



CONVENTION ON BIOLOGICAL DIVERSITY

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AD HOC TECHNICAL EXPERT GROUP ON TECHNOLOGY TRANSFER AND SCIENTIFIC AND TECHNOLOGICAL COOPERATION

Geneva, 10 – 12 September 2007
Item 2.2 of the provisional agenda*

ORGANIZATION OF WORK

Annotations to the provisional agenda

INTRODUCTION

1. In decision VIII/12, paragraph 4, the Conference of the Parties to the Convention on Biological Diversity decided to establish an Ad Hoc Technical Expert Group on Technology Transfer and Scientific and Technological Cooperation with a view to collect, analyse and identify ongoing tools, mechanisms, systems and initiatives to promote the implementation of Articles 16 to 19 of the Convention, and to propose strategies for practical implementation of the programme of work on technology transfer and scientific and technical cooperation adopted by the Conference of the Parties in its decision VII/29.
2. In paragraph 10 of decision VIII/12, the Conference of the Parties also decided that the mandate of the Ad Hoc Technical Expert Group shall be as set out in decision VII/29, paragraph 7, which requested the Executive Secretary, with the assistance of an expert group on technology transfer and scientific and technical cooperation, to: (i) prepare proposals on options to apply institutional, administrative, legislative and policy measures and mechanisms to facilitate access to and adaptation of technologies by developing countries and countries with economies in transition, and to (ii) explore possibilities and mechanisms of cooperation with processes in other Conventions and international organizations.
3. In accordance with this decision, the Executive Secretary established the expert group and the results of the consultation were submitted to the eighth meeting of the Conference of the Parties as document UNEP/CBD/8/19/Add.2. In decision VIII/12, the Conference of the Parties took note of the proposals and of the exploration contained in this document, and invited Parties to make submissions thereon to the Executive Secretary no later than four months prior to the meeting of the Ad Hoc Technical Expert Group. The Conference of the Parties requested the Executive Secretary to analyse the

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views submitted and to forward the results together with the proposals and the views of Parties to the Ad Hoc Technical Expert Group for its work.

4. The report of the Ad Hoc Technical Expert Group will be submitted to the Conference of the Parties at its ninth meeting. As requested by decision VIII/12, the compilation of the views of Parties will be annexed to the report.

5. The Executive Secretary is convening the Ad Hoc Technical Expert Group on Technology Transfer and Scientific and Technological Cooperation with the financial assistance from the Government of Spain, and in cooperation with the United Nations Conference on Trade and Development (UNCTAD) and the United Nations Environment Programme (UNEP). Participants in the Workshop were selected from among government-designated experts, based on their expertise and taking into account gender balance and fair and equitable geographical distribution. Representatives of stakeholder organizations and international organizations will also attend the meeting.

6. The meeting of the Group will take place in Geneva, Switzerland, at the Palais des Nations, room XXV, from 10-12 September 2007. Registration of participants will start at 8 a.m. on Monday 10 September 2007 at the Pregny Gate of the Palais des Nations, located Avenue de la Paix 14. The Secretariat has circulated an information note for participants containing details on logistical arrangements for the meeting, including information on travel, visa requirements, accommodation and other matters. A list of documents for the meeting is attached as annex I.

ITEM 1. OPENING OF THE MEETING

7. The meeting will be opened by the Executive Secretary or his representative at 10 a.m. on Monday, 10 September 2007. Participants will also be welcomed by the representatives of UNCTAD and UNEP.

ITEM 2. ORGANIZATIONAL MATTERS

2.1. Election of officers

8. The Group will be invited to elect its Chair based on proposals from the floor.

2.2. Adoption of the agenda

9. The Secretariat will give a brief presentation describing the background, objectives and expected outputs of the meeting. The provisional agenda prepared by the Executive Secretary for this meeting is based on decision VIII/12 of the Conference of the Parties (UNEP/CBD/AHTEG-TTSTC/1).

2.3. Organization of work

10. Under this item, the Group will consider the proposed organization of work for the meeting as contained in annex II of the present note. The Group may wish to conduct its initial deliberations in plenary, and break into smaller groups on specific issues, as appropriate. The language of the meeting will be English.

ITEM 3. COLLECTION, ANALYSIS AND IDENTIFICATION OF ONGOING TOOLS, MECHANISMS, SYSTEMS AND INITIATIVES TO PROMOTE THE IMPLEMENTATION OF ARTICLES 16 TO 19 OF THE CONVENTION

11. Following a brief introduction by the Secretariat, experts will be invited to share their knowledge of, and experiences with, existing tools, mechanisms, systems and initiatives to promote technology transfer and scientific and technological cooperation in accordance with Articles 16 to 19 of the Convention. Representatives of UNCTAD and UNEP will make presentations on their pertinent work. Participating representatives of stakeholders and other international organization will also be invited to share their knowledge and experience as well as to report on pertinent activities of their organizations.

12. In accordance with decision VIII/12, the Group may wish to use the mandate set out in decision VII/29, paragraph 7, to structure its deliberations on this agenda item:

(a) Preparation of proposals on options to apply institutional, administrative, legislative and policy measures and mechanisms, including best practices, as well as to overcome barriers, to facilitate access to and adaptation of technologies in the public domain and to proprietary technologies by developing countries and countries with economies in transition; and in particular, on measures and mechanisms that:

- (i) Foster an enabling environment in developing and developed countries for cooperation as well as the transfer, adaptation and diffusion of relevant technologies;
- (ii) Present obstacles that impede transfers of relevant technologies from developed countries;
- (iii) Provide, in accordance with existing international obligations, incentives to private-sector actors as well as public research institutions in developed country Parties, to encourage cooperation and transfer of technologies to developing countries, through, e.g., technology transfer programmes or joint-ventures;
- (iv) Promote and advance priority access for Parties to the results and benefits arising from technologies based upon genetic resources provided by those Parties, in accordance with Article 19, paragraph 2 of the Convention, and to promote the effective participation in related technological research by those Parties;
- (v) Promote innovative approaches and means of technology transfer and cooperation such as Type 2 partnerships, in accordance with the outcome of the World Summit on Sustainable Development, or transfers among actors, involving in particular the private sector and civil society organizations;

(b) Exploration of possibilities and mechanisms of cooperation with processes in other Conventions and international organizations, such as the UNFCCC Expert Group on Technology Transfer (EGTT).

13. In decision VIII/17, on private sector engagement, the Conference of the Parties invited the Ad Hoc Technical Expert Group on Technology Transfer and Scientific and Technical Cooperation to address the role of the private sector in achieving the three objectives of the Convention and to consider the relevance of decision VIII/17 for the work of the Expert Group, and to report thereon to the Conference of the Parties. The Expert Group may wish to take this invitation into consideration in its deliberations, and to report on it accordingly.

14. Document UNEP/CBD/AHTEG-TTSTC/2 was prepared in order to assist the Group in its work. This document is an updated version of document UNEP/CBD/COP/8/INF/9, which was prepared further to activity 3.1.2 of the programme of work on technology transfer and scientific and technological cooperation. This activity tasked the Executive Secretary to compile and synthesize information, including case studies, on institutional, administrative, legislative and policy frameworks that facilitate access to and adaptation of technologies in the public domain and to proprietary technologies, especially by developing countries and countries with economies in transition. As the information provided in this document is relevant for the collection, analysis and identification of ongoing tools, mechanisms, systems and initiatives to promote the implementation of Articles 16 to 19 of the Convention, and as it is structured in accordance with the mandate set out in paragraph 7 of decision VII/29, the Group may wish to consider using the document as a basis for its deliberations under this agenda item.

**ITEM 4. DEVELOPMENT OF STRATEGIES FOR PRACTICAL
IMPLEMENTATION OF THE PROGRAMME OF WORK ON
TECHNOLOGY TRANSFER AND SCIENTIFIC AND TECHNICAL
COOPERATION**

15. The Secretariat will introduce document UNEP/CBD/AHTEG-TTSTC/3 prepared by the Secretariat to assist the Group in its work. This document provides an analysis of the views submitted on the initial proposals contained in document UNEP/CBD/COP/8/19/Add.2. The document also provides information on other relevant decisions and recommendations, and identifies a number of strategic elements that the group may wish to take into account in its consideration and further elaboration of the initial proposals into a strategy for implementing the programme of work. As requested by decision VIII/12, the initial proposals are made available to the Expert Group as document UNEP/CBD/AHTEG-TTSTC/3/Add.1, and the compilation of views submitted is available as document UNEP/CBD/AHTEG-TTSTC/INF/1.

16. One of the suggested strategic elements is the launching of a Biodiversity Technology Initiative. Such a suggestion was already included in the earlier document (UNEP/CBD/COP/8/19/Add.2) and, further to this suggestion, the Conference of the Parties requested the Executive Secretary to explore possibilities of developing a "Biodiversity Technology Initiative", taking into account the Climate Technology Initiative (CTI) (see decision VIII/12, paragraph 15). A report providing such an exploration will be made available to the Group as document UNEP/CBD/AHTEG-TTSTC/INF/2.

17. Under this item, the Expert Group may also wish to take into consideration the invitation expressed by the Conference of the Parties at its eighth meeting, as described in paragraph 13 above, and to report on it accordingly

18. The Group may also wish to take into consideration the sub-section on priority areas for capacity-building, access to and transfer of technology and technology cooperation, of recommendation 2/1 of the Second Meeting of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention, which took place in Paris, from 9-13 July 2007.

**ITEM 5. DEVELOPMENT OF AN INDICATOR FOR TECHNOLOGY TRANSFER
AS PART OF THE FRAMEWORK FOR ASSESSING PROGRESS
TOWARDS THE 2010 TARGET**

19. In decision VII/30, the Conference of the Parties adopted a provisional framework for assessing progress towards the 2010 biodiversity target, and also identified a number of indicators for development by SBSTTA or working groups, including an indicator for technology transfer. In Annex IV of decision VIII/15, the Conference of the Parties invited Parties and other Governments to submit information on an

indicator for technology transfer, and invited the expert group on technology transfer and scientific and technological cooperation to consider this matter.

20. This invitation by the Conference of the Parties came further to a similar recommendation by SBSTTA at its tenth meeting. Further to this invitation, the issue was considered by the expert group on technology transfer and scientific and technological cooperation established by the Executive Secretary pursuant to decision VII/29, at its meeting in November 2005. The existing work under the CBD brought to the attention of the meeting, and the outcome of the discussion were summarized in the progress report on implementation with regard to technology transfer and scientific and technological cooperation that was submitted to the Conference of the Parties at its eighth meeting (UNEP/CBD/COP/8/19). For ease of reference, the relevant information is reproduced in document UNEP/CBD/AHTEG-TTSTC/4, which the Expert Group may wish to consider using as a basis for its consideration of the matter.

ITEM 6. OTHER MATTERS

21. Under this item, participants may wish to raise other matters related to the subject matter of the meeting, and to agree on any follow-up actions.

ITEM 7. ADOPTION OF THE REPORT

22. The Group will consider and adopt its report on the basis of the draft report of the meeting to be prepared and presented by the chair.

ITEM 8. CLOSURE OF THE MEETING

23. The meeting is expected to close at 6 p.m. on Wednesday, 12 September 2007.

Annex I

**PROVISIONAL LIST OF DOCUMENTS FOR THE AD HOC TECHNICAL EXPERT GROUP
ON TECHNOLOGY TRANSFER AND SCIENTIFIC AND TECHNOLOGICAL
COOPERATION**

| <i>Symbol</i> | <i>Title</i> |
|--|--|
| UNEP/CBD/AHTEG-TTSTC/1 | Provisional agenda |
| UNEP/CBD/AHTEG-TTSTC/1/Add.1 | Annotations to the provisional agenda |
| UNEP/CBD/AHTEG-TTSTC/2 | Collection, analysis and identification of ongoing tools, mechanisms, systems and initiatives to promote the implementation of Articles 16 to 19 of the Convention |
| UNEP/CBD/AHTEG-TTSTC/3 | Development of strategies for practical implementation of the programme of work on technology transfer and scientific and technical cooperation |
| UNEP/CBD/AHTEG-TTSTC/3/Add1 (was UNEP/CBD/COP/8/19/Add.2) | Development of strategies for practical implementation of the programme of work on technology transfer and scientific and technical cooperation – Addendum: Preparations of proposals on options to apply measures and mechanisms to facilitate access to and adaptation of technologies, and exploration of possibilities and mechanisms of cooperation with processes in other conventions and international organizations |
| UNEP/CBD/AHTEG-TTSTC/4 | Development of an indicator for technology transfer as part of the framework for assessing progress towards the 2010 target |
| <i>Information documents</i> | <i>Title</i> |
| UNEP/CBD/AHTEG-TTSTC/INF/1 | Compilation of views on proposals and options to apply measures and mechanisms to technology transfer and cooperation provided by Parties, governments and relevant conventions and international organizations and initiatives |
| UNEP/CBD/AHTEG-TTSTC/INF/2 | Exploring possibilities of developing a Biodiversity Technology Initiative, taking into account the Climate Technology Initiative (CTI) |
| <i>Other documents</i> | |
| | Decision VII/29 |
| | Decision VIII/12 |

Annex II

**PROVISIONAL ORGANIZATION OF WORK FOR THE AD HOC TECHNICAL EXPERT GROUP ON
TECHNOLOGY TRANSFER AND SCIENTIFIC AND TECHNOLOGICAL COOPERATION**

| Time | (Monday) 10 September 2006 | (Tuesday) 11 September 2006 | (Wednesday) 12 September 2005 |
|---------------|---|--|--|
| 10h00 - 11h00 | <i>Agenda Item 1:</i> Opening of the meeting by SCBD Welcome remarks by UNCTAD and UNEP Introduction of participants | <i>Agenda item 3 (continued)</i> <i>Agenda item 4:</i> Development of strategies for practical implementation of the programme of work on technology transfer and scientific and technical cooperation | <i>Agenda item 4 (continued)</i> |
| 11h00 – 11h30 | <i>Agenda item 2:</i> 2.1. Election of officers 2.2. Adoption of the agenda 2.2. Organization of work | | |
| 11h30-11h45 | Coffee/Tea break | Coffee/Tea Break | Coffee/Tea Break |
| 11h45-13h00 | <i>Agenda Item 3:</i> Collection, analysis and identification of ongoing tools, mechanisms, systems and initiatives to promote the implementation of Articles 16 to 19 of the Convention Presentations by UNCTAD and UNEP | <i>Agenda item 4 (continued)</i> | <i>Agenda item 5:</i> Development of an indicator for technology transfer as part of the framework for assessing progress towards the 2010 target |
| 13h00-15h00 | Lunch | Lunch | Lunch |
| 15h00-16h30 | <i>Agenda item 3 (continued)</i> | <i>Agenda item 4 (continued)</i> | <i>Agenda item 6</i> Other matters <i>Agenda item 7:</i> Adoption of the report |
| 16h30-17h00 | Coffee/Tea Break | Coffee/Tea Break | Coffee/Tea Break |
| 17h00-18h00 | <i>Agenda item 3 (continued)</i> | <i>Agenda item 4 (continued).</i> | <i>Agenda item 7 (continued)</i> <i>Agenda item 8:</i> Closure of the meeting |
