitat loss, land use change and degradation, and unsustainable water use, reduced.

Target 5.1: Rate of loss and degradation of natural habitats decreased

Goal 6. Control threats from invasive alien species

Target 6.1: Pathways for major potential alien invasive species controlled.

Target 6. 2: Management plans in place for major alien species that threaten ecosystems, habitats or species.

Goal 7. Address challenges to biodiversity from climate change, and pollution

Target 7.1: Maintain and enhance resilience of the components of biodiversity to adapt to climate change

Target 7.2: Reduce pollution and its impacts on biodiversity

Maintain goods and services from biodiversity to support human well-being

Goal 8. Maintain capacity of ecosystems to deliver goods and services and support livelihoods

Target 8.1: Capacity of ecosystems to deliver goods and services maintained.

Target 8.2: biological resources that support sustainable livelihoods, local food security and health care, especially of poor people maintained

Protect traditional knowledge, innovations and practices

Goal 9 Maintain socio-cultural diversity of indigenous and local communities

Target 9s.1 Protect traditional knowledge, innovations and practices

Target 9.2: Protect the rights of indigenous and local communities over their traditional knowledge, innovations and practices, including their rights to benefit sharing

Ensure the fair and equitable sharing of benefits arising out of the use of genetic resources

Goal 10. Ensure the fair and equitable sharing of benefits arising out of the use of genetic resources

Target 10.1: All transfers of genetic resources are in line with the Convention on Biological Diversity, the International Treaty on Plant Genetic Resources for Food and Agriculture and other applicable agreements.

Target 10.2: Benefits arising from the commercial and other utilization of genetic resources shared with the countries providing such resources

Ensure provision of adequate resources

Goal 11: Parties have improved financial, human, scientific, technical and technological capacity to implement the Convention [76]/

Target 11.1: New and additional financial resources are transferred to developing country Parties, to allow for the effective implementation of their commitments under the Convention, in accordance with Article 20.

Target 11.2: Technology is transferred to developing country Parties, to allow for the effective implementation of their commitments under the Convention, in accordance with its Article 20, paragraph 4.