

Ref: SCBD/OES/HZ/MS/22189

15 October 2001

Dear Madam/Sir,

Pursuant to decision V/29 of the fifth meeting of the Conference of the Parties to the Convention on Biological Diversity, and in accordance with rule 5 of the rules of procedure for meetings of the Conference of the Parties, I am pleased to inform you that, at the kind invitation of the Government of the Netherlands, the sixth meeting of the Conference of the Parties to the Convention on Biological Diversity (COP-6) will be held in The Hague, from 7 to 19 April 2002. The official opening ceremony will take place in the afternoon of Sunday, 7 April 2002. The draft provisional agenda, the annotations to the provisional agenda, and the pre-registration form are attached, as well as a number of other pre-session documents for the meeting. The remaining pre-session documentation will be dispatched as soon as finalized. An information note for participants will be distributed at a later stage.

Should the Cartagena Protocol on Biosafety enter into force before the opening of the COP-6 meeting, the first meeting of the Conference of the Parties serving as the meeting of the Parties (MOP-1) to the Cartagena Protocol on Biosafety will be held from 22 to 26 April 2002 at the same venue in The Hague. For the Protocol to enter into force before the opening of the COP-6 meeting, the fiftieth instrument of ratification, accession, approval or acceptance must be deposited by 8 January 2002. In the event that the fiftieth instrument is not deposited by that date, and in accordance with recommendation 2/13 of the second meeting of the Intergovernmental Committee for the Cartagena Protocol on Biosafety, as endorsed by the COP-5 Bureau at its meeting on 6 October 2001, the third meeting of the Intergovernmental Committee for the Cartagena Protocol on Biosafety (ICCP-3) will be held instead on those dates.

In this regard, I have the honour to invite your organization to participate in the above-mentioned meetings. Please note that the pre-registration forms are accessible at the Secretariat's web site. It should be stressed that the month of April is a busy month in The Hague; hence, delegates are kindly urged to arrange for their hotel bookings the soonest possible.

Please accept, Madam/Sir, the assurances of my highest consideration.

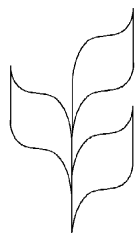
Yours sincerely,

[signed]

Hamdallah Zedan
Executive Secretary

To: Intergovernmental Organizations, Non-governmental and Indigenous Organizations





**CONVENTION ON
BIOLOGICAL
DIVERSITY**

Distr.
GENERAL

UNEP/CBD/COP/6/1
16 February 2001

ORIGINAL: ENGLISH

CONFERENCE OF THE PARTIES TO THE
CONVENTION ON BIOLOGICAL DIVERSITY

Sixth meeting

The Hague, 8-19 April 2002

Item 3 of the provisional agenda

PROVISIONAL AGENDA

I. ORGANIZATIONAL MATTERS

1. Opening of the meeting.
2. Election of officers.
3. Adoption of the agenda.
4. Organization of work.
5. Report on the credentials of representatives to the sixth meeting of the Conference of the Parties.
6. Pending issues.
7. Date and venue of, and preparations for, the seventh meeting of the Conference of the Parties.

II. REPORTS

8. Reports of regional meetings.
9. Reports of the Subsidiary Body on Scientific, Technical and Technological Advice.
10. Report of the Inter-Sessional Meeting on the Strategic Plan, National Reports and the Implementation of the Convention.
11. Report of the Ad Hoc Open-ended Working Group on Access and Benefit-sharing.
12. Report of the Open-ended Ad Hoc Inter-Sessional Working Group on the Implementation of Article 8(j) and Related Provisions.
13. Report on the status of the Cartagena Protocol on Biosafety.

14. Report of the Global Environment Facility.
15. Report of the Executive Secretary on the administration of the Convention and the budget for the Trust Fund of the Convention.

III. REVIEW OF THE IMPLEMENTATION OF THE PROGRAMME OF WORK

16. Thematic programmes of work—progress reports on implementation: biological diversity of inland waters; marine and coastal biological diversity; biological diversity of dry and sub-humid lands; and agricultural biological diversity.
17. Cross-cutting issues—progress reports on implementation:
 - 17.1. Identification, monitoring, indicators and assessments;
 - 17.2. Global Taxonomy Initiative;
 - 17.3. Global Strategy for Plant Conservation;
 - 17.4. Article 8(j) and related provisions;
 - 17.5. Liability and redress (Article 14, paragraph 2);
 - 17.6. Ecosystem approach; sustainable use; and incentive measures.
18. Mechanisms for implementation:
 - 18.1. Financial resources and mechanism (Articles 20 and 21);
 - 18.2. Scientific and technical cooperation and the clearing-house mechanism (Article 18, paragraph 3);
 - 18.3. Education and public awareness (Article 13).
19. Cooperation:
 - 19.1. Cooperation with other conventions and international organizations and initiatives;
 - 19.2. Contribution to the ten-year review of the implementation of Agenda 21 (“Rio+10”).
20. Budget for the programme of work for the biennium 2003-2004.

IV. PRIORITY ISSUES

21. Forest biological diversity.
22. Alien species that threaten ecosystems, habitats or species.
23. Access and benefit-sharing as related to genetic resources.
24. Strategic plan, national reporting and operations of the Convention.

V. FINAL MATTERS

25. Other matters.
26. Adoption of the report.
27. Closure of the meeting.

*Annex***LIST OF DOCUMENTS FOR THE SIXTH MEETING OF THE CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL DIVERSITY**

| | |
|------------------------|--|
| UNEP/CBD/COP/6/1 | Provisional agenda |
| UNEP/CBD/COP/6/1/Add.1 | Annotation to the provisional agenda |
| UNEP/CBD/COP/6/1/Add.2 | Draft decisions for the sixth meeting of the Conference of the Parties |
| UNEP/CBD/COP/6/2 | Preparations for the seventh meeting of the Conference of the Parties |
| UNEP/CBD/COP/6/3 | Report of the sixth meeting of the Subsidiary Body on Scientific, Technical and Technological Advice |
| UNEP/CBD/COP/6/4 | Report of the seventh meeting of the Subsidiary Body on Scientific, Technical and Technological Advice |
| UNEP/CBD/COP/6/5 | Report of the Inter-Sessional Meeting on the Strategic Plan, National Reports and the Implementation of the Convention |
| UNEP/CBD/COP/6/6 | Report of the Ad Hoc Open-ended Working Group on Access and Benefit-sharing |
| UNEP/CBD/COP/6/7 | Report of the Open-ended Ad Hoc Inter-Sessional Working Group on the Implementation of Article 8(j) and Related Provisions |
| UNEP/CBD/COP/6/8 | Report on the status of the Cartagena Protocol on Biosafety |
| UNEP/CBD/COP/6/9 | Report of the Global Environment Facility |
| UNEP/CBD/COP/6/10 | Report of the Executive Secretary on the administration of the Convention and the budget for the Trust Fund of the Convention |
| UNEP/CBD/COP/6/11 | Progress report on the implementation of programmes of work on the biological diversity of inland water ecosystems, marine and coastal biological diversity, agricultural biological diversity and the biological diversity of dry and sub-humid lands |
| UNEP/CBD/COP/6/12 | Progress report on cross-cutting issues |
| UNEP/CBD/COP/6/13 | Progress report on the mechanisms for implementation |
| UNEP/CBD/COP/6/14 | Additional financial resources (decision V/11) |
| UNEP/CBD/COP/6/15 | Report of the Inter-Sessional Meeting on the Strategic Plan, National Reports and the Implementation of the Convention |
| UNEP/CBD/COP/6/16 | Cooperation and the ten-year review of the implementation of Agenda 21 |
| UNEP/CBD/COP/6/17 | Proposed budget for the programme of work for the biennium 2003 – 2004 |
| UNEP/CBD/COP/6/18 | Forest biological diversity |
| UNEP/CBD/COP/6/19 | Review and consideration of options for the implementation of Article 8(h) on alien species that threaten ecosystems, habitats or species |
| UNEP/CBD/COP/6/20 | Access and benefit-sharing as they relate to genetic resources |

**CONVENTION ON
BIOLOGICAL
DIVERSITY**

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6 October 2001

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**CONFERENCE OF THE PARTIES TO THE
CONVENTION ON BIOLOGICAL
DIVERSITY**

Sixth meeting

The Hague, 7-19 April 2002*

Item 3 of the provisional agenda**

ANNOTATIONS TO THE PROVISIONAL AGENDA

Note by the Executive Secretary

INTRODUCTION

1. In accordance with decision IV/16, the present note has been prepared six months in advance of the meeting of the Conference of the Parties to which it relates. Since important preparatory meetings have yet to take place the Executive Secretary will prepare a revision of this note in February 2002.
2. The Executive Secretary will also prepare a compilation of the various elements of draft decisions that have been proposed by the inter-sessional meetings for the consideration of the Conference of the Parties. This compilation will be prepared in February 2002 as well and be presented in document UNEP/CBD/COP/6/1/Add.2.
3. In accordance with paragraph 2 of decision V/20 and the guidance of the Bureau, the Executive Secretary has organized the items on the provisional agenda for the sixth meeting of the Conference of the Parties into four sections: organizational matters; reports; review of implementation of the programme of work; and priority issues for review and guidance. A more detailed explanation as to the overall purpose of each section is provided in their opening paragraphs. A list of the working documents for the meeting is contained in annex I below.

* On the understanding that, should the first meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety be held from 22 to 26 April 2002, the sixth meeting of the Conference of the Parties will be suspended on 19 April and reconvened in the afternoon of 26 April. /...

** UNEP/CBD/COP/6/1 and Corr.1/Rev.1.

I. ORGANIZATIONAL MATTERS

4. Section I of the provisional agenda comprises those items that are procedural in nature. They include matters relating to the organization, operation and protocol of the meeting, rather than substantive issues.

ITEM 1. OPENING OF THE MEETING

5. Following the invitation of the Government of the Netherlands, which was welcomed and accepted by the Conference of the Parties in its decision V/29, the sixth meeting of the Conference of the Parties will be held in The Hague, from 7 to 19 April 2002, and, subject to the entry into force of the Cartagena Protocol on Biosafety before the opening of the meeting, on 26 April 2002, after the closure of the first meeting of the Conference of the Parties serving as the meeting of the Parties to the Biosafety Protocol.

6. The meeting will be held at the Netherlands Congress Centre, 10, Churchillplein, P.O. Box 82000, 2508 EA, The Hague, The Netherlands.

7. The meeting will be opened on Sunday afternoon, 7 April 2002. Registration of participants will commence at the venue of the meeting at 3 p.m. on Saturday, 6 April 2002.

8. Meeting rooms will be made available on the morning of Sunday, 7 April 2002 for informal consultations within the five regional groups.

9. In accordance with rule 6 of the rules of procedure for meetings of the Conference of the Parties, the Secretariat will notify the United Nations, its specialized agencies and the International Atomic Energy Agency as well as any State not party to the Convention, of this meeting so that they may be represented as observers.

10. In accordance with rule 7 of the rules of procedure, the Secretariat will also notify any body or agency, whether governmental or non-governmental, qualified in fields relating to the conservation and sustainable use of biological diversity, which has informed the Secretariat of its wish to be represented at the meeting, so that they may be represented as observers. Such bodies or agencies that wish to be represented but have not already informed the Secretariat are requested to do so.

11. The President of the fifth meeting of the Conference of the Parties, H.E. Mr. Katana Ngala (Kenya), will open the meeting.

12. It is expected that, at the opening session, the Conference will hear one or more addresses of welcome by representatives of the Government of the Netherlands.

13. Also at the opening session, the Executive Director of the United Nations Environment Programme will make a statement.

14. The Executive Secretary of the Convention will also make a statement highlighting the main issues before the Conference of the Parties.

ITEM 2. ELECTION OF OFFICERS

Election of the President

15. In accordance with past practice, it is expected that, at the opening session, the President of the fifth meeting of the Conference of the Parties will call for the election of a representative of the host country as President of the sixth meeting. The term of office of the President will begin with his or her election at the sixth meeting and end with the election of a successor at the seventh meeting.

Election of officers other than the President

16. In accordance with rule 21 of the rules of procedure (as amended by decision V/20), in addition to the President, eight Vice-Presidents and a Rapporteur are to be elected from among the representatives of the Parties present at the meeting. The term of office of the Vice-Presidents and Rapporteur will commence upon the closure of this meeting of the Conference of the Parties and end at the closure of the seventh meeting of the Conference of the Parties.

Election of officers of subsidiary bodies and other meetings

17. Rule 26 of the rules of procedure, provides that the chairperson of any subsidiary body shall be elected by the Conference of the Parties, while the election of other officers is the responsibility of the body itself. At this meeting, the Conference of the Parties will therefore need to elect the chair of Subsidiary Body on Scientific, Technical and Technological Advice (SBSTTA) for its ninth and tenth meetings. Previously elected chairs of SBSTTA have come from following regional groups: for the first meeting – Africa; for the second meeting – Western European and Others; for the third and fourth meetings – Asia; for the fifth and sixth meetings – Latin America and the Caribbean; and for the seventh and eight meetings - the Central and Eastern European Group.

18. In keeping with past practice, the Conference of the Parties may also wish to elect the chair of any other working groups, committees or other types of bodies it has established or will establish at this meeting.

ITEM 3. ADOPTION OF THE AGENDA

19. The provisional agenda (UNEP/CBD/COP/6/1 and Corr.1) has been prepared by the Executive Secretary in accordance with rule 8 of the rules of procedure for meetings of the Conference of the Parties, taking into account the programme of work contained in annex II to decision IV/16 and other relevant decisions adopted by the Conference of the Parties. In preparing the provisional agenda, the Executive Secretary has benefited from guidance of the Bureau of the fifth meeting of the Conference of the Parties.

ITEM 4. ORGANIZATION OF WORK

20. In light of the number and complexity of the matters to be addressed at the sixth meeting, the Conference of the Parties may wish to establish two working groups. A proposed timetable and division of responsibilities between two working groups is contained in annex II below.

21. Interpretation will be available for two working groups for morning and afternoon sessions. Interpretation will not, however, be available for evening sessions, should these be necessary.

22. If the Cartagena Protocol on Biosafety enters into force before the opening date of the meeting, (which means that the fiftieth instrument of ratification, acceptance, approval or accession must be deposited by 8 January 2002),* it is proposed that, from 22 to 26 of April 2002, the Conference of the Parties meet in its capacity as the meeting of the Parties to the Biosafety Protocol. Since, for a number of issues (i.e. budgetary and financial matters) the Conference of the Parties serving as the meeting of the Parties to the Protocol does not have the authority to take decisions and can only make recommendations to the Conference of the Parties of the Convention it is proposed that the Conference of the Parties suspend its meeting on Friday 19 April 2002 and reconvene to consider the recommendations of the Conference of the Parties serving as the meeting of the Parties to the Protocol in the afternoon of Friday 26 April 2002.

ITEM 5. REPORT ON THE CREDENTIALS OF REPRESENTATIVES TO THE SIXTH MEETING OF THE CONFERENCE OF THE PARTIES

23. Rule 18 of the rules of procedure for meetings of the Conference of the Parties states that:

"The credentials of representatives and the names of alternate representatives and advisers shall be submitted to the Executive Secretary of the Conference of the Parties or the representative of the Executive Secretary not later than twenty four hours after the opening of the meeting. Any later change in the composition of the delegation shall also be submitted to the Executive Secretary or his representative. The credentials shall be issued by the Head of State or Government or by the Minister for Foreign Affairs or, in the case of a regional economic integration organization, by the competent authority of that organization".

24. Rule 19 provides that "the Bureau of any meeting shall examine the credentials and submit its report to the Conference of the Parties for decision".

25. In order to assist Parties in fulfilling the requirements of rule 18, the Executive Secretary has prepared a sample format of appropriate credentials, which has been distributed to national focal points as an annex to the letter of invitation to the meeting.

26. The Conference of the Parties will be invited to consider and adopt the report on credentials submitted to it by the Bureau.

ITEM 6. PENDING ISSUES

27. At its first meeting, the Conference of the Parties adopted the rules of procedure for its meetings in decision I/1, with the exception of paragraph 1 of rule 40, relating to the taking of substantive decisions. The Conference of the Parties has considered this outstanding matter at its subsequent meetings but

* Article 29, paragraph 6, of the Cartagena Protocol states that the first meeting of the Conference of the Parties serving as the meeting of Parties to the Protocol shall be convened by the Secretariat in conjunction with the first meeting of the Conference of the Parties that is scheduled after the date of entry into force of the Protocol. Article 37, paragraph 1, of the Protocol states that the Protocol shall enter into force on the ninetieth day after the date of deposit of the fiftieth instrument of ratification, acceptance, approval or accession by States or regional economic integration organizations that are Parties to the Convention.

without resolving it conclusively. At the third meeting, consensus was reached concerning some, but not all, of the text in brackets.

28. By decision I/6, the Conference of the Parties adopted the financial rules for the administration of the Trust Fund for the Convention on Biological Diversity. Paragraphs 4 and 16 of these rules contain bracketed text. Paragraph 4 deals with scale of assessments for the apportionment of contributions by Parties to the Trust Fund. Paragraph 16 deals with adopting decisions regarding the Trust Fund. The paragraphs have been considered at subsequent meetings of the Conference of the Parties, but without any agreement. The text therefore remains bracketed.

29. The informal inter-sessional consultations foreshadowed at the fifth meeting of the Conference of the Parties (see UNEP/CBD/COP/5/23, para. 45) have not resolved the outstanding issues. The President, after consultation with the Bureau, is of the view that it will not be possible to conclude consideration of the pending matters at the outset of this meeting. Accordingly, he recommends that Parties be invited again to pursue informal consultations on the pending issues and to postpone consideration of the subject until the seventh meeting of the Conference of the Parties.

ITEM 7. DATE AND VENUE OF, AND PREPARATIONS FOR, THE SEVENTH MEETING OF THE CONFERENCE OF THE PARTIES

30. In accordance with paragraph 2 of rule 4 of its rules of procedure, the Conference of the Parties is to decide on the date and duration of its next ordinary meeting.

31. At its fifth meeting the Conference decided to amend rule 4 of its rules of procedure so that ordinary meetings of the Conference of the Parties shall be held every two years. The seventh meeting of the Conference of the Parties should therefore take place in 2004.

32. With respect to the venue of the next meeting of the Conference of the Parties, rule 3 of the rules of procedure states that meetings of the Conference of the Parties shall take place at the seat of the Secretariat, unless the Conference of the Parties decides otherwise or other appropriate arrangements are made by the Secretariat in consultation with the Parties. The Executive Secretary has not received any offers or expressions of interest regarding the hosting of the seventh meeting of the Conference of the Parties.

33. Under this item, a decision regarding the date and venue of the seventh meeting of the Conference of the Parties will be required.

34. The priority themes for the seventh meeting of the Conference of the Parties are: mountain ecosystems; protected areas; and transfer of technology and technological cooperation. The Executive Secretary has prepared document UNEP/CBD/COP/6/2, which considers these issues in a preliminary manner and describes how these issues will be considered during the inter-sessional period.

II. ADOPTION OF REPORTS

35. Section II of the provisional agenda provides for the presentation of the reports of:

- (a) The regional preparatory meetings for the sixth meeting of the Conference of the Parties;

(b) The Subsidiary Body on Scientific, Technical and Technological Advice on the work of its sixth and seventh meetings (UNEP/CBD/COP/6/3 and 4);

(c) The Open-ended Inter-Sessional Meeting on the Strategic Plan, National Reports, and the Implementation of the Convention on Biological Diversity (UNEP/CBD/COP/6/5);

(d) The Ad Hoc Open-ended Working Group on Access and Benefit-Sharing (UNEP/CBD/COP/6/6);

(e) The Open-ended Ad Hoc Inter-Sessional Working Group on the Implementation of Article 8(j) and Related Provisions (UNEP/CBD/COP/6/7);

(f) The Executive Secretary, on the status of the Cartagena Protocol on Biosafety (UNEP/CBD/COP/6/8);

(g) The Global Environment Facility (UNEP/CBD/COP/6/9); and

(h) The Executive Secretary, on the administration of the Convention (UNEP/CBD/COP/6/10).

36. The Conference of the Parties is invited to take note of the reports submitted, on the understanding that the substantive issues arising therein will be taken up under the appropriate agenda item, as indicated below.

ITEM 8. REPORTS OF THE REGIONAL PREPARATORY MEETINGS

37. Under this item, an opportunity will be provided for the chairs of the regional meetings held to prepare for the Conference of the Parties to introduce the reports of these meetings. Copies of the reports will be available for information purposes.

38. The Conference of the Parties will be invited to take note of and to incorporate, as appropriate, the conclusions of the regional meetings into its consideration of relevant items of the provisional agenda.

ITEM 9: REPORTS OF THE SUBSIDIARY BODY ON SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL ADVICE

Report of the sixth meeting of SBSTTA

39. The report of the sixth meeting of SBSTTA, held in Montreal from 12 to 16 March 2001, is contained in document UNEP/CBD/COP/6/3 and will be introduced by the Chair of that meeting or by the Rapporteur on his behalf.

40. The report contains nine recommendations, several of which deal with preparatory activities for the seventh meeting of SBSTTA and do not require further attention by the Conference of the Parties. The implementation of these aspects of the recommendations will, however, be considered in the report on the administration of the Convention (UNEP/CBD/COP/6/10).

41. The Conference of the Parties is invited to take note of the report of the sixth meeting of the Subsidiary Body on Scientific, Technical and Technological Advice as presented under this item and to

address the substantive recommendations of the Subsidiary Body contained in its report under the corresponding substantive item of the agenda, as follows:

| <i>Recommendation</i> | <i>Subject matter of SBSTTA recommendation</i> | <i>Substantive agenda item</i> |
|-----------------------|---|--|
| VI/1 | Ad hoc technical expert groups | 24 |
| VI/3 | Biological diversity of inland water ecosystems | 16 |
| VI/4 | Alien species that threaten ecosystems, habitats or species | 22 |
| VI/5 | Scientific assessments: development of methodologies and identification of pilot studies | 17.1 and 24 (to be discussed together with appropriate recommendation(s) from SBSTTA at its seventh meeting) |
| VI/6 | The Global Taxonomy Initiative: programme of work | 17.2 |
| VI/7 | Biological diversity and climate change, including cooperation with the United Nations Framework Convention on Climate Change | 19.1 and 21 (to be discussed together with appropriate recommendation(s) from SBSTTA at its seventh meeting) |
| VI/8 | Migratory species and cooperation with the Convention on the Conservation of Migratory Species of Wild Animals | 19.1 |

Report of the seventh meeting of SBSTTA

42. The seventh meeting of SBSTTA is to be held in Montreal from 12 to 16 November 2001. The report of the meeting will be before the sixth meeting of the Conference of the Parties as document UNEP/CBD/COP/6/4 and will be introduced by the Chair of the Subsidiary Body at its seventh meeting or by the Rapporteur on his behalf.

43. In light of the provisional agenda adopted at its sixth meeting, the Subsidiary Body is expected to make recommendations on the following topics at its seventh meeting and the Conference of the Parties is invited to take them up in the context of the agenda items indicated:

| <i>Subject matter of SBSTTA recommendation</i> | <i>Substantive agenda item</i> |
|---|--------------------------------|
| Status of the assessment process | 17.1 and 24 |
| Sustainable use; progress in the development of practical principles, operational guidance and associated instruments | 17.6 |
| Forest biological diversity | 21 |

| <i>Subject matter of SBSTTA recommendation</i> | <i>Substantive agenda item</i> |
|--|--------------------------------|
| Agricultural biological diversity | 16 |
| Plant conservation strategy | 17.3 |
| Incentive measures | 17.6 |
| Indicators and environmental impact assessment | 17.1 |
| Global Biodiversity Outlook | 24 |

44. In light of the provisional agenda for its seventh meeting (UNEP/CBD/SBSTTA/7/1), and in accordance with past practice, SBSTTA is expected to propose a draft provisional agenda for its eighth and ninth meetings. Since this matter is related to the future programme of work of the Conference of the Parties, the Conference of the Parties may wish to consider the provisional agendas of the eighth and ninth meetings of SBSTTA under item 24 below ("Strategic Plan, national reporting and operations of the Convention).

45. It is also suggested that the respective Chairs of the Subsidiary Body on Scientific, Technical and Technological Advice or their representatives should be invited to introduce the individual recommendations of the Subsidiary Body as and when they are taken up under the substantive agenda items.

ITEM 10. REPORT OF THE INTER-SESSIONAL MEETING ON THE STRATEGIC PLAN, NATIONAL REPORTS AND THE IMPLEMENTATION OF THE CONVENTION

46. In its decision V/20, on the operations of the Convention, the Conference of the Parties decided to hold an open-ended meeting to assist with preparations for the sixth meeting of the Conference of the Parties. This meeting will be held in Montreal from 19 to 21 November 2001.

47. The report of the meeting (UNEP/CBD/COP/6/5) will be introduced by the President of the fifth meeting of the Conference of the Parties, or his representative, who will serve as chair of the Meeting.

48. Under this item, the Conference of the Parties is invited to take note of the report on the understanding that the substantive recommendations and advice of the Meeting will be taken up under agenda item 24.

ITEM 11. REPORT OF THE AD HOC OPEN-ENDED WORKING GROUP ON ACCESS AND BENEFIT-SHARING

49. By decision V/26 A, the Conference of the Parties established the Ad Hoc Open-ended Working Group on Access and Benefit-Sharing. Its mandate is to develop guidelines and other approaches for submission to the Conference of the Parties and to assist Parties and stakeholders in addressing key elements relevant to access to genetic resources and benefit-sharing. The Working Group is due to meet from 22 to 26 October 2001 in Bonn Germany. The report of the meeting (UNEP/CBD/COP/6/6) will be introduced by the Chair of the Working Group.

50. Under this item, the Conference of the Parties is invited to take note of the report of the second meeting of the Working Group, on the understanding that the substantive recommendations and advice of the Group will be taken up under agenda item 23.

ITEM 12. REPORT OF THE WORKING GROUP ON THE IMPLEMENTATION OF ARTICLE 8(j) AND RELATED PROVISIONS

51. In its decision IV/9, the Conference of the Parties established and approved the mandate of the Ad Hoc Working Group on Article 8(j) and Related Provisions, which held its first meeting from 27 to 31 March 2000 in Seville, Spain. In decision V/16, the Conference of the Parties extended the mandate of the Working Group to review progress in the implementation of the priority tasks of its work programme. The Working Group is due to meet from 4 to 8 February 2002 to review progress in the implementation of the priority tasks of its work programme. The report of the meeting (UNEP/CBD/COP/6/7) will be introduced by the Chair of the Working Group.

52. Under this item, the Conference of the Parties is invited to take note of the report of the second meeting of the Working Group, on the understanding that the substantive recommendations and advice of the Group will be taken up under agenda item 17.4.

ITEM 13. REPORT ON THE STATUS OF THE BIOSAFETY PROTOCOL

53. In its decision EM-I/3, the Conference of the Parties established an Open-ended Ad Hoc Intergovernmental Committee for the Cartagena Protocol on Biosafety (ICCP) to undertake the preparations necessary for the first meeting of the Parties to the Protocol.

54. By decision V/1, the Conference of the Parties endorsed a work plan for the ICCP over two meetings. The first meeting of the ICCP took place from 11 to 15 December 2000 in Montpellier, France. The second meeting was held from 1 to 5 October 2001 at the headquarters of the United Nations Environment Programme (UNEP), in Nairobi. The reports of those meeting will be before the Conference of the Parties as documents UNEP/CBD/COP/6/8/Add.1 and Add.2, respectively.

55. In its decision EM-I/3, the Conference of the Parties requested the Executive Secretary to commence preparatory work on the functioning of the Biosafety Clearing House referred to in Article 20 of the Protocol. The pilot phase of the Biosafety Clearing-House was launched on 5 April 2001 at the following address: <http://bch.biodiv.org/pilot>.

56. The Conference of the Parties further decided to establish a regionally balanced roster of experts, nominated by Governments, in fields relevant to risk assessment and risk management related to the Protocol, to, *inter alia*, provide advice and support, as appropriate and upon request, to developing country Parties and Parties with economies in transition. ICCP considered the operationalization of this roster at its second meeting.

57. The Conference of the Parties also welcomed the decision of the Council of the Global Environment Facility (GEF) to request the GEF secretariat, in consultation with the GEF Implementing Agencies and the Secretariat of the Convention on Biological Diversity to develop an initial strategy for assisting countries to prepare for the entry into force of the Biosafety Protocol. Implementation of this strategy was reviewed at the Open-ended Meeting of Experts in Capacity-Building for the Implementation

of the Biosafety Protocol, held in Havana from 11 to 13 July 2001, the International Workshop on Financial Support for the National Biosafety Frameworks (which was co organized with UNEP) held in a the same location on 14 July, and the second meeting of ICCP.

58. The Executive Secretary will provide a report of the status of these and other activities to implement the Cartagena Protocol on Biosafety (UNEP/CBD/COP/6/8). In the event that the Protocol has not entered into force by the sixth meeting of the Conference of the Parties, the report of the Executive Secretary will also address the continuing needs of interim arrangements and the necessary budgetary support; and, if appropriate, support and cooperation from other bodies.

59. Under this item, the Conference of the Parties is invited to take note of the report on the status of the Cartagena Protocol on Biosafety and, if necessary, consider the proposals of the Executive Secretary regarding the interim arrangements to be undertaken pending the entry into force of the Protocol.

ITEM 14. REPORT OF THE GLOBAL ENVIRONMENT FACILITY

60. Pursuant to Article 21 of the Convention on Biological Diversity and paragraph 3.1 of the Memorandum of Understanding between the Conference of the Parties and the GEF Council, the Conference of the Parties will have before it the report of the Global Environment Facility (UNEP/CBD/COP/6/9). This report will be transmitted by the Executive Secretary to the Conference of the Parties as and when it is received from GEF. In accordance with past practice, the report will be made available only in the languages of submission.

61. Under this item, the Conference of the Parties is invited to take note of the report of the Council and to consider the information contained therein when deciding upon the need for further guidance to the financial mechanism under item 18.1 and other items of relevance.

ITEM 15. REPORT OF THE EXECUTIVE SECRETARY ON THE ADMINISTRATION OF THE CONVENTION AND THE BUDGET FOR THE TRUST FUND OF THE CONVENTION

62. The report of the Executive Secretary on the implementation of the decisions of the Conference of the Parties and other relevant bodies of the Convention over the period May 2000 to April 2002, will be before the Conference of the Parties as document UNEP/CBD/COP/6/10.

63. Under this item, the Conference of the Parties is invited to take note of the report and to take up the information contained therein in its consideration of those items of the provisional agenda to which it relates.

III. REVIEW OF THE IMPLEMENTATION OF THE PROGRAMME OF WORK

64. The items included in section III of the provisional agenda are intended to provide the Conference of the Parties with an opportunity to review the implementation of the Convention and the programme of work, which includes the implementation of existing decisions, as well as the programme adopted in decision IV/16 for the period from the fourth to the seventh meeting of the Conference of the Parties. The section is structured in line with the existing programme of work and decisions of the Conference of the Parties.

65. Under this section, the Conference of the Parties is expected to address a number of issues, mostly of an administrative or secondary nature, that have arisen during the course of implementing existing decisions and require the attention of the Conference of the Parties for overall implementation to proceed in a timely fashion. Accordingly, the documentation provided by the Executive Secretary does not contain exhaustive details about the activities undertaken, but rather focuses on specific issues requiring action by the Conference of the Parties.

ITEM 16. THEMATIC PROGRAMMES OF WORK—PROGRESS REPORTS ON IMPLEMENTATION: BIOLOGICAL DIVERSITY OF INLAND WATERS; MARINE AND COASTAL BIOLOGICAL DIVERSITY; BIOLOGICAL DIVERSITY OF DRY AND SUB-HUMID LANDS; AND AGRICULTURAL BIOLOGICAL DIVERSITY

66. The thematic programmes to be considered under this item were approved by the Conference of the Parties and are a subset of the programme of work for the Convention as a whole. With respect to implementing these programmes, the Secretariat have begun developing programmatic links with relevant organizations and building the information base to address identified gaps. The institutions of the Convention, such as the financial mechanism, have also begun to address the identified needs of Parties, or at least to initiate programmes to do so.

67. The relevant decisions of the Conference of the Parties, where appropriate, also indicate the need for further guidance and tools, the development of which is based on an iterative process between Parties that is supported by the Secretariat and its clearing-house mechanism. Periodically, SBSTTA reviews progress and provides guidance. Draft versions of the tools are disseminated to Parties and other stakeholders for their application, evaluation and further refinement (i.e. Guidelines on integrated marine and coastal area management). When the products have been finalized they are submitted to the Conference of the Parties for its endorsement and official distribution to Parties. Implementation of these programmes is largely at the beginning of this phase.

68. The most recent recommendations of SBSTTA concerning the implementation of the programmes will be before the Conference of the Parties in the reports of the sixth and seventh meetings of SBSTTA (UNEP/CBD/COP/6/3 and 4).

69. Under this item, the Executive Secretary has also prepared a note (UNEP/CBD/COP/6/11) identifying, on the basis of the review by SBSTTA and, where appropriate, recommendations of other bodies of the Convention and other organizations, matters that require the attention of the Conference of the Parties for progress to be made in the implementation of the programme. In addition, a general review of progress is contained in the report of the Executive Secretary on the administration of the Convention (UNEP/CBD/COP/6/10). Draft elements of decisions for the consideration of the Conference of the Parties will be contained in document UNEP/CBD/COP/6/1/Add.2.

Inland water ecosystems

70. The programme of work on inland water ecosystem was adopted by the Conference of the Parties as annex I to decision IV/4. Annex II to the same decision contains a suggested timetable that SBSTTA and the Executive Secretary are to consider in their work on inland waters. The framework provided for most activities is until 2002 or 2003, although it is designed to be applicable on a continuing

basis. Also in decision IV/4, the Conference of the Parties indicated that urgent attention should be given to the development of rapid-assessment methodologies, especially related to small island developing States, and gave priority to two activities for the Executive Secretary: (i) compilation of information and case-studies for use by SBSTTA; and (ii) future work on management experiences and best practices.

71. At its fifth meeting, the Conference of the Parties took note of the review of progress by SBSTTA and endorsed the joint work plan with the Ramsar Convention on Wetlands. SBSTTA reviewed progress at its sixth meeting. A further joint work plan with the Ramsar Convention on Wetlands will be prepared for the period 2002-2003.

72. Under this item, the Conference of the Parties is invited to endorse the further plan of work with the Ramsar Convention on Wetlands and the recommendation of SBSTTA to take note of the report of the Word Commission on Dams, and to request the Executive Secretary to continue with implementation of decision IV/4.

Marine and coastal biological diversity

73. The programme of work on marine and coastal biological diversity, as approved by the Conference of the Parties in its decision IV/5, identifies important operational objectives and priority activities within the framework of five key programme elements: implementation of integrated marine and coastal area management (IMCAM); marine and coastal living resources; marine and coastal protected areas; mariculture; and alien species and genotypes. Implementation of the programme was reviewed by the Conference of the Parties at its fifth meeting. As a result, the programme was adjusted to accommodate the issue of coral bleaching through decision V/3. The programme provides a framework for activities to implement the Jakarta Mandate on Marine and Coastal Biological Diversity until 2000, although the framework is designed to be applicable beyond that year. In its decision V/3, the Conference of the Parties requested the Executive Secretary to report to it at its sixth meeting on collaboration with the regional seas conventions and action plans. This report along with a brief overview of the status of implementation of the programme will be provided in document UNEP/CBD/COP/6/11.

Biological diversity of dry and sub-humid lands

74. In its decision V/23, the Conference of the Parties adopted a programme of work on dry and sub-humid lands. In this decision, the Conference of the Parties requested the Executive Secretary:

(a) To review this programme of work and identify expected outcomes, further activities to achieve these outcomes, those who should implement these activities, and timetables for action and follow-up, taking into account the suggestions of the technical group of experts established by the same decision;

(b) To collaborate with the Secretariat of the Convention to Combat Desertification, including through the development of a joint work programme, as well as with other relevant bodies;

(c) To establish a roster of experts on the biological diversity of dry and sub-humid lands; and

(d) To make available relevant information through various means, including the development in the clearing-house mechanism of a database on dry and sub-humid lands.

75. In addition, the Conference of the Parties requested SBSTTA, *inter alia*, to review periodically the status of the biological diversity of dry and sub-humid lands, and make recommendations for the further refinement of the programme of work. SBSTTA will undertake this review in time for the seventh meeting of the Conference of the Parties.

76. At its seventh meeting, SBSTTA will consider the collaborative activities with the Secretariat of the Convention to Combat Desertification. The Executive Secretary will report on the status of implementation of the request outlined above in document UNEP/CBD/COP/6/11.

Agricultural biological diversity

77. In its decision III/11, the Conference of Parties established a programme of activities on agricultural biodiversity, and requested the Executive Secretary to invite the Food and Agriculture Organization of the United Nations (FAO), in close collaboration with other relevant organizations, to identify and assess relevant ongoing activities and instruments. Based on this assessment, the Conference of Parties, in its decision IV/6, requested that SBSTTA provide to the Conference of the Parties, recommendations for the further development of the programme of work on agricultural biodiversity.

78. Based on this review of phase I of the programme of work, the Conference of the Parties adopted a further programme of work in decision V/5. In this decision the Conference of the Parties requested the Executive Secretary, *inter alia*:

(a) To prepare a progress report and proposals for its further implementation to be considered by SBSTTA prior to the sixth meeting of the Conference of the Parties; and

(b) To invite FAO to facilitate and coordinate the International Initiative for the Conservation and Sustainable Use of Pollinators, and to prepare a proposal for a plan of action on pollinators taking into account the recommendations of the São Paulo Declaration on Pollinators, as well as contributions submitted by countries and relevant organizations.

79. SBSTTA will consider these issues at its seventh meeting.

80. Additionally, the Conference of the Parties invited FAO, in close collaboration with member organizations of the Ecosystem Conservation Group, and other competent organizations and research bodies, to further study the potential implications of genetic use restriction technologies (GURTs) for the conservation and sustainable use of agricultural biological diversity and the range of agricultural production systems in different countries, and identify relevant policy questions and socio-economic issues that may need to be addressed; and invited FAO and its Commission on Genetic Resources for Food and Agriculture and other competent organizations to inform the Conference of the Parties at its sixth meeting of their initiatives in this area. A report will be prepared by FAO on the status of this work, which will be circulated as an information document. A summary of this report along with any suggested recommendations for further action will be contained in document UNEP/CBD/COP/6/11.

81. By paragraph 10 of decision IV/6, the Conference of the Parties requested the Executive Secretary to report on the impact of trade liberalization on the conservation and sustainable use of agricultural biological diversity in consultation with relevant bodies, such as the World Trade Organization. The report on this matter will be circulated in an information document. A summary of this report along

with any suggested recommendations for further action will also be contained in document UNEP/CBD/COP/6/11.

82. Under this item, the Conference of the Parties is expected to be invited to consider the recommendations of SBSTTA and to consider any specific activities proposed by the Executive Secretary in light of the recommendations of SBSTTA.

ITEM 17. CROSS-CUTTING ISSUES: — PROGRESS REPORTS ON IMPLEMENTATION

83. The issues to be considered under this item were approved by the Conference of the Parties and are a subset of the programme of work for the Convention as a whole. With respect to implementing these programmes, the Secretariat have begun developing programmatic links with relevant organizations and building the information base to address identified gaps. The institutions of the Convention, such as the financial mechanism, have also begun to address the identified needs of Parties, or at least to initiate programmes to do so.

84. The relevant decisions of the Conference of the Parties, where appropriate, also indicate the need for further guidance and tools, the development of which is based on an iterative process between Parties that is supported by the Secretariat and its clearing-house mechanism. Periodically, SBSTTA, the Ad Hoc Open-ended Working Group on Access and Benefit Sharing and/or the Open-ended Ad Hoc Inter-Sessional Working Group on the Implementation of Article 8(j) and Related Provisions reviews progress and provides guidance. Draft versions of the tools are disseminated to Parties and other stakeholders for their application, evaluation and further refinement (for example, the Interim Guiding Principles for the Prevention, Introduction and Mitigation of Impacts of Alien Species). When the products have been finalized they are submitted to the Conference of the Parties for its endorsement and official distribution to Parties. Implementation of these programmes is largely at the beginning of this phase.

85. Under this item, the Executive Secretary has also prepared a note (UNEP/CBD/COP/6/12) identifying, on the basis of the recommendations of the relevant body of the Convention and other organizations, matters that require the attention of the Conference of the Parties for progress to be made in the implementation of the programme. In addition, a general review of progress is contained in the report of the Executive Secretary on the administration of the Convention (UNEP/CBD/COP/6/10). Draft elements of decisions for the consideration of the Conference of the Parties will be contained in document UNEP/CBD/COP/6/1/Add.2.

17.1. Identification, monitoring, indicators and assessments

86. In its decision IV/1 A, the Conference of the Parties endorsed SBSTTA recommendation III/5, on current approaches to indicator development and recommendations for a preliminary core set of indicators of biological diversity, particularly those related to threats, and options for capacity-building in developing countries in the application of guidelines and indicators for subsequent national reports. This recommendation contained a series of short-term and long-term objectives. The short-term activities concentrate on incorporating existing science into the Convention process, mainly through preparatory activities carried out by a liaison group.

87. The fifth meeting of SBSTTA reviewed progress in the implementation of this decision for the fifth meeting of the Conference of the Parties. Based on this review, the Conference of the Parties by

decision V/7 requested the Executive Secretary to carry out the pending activities set out in decision IV/1 A.

88. It also requested SBSTTA to review progress, in time for the sixth meeting of the Conference of the Parties.

89. Accordingly, the seventh meeting of SBSTTA is expected to consider:

(a) A set of principles and standard questions for designing national-level monitoring programmes and indicators; and

(b) An indicative list of available and potential indicators that may be used by Parties at the national, regional and global levels to describe the state and trends of biodiversity.

90. Under this item, the Conference of the Parties will be invited to consider the recommendations of SBSTTA and to consider any specific activities proposed by the Executive Secretary in light of the recommendations of SBSTTA.

91. With regard to environmental impact assessment, by its decision IV/10 C, the Conference of the Parties invited Governments and organizations to transmit to the Executive Secretary reports and case-studies relating to environmental impact assessment. It also requested that a synthesis report based on the information submitted and other relevant information be prepared for the consideration of SBSTTA. On the basis of this report, it instructed SBSTTA to identify further actions that would promote implementation of the impact assessment procedures provided for in Article 14 of the Convention. The SBSTTA considered the matter at its fourth meeting. Based on this recommendation the Conference of the Parties adopted decision V/18, whereby it requested the Executive Secretary, *inter alia*, to compile and evaluate existing guidelines, procedures and provisions for environmental impact assessment and SBSTTA to further develop guidelines for incorporating biodiversity-related issues into legislation and/or processes on strategic environmental assessment for completion by the sixth meeting of the Conference of the Parties.

92. At its seventh meeting SBSTTA is expected to consider guidelines for incorporating biodiversity-related issues into legislation and/or processes of strategic environmental assessment; and to provide advice on how to further implement paragraph 1 of Article 14 of the Convention with other related components of the Convention.

93. Under this item, the Conference of the Parties is invited to consider the recommendation of SBSTTA and to consider any specific activities proposed by the Executive Secretary in light of that recommendation and other relevant information.

17.2. Global Taxonomy Initiative

94. In its decision IV/1 D, the Conference of the Parties, *inter alia*, endorsed ‘Suggestions for Action’ for the development and implementation of a Global Taxonomy Initiative and requested SBSTTA to provide advice on its further advancement. SBSTTA provided detailed guidance on further developing the Initiative at its fourth (recommendation IV/2) and fifth meetings (recommendation V/3). Based on this advice in its decision V/9, the Conference of the Parties, *inter alia*: established a coordination mechanism to assist the Executive Secretary to facilitate international cooperation under the Global Taxonomy Initiative (GTI); identified priority activities; and requested the Executive Secretary, with the assistance of

the coordination mechanism, to draft a work programme for the GTI for consideration by SBSTTA, initiate short-term activities, synthesize the findings of previous meetings of experts and national reports, and use the GTI to promote taxonomy in the implementation of the Convention. The sixth meeting of SBSTTA reviewed progress in implementation and recommended *inter alia* that the sixth meeting of the Conference of the Parties adopt the programme of work contained in the Annex to recommendation VI/6.

95. The Executive Secretary will report on the status of implementation of the GTI in document UNEP/CBD/COP/6/12.

96. Under this item, the Conference of the Parties is invited to consider SBSTTA recommendation VI/6, as well as any specific activities proposed by the Executive Secretary in light of the recommendations of SBSTTA and other relevant information.

17.3. Global Strategy for Plant Conservation

97. By decision V/10, the Conference of the Parties decided to consider at its sixth meeting the establishment of a global strategy for plant conservation. To assist it in this matter, the Conference of the Parties requested SBSTTA to make recommendations regarding the development of a global strategy for plant conservation, which would be aimed at halting the current rate of loss of plant diversity. To this end, the Conference of the Parties requested the Executive Secretary to solicit the views of Parties and to liaise with relevant organizations, in order to gather information regarding plant conservation, including information on existing international initiatives.

98. At its seventh meeting, SBSTTA is expected to consider a draft global strategy for plant conservation, as well as information on international initiatives relating to plant conservation, based on a note prepared by the Executive Secretary, in collaboration with various organizations through informal consultations, and taking into account the views of Parties.

99. Under this item, the Conference of the Parties is invited to consider recommendation of SBSTTA, as well as any specific activities proposed by the Executive Secretary in light of the recommendation of SBSTTA and other relevant information.

17.4. Article 8(j) and related provisions

100. In its decision V/16, the Conference of the Parties endorsed a programme of work for the implementation of Article 8(j) and related provisions of the Convention. In the same decision, the Conference of the Parties mandated the Open-ended Ad Hoc Inter-Sessional Working Group on the Implementation of Article 8(j) and Related Provisions to review progress in the implementation of the priority tasks of this programme of work. In particular, the Working Group should explore ways for increasing participation by indigenous and local communities in the thematic programmes of work of the Convention. It also instructed the Working Group to report to the Conference of the Parties at its sixth meeting. The meeting of the Open-ended Ad Hoc Inter-Sessional Working Group on the Implementation of Article 8(j) and Related Provisions is expected to be held from 4 to 8 February 2002, subject to the availability of financial resources.

101. Under this item, the Conference of the Parties is invited to take up the substantive elements of the report of the Open-ended Ad Hoc Inter-sessional Working Group on the Implementation of Article 8(j) and Related Provisions (UNEP/CBD/COP/6/7).

17.5. Liability and redress (Article 14, paragraph 2)

102. In its decision IV/10 C the Conference of the Parties invited submissions on national and international measures on: liability and redress applicable to damage to biodiversity; and information on experiences in implementation, as well as information on access by foreign citizens to national courts in cases of transboundary harm. At the behest of Conference of the Parties, the Secretariat prepared a synthesis report based on these submissions for the fifth meeting of the Conference of the Parties. In its decision V/18, the Conference of the Parties renewed its call for information and requested the Executive Secretary to update the synthesis report for consideration by the Conference of the Parties at its sixth meeting. The updated synthesis is contained in document UNEP/CBD/COP/6/12/Add.1.

103. The Conference of the Parties also decided to consider at its sixth meeting a process for reviewing paragraph 2 of Article 14 of the Convention, including the establishment of an ad hoc technical expert group, taking into account the outcome of the workshop and the consideration of these issues within the framework of the Cartagena Protocol on Biosafety.

104. The Conference of the Parties also welcomed the offer of France to organize a workshop on liability and redress in the context of the Convention. This workshop was held in Paris from 18 to 20 June 2001. Its report is contained in document UNEP/CBD/WS-L&R/3. The Workshop suggested that the Executive Secretary collect further information. It recommended that a group of legal and technical experts be convened to assist the Conference of the Parties in its task under paragraph 2 of Article 14 and proposed some terms of reference for this Group. Finally, the Workshop noted the importance of the awareness-raising and capacity building.

105. Under this item, the Conference of the Parties is invited to take note of the updated synthesis and to consider the proposal of the Workshop, which will be reproduced in document UNEP/CBD/COP/6/1/Add.2.

17.6. Ecosystem approach; sustainable use; and incentive measures

Ecosystem approach

106. At its second meeting, the Conference of the Parties decided that the ecosystem approach should be the primary framework of action to be taken under the Convention. By decision V/6, the Conference of the Parties endorsed a description of the ecosystem approach, points of operational guidance, and recommended application of the principles as reflecting the present level of common understanding. It also encouraged further conceptual elaboration and practical verification. The Conference of the Parties called on Parties, and other relevant organizations to apply the approach, encouraging in particular regional cooperation, the identification of case-studies and implementation of pilot projects, and the provision of technical and financial support for capacity-building. It requested the Executive Secretary to prepare a synthesis of case-studies and lessons learned for presentation to SBSTTA before the seventh meeting of the Conference of the Parties and requested SBSTTA to review the principles and guidelines of the approach, to prepare guidelines for its implementation and to review its incorporation into the various work programmes, also before the seventh meeting of the Conference of the Parties.

107. The Executive Secretary will report on the status of implementation of these activities in document UNEP/CBD/COP/6/12.

Sustainable use

108. The Conference of the Parties considered sustainable use as a priority issue at its fifth meeting and adopted decision V/24, on sustainable use as a cross-cutting issue, and decision V/25, on the relationship between biological diversity and tourism within the context of sustainable use.

109. In its decision V/24, the Conference of the Parties requested the Executive Secretary to assemble practical principles, operational guidelines and associated instruments, and guidance specific to sectors and biomes, which would assist Parties and Governments to develop ways to achieve the sustainable use of biological diversity and to present a progress report for consideration by SBSTTA prior to the sixth meeting of the Conference of the Parties.

110. Accordingly, at its seventh meeting, SBSTTA will consider progress in the development of practical principles, operational guidance and associated instruments for the sustainable use of biological diversity. The SBSTTA Bureau anticipates that the Subsidiary Body will need to consider again best practices and lessons learned from the use of biological diversity, as well as practical principles, operational guidelines and associated instruments on sustainable use of biological diversity at one of its future meetings before it is ready to provide further advice for the consideration of the Conference of the Parties. This is expected to happen before the seventh meeting of the Conference of the Parties.

111. In its decision V/25, the Conference of the Parties accepted the invitation to participate in the international work programme on sustainable tourism development under the Commission on Sustainable Development process. To this end, the Conference of the Parties requested the Executive Secretary to prepare a proposal for the contribution on guidelines for activities related to sustainable tourism development, for example, by convening an international workshop. In addition, the Conference of the Parties, requested SBSTTA to transmit its findings, through the Executive Secretary, to the Commission on Sustainable Development at its tenth meeting (January 2002). Accordingly, at its seventh meeting SBSTTA will consider draft international guidelines for activities related to sustainable tourism development in vulnerable terrestrial, marine and coastal ecosystems and habitats of major importance for biological diversity and protected areas, including fragile riparian and mountain ecosystems, prepared by the Workshop on Biological Diversity and Tourism, convened by the Executive Secretary in Santo Domingo from 4 to 7 June 2001. The report also includes recommendations for future action. The recommendations of SBSTTA will be transmitted to the Commission on Sustainable Development at its tenth session.

112. The Executive Secretary will report on the status of implementation of these activities in document UNEP/CBD/COP/6/12.

Incentive measures

113. By its decision V/15, the Conference of the Parties established a programme of work to promote the development and implementation of social, economic and legal incentive measures for the conservation and sustainable use of biological diversity. To this end, it requested the Executive Secretary to collaborate with relevant organizations:

(a) To gather and disseminate additional information on instruments in support of positive incentives and their performance, and to develop a matrix identifying the range of instruments available, their purpose, interaction with other policy measures, and effectiveness;

(b) To continue gathering information on perverse incentive measures, and on ways and means to remove or mitigate their negative impacts on biological diversity, and consider how these ways and means may be applied;

(c) To elaborate proposals for the design and implementation of incentive measures; and

(d) To promote coordinated action on incentives with other international biodiversity-related agreements and relevant organizations.

114. As a first phase of the programme of work, the Executive Secretary was requested, *inter alia*, to collaborate with relevant organizations to develop a matrix identifying the range of instruments available, their purpose, interaction with other policy measures and effectiveness, with a view to identifying and designing relevant instruments in support of positive measures, and to elaborate proposals for the design and implementation of incentive measures for consideration by SBSTTA and by the Conference of the Parties. To this end, a Workshop on Incentive Measures for the Conservation and Sustainable Use of Biological Diversity was held in October 2001, with financial support from the Government of the Netherlands. The conclusions of the Workshop will be considered by SBSTTA at its seventh meeting.

115. Based on these conclusions, the seventh meeting of SBSTTA is expected to prepare advice for the design and implementation of incentive measures for all the programmes of work of the Convention, including, in particular, for ensuring synergy with activities on sustainable use.

116. Supplementary information, if required, will be provided by the Executive Secretary in document UNEP/CBD/COP/6/12.

117. Under this item, the Conference of the Parties is invited to consider the recommendation of SBSTTA, as well as any specific activities proposed by the Executive Secretary in light of the recommendations of SBSTTA and other relevant information.

ITEM 18. MECHANISMS FOR IMPLEMENTATION

118. Under this item, the Executive Secretary has also prepared a note (UNEP/CBD/COP/6/13) identifying, on the basis of the recommendations of the relevant bodies of the Convention and other organizations, matters that require the attention of the Conference of the Parties for progress to be made in the work of these mechanisms for implementation. In addition, a general review of progress is contained in the report of the Executive Secretary on the administration of the Convention (UNEP/CBD/COP/6/10). Draft elements of decisions for the consideration of the Conference of the Parties will be contained in document UNEP/CBD/COP/6/1/Add.2.

18.1. Financial resources and mechanism (Article 20 and 21)

Further guidance to the financial mechanism

119. Pursuant to Article 21 of the Convention, and in accordance with the Memorandum of Understanding between the Conference of the Parties and the GEF Council, the Conference of the Parties is to communicate its guidance, and any revisions to previous guidance, to GEF, as the institutional structure operating the financial mechanism under the Convention, on the following matters:

- (a) Policy and strategy;
- (b) Programme priorities;
- (c) Eligibility criteria;
- (d) An indicative list of incremental costs;
- (e) A list of developed country Parties and other Parties which voluntarily assume the obligations of developed country Parties; and
- (f) Any other matter relating to Article 21, including periodic determination of the amount of resources needed, as detailed in paragraph 5 of the Memorandum of Understanding.

120. Under this item, and on the basis of its consideration of the report of the GEF Council (item 14), and its consideration of priority issues relating to forest biological diversity (item 21); alien species that threaten ecosystems, habitats or species (item 22); access and benefit-sharing as related to genetic resources (item 23); and strategic plan, national reporting and operations of the Convention (item 24), the Conference of the Parties may wish to consider providing further guidance to GEF in accordance with Article 21 of the Convention and the Memorandum of Understanding. The Conference of the Parties may also wish to consider whether it needs to adjust its existing guidance to GEF in light of its consideration of the thematic areas under item 16, cross-cutting issues under item 17 and the mechanisms for implementation under item 18 of the provisional agenda.

121. By decision V/20 the Conference of the Parties decided that guidance to the financial mechanism should be incorporated into a single decision, including the identification of priority issues which will provide support for cross-cutting issues and capacity-building, especially for developing countries, in a manner that:

- (i) is transparent; (ii) allows participation; and (iii) allows full consideration of its other decisions.

122. To assist the Conference of the Parties in considering this issue, the Executive Secretary has prepared as an information document a compilation of past guidance to the financial mechanism by substantive item of the provisional agenda.

Review of the effectiveness of the financial mechanism

123. Article 21, paragraph 3 provides that the Conference of the Parties shall first review the effectiveness of the financial mechanism not less than two years after the entry into force of the Convention. At its second meeting, the Conference of the Parties decided to undertake the first review the effectiveness of the financial mechanism at the fourth meeting of Conference of the Parties, and thereafter every three years. At its fourth meeting, the Conference of the Parties adopted a decision IV/11 on the review of effectiveness of the financial mechanism, in which it asked the GEF to take action identified in an annex to the decision with a view to improving effectiveness, and to report to the fifth meeting of the Conference of the Parties. At its fifth meeting, the Conference of the Parties determined the terms of reference for the second review of the financial mechanism and decided that it should be conducted in time for the sixth meeting of the Conference of the Parties. The second review will cover the period from November 1996 to June 2001, and will cover all operational programmes of the financial mechanism relevant to the Convention. The terms of reference for the review are set out in the annex to decision V/12. Parties, countries and stakeholders were invited to communicate their views on the effectiveness and efficiency of the financial mechanism during the period under review

by 30 September 2001. In accordance with decision V/12 an independent evaluator was commissioned to conduct the review. The full report of the evaluator will be circulated as an information document, and the executive summary of that report provided by the evaluator will be issued separately as document UNEP/CBD/COP/6/13/Add.1.

124. Under this item, the Conference of the Parties is invited to review the effectiveness of the financial mechanism based on the conclusions of the independent evaluator.

Additional financial resources

125. In addition to the provision of financial resources through the financial mechanism, the Convention also provides that developed country Parties may also provide financial resources related to the implementation of the Convention through bilateral, regional and multilateral channels (Article 20, para. 3). It further provides that the Parties shall consider strengthening existing financial institutions to provide financial resources for the conservation and sustainable use of biological diversity (Article 21, para. 4). Under the heading of "Additional financial resources" the Conference of the Parties has adopted a number of decisions that call upon donors to provide support for activities to implement the Convention.

126. Most recently, in decision V/11, the Conference of the Parties requested the Secretariat to further develop a database on biodiversity-related funding information. The Conference of the Parties also invited GEF to assist the Convention Secretariat, in collaboration with other institutions, to convene a workshop on financing for biodiversity to share knowledge and experience among funding institutions and to explore the potential of the GEF as a funding catalyst. Accordingly, a Workshop on Financing for Biodiversity was held in Havana, Cuba from 16 to 17 June 2001. The report of this Workshop is contained in document CBD-GEF/WS-Financing/2.

127. The Conference of the Parties further requested the Executive Secretary to prepare a report on additional financial resources, including proposals for monitoring financial support for the Convention; possible collaboration with relevant organisations; exploring possibilities for additional financial support for elements of the longer-term programme of work, and examining issues related to private sector support for implementation of the Convention. It also indicated that it would review implementation of decision V/11 at its sixth meeting.

128. Accordingly, the Executive Secretary has prepared a note on additional financial resources (UNEP/CBD/COP/6/14), which reports on implementation of decision V/11 and contains a number of recommendations for the consideration of the Conference of the Parties to further advance the implementation of this issue.

129. Under this item, the Conference of the Parties is invited to consider the recommendations contained in the note by the Executive Secretary.

18.2. Scientific and technical cooperation and the clearing-house mechanism (Article 18, paragraph 3)

130. At each of its meetings, the Conference of the Parties has reviewed progress in the development of the clearing-house mechanism of the Convention. By decision V/14, the Conference of the Parties endorsed a longer-term programme of work for the clearing-house mechanism (UNEP/CBD/COP/5/INF/4) and supported the implementation of a strategic plan for the clearing-house

mechanism (UNEP/CBD/COP/5/INF/3). It also set out measures and activities to be undertaken in relation to the development of the clearing-house mechanism by: (i) Parties and Governments at the national level; and (ii) the Secretariat in consultation with the informal advisory committee. The Conference of the Parties has also repeatedly highlighted the importance of cooperation with other organizations in the development of the clearing-house mechanism. It invited all organizations to cooperate as active partners in the operation of the clearing-house mechanism and instructed the Executive Secretary to improve synergy with regard to information exchange with other biodiversity related conventions and ongoing information initiatives. In decision V/14, the Conference of the Parties requested the Executive Secretary to monitor and review the operation of the clearing-house mechanism and report to the Conference of the Parties at its sixth meeting on any recommended adjustments to its operation or to the strategic plan.

131. The Executive Secretary, in consultation with the informal advisory committee of the clearing-house mechanism, has provided a brief report on the operations of the clearing-house mechanism and, based on this experience, prepared a number of recommended adjustments to the operation of the clearing-house mechanism, which are contained in document UNEP/CBD/COP/6/13.

132. Under this item, the Conference of the Parties is invited to consider the recommendations of the Executive Secretary.

18.3. Education and public awareness (Article 13)

133. The Conference of the Parties has considered Article 13 in decision IV/10 B and subsequently in decision V/17. At its fourth meeting, the Conference of the Parties invited the United Nations Educational, Scientific and Cultural Organization (UNESCO) to consider launching a global initiative on biodiversity education, training and public awareness, and requested the Executive Secretary to explore the feasibility of such an initiative and report to the fifth meeting of the Conference of the Parties on progress. At its fifth meeting, the Conference of the Parties requested the Executive Secretary, in cooperation with UNESCO, to convene a consultative working group of experts to identify priority activities for the proposed global initiative on biological diversity education and public awareness. The working group is to take into account priorities developed by the Conference of the Parties and priorities identified in the Strategic Plan to be prepared for the Convention (see item 24 of the provisional agenda for this meeting). The first meeting of the CBD/UNESCO Consultative Expert Group was held in Paris, France in July 2000. The report of that meeting is document UNEP/CBD/GEEPA/1/3. The second meeting was held in November in Bergen, Norway. The report of that meeting is document UNEP/CBD/GEEPA/2/3. The third meeting of the CBD/UNESCO Consultative Expert Group will be held in Bilbao in November 2001. The report of that meeting will be document UNEP/CBD/GEEPA/3/3.

134. Based on the reports of the CBD/UNESCO Consultative Expert Group the Executive Secretary has prepared a report on progress in developing the global initiative, which is contained in document UNEP/CBD/COP/6/13.

135. Under this item, the Conference of the Parties is invited to consider the recommendations contained in document UNEP/CBD/COP/6/13.

ITEM 19. COOPERATION

136. At its first meeting, the Conference of the Parties decided to consider as a standing item on its agenda the relationship of the Convention with the Commission on Sustainable Development and biodiversity-related conventions, other international agreements, institutions and processes of relevance. Since then the Conference of the Parties has consistently recognized the importance of cooperation and synergy with other conventions and organizations. Many of these activities are described in the context of specific areas of work and as such have been addressed in other items of the provisional agenda for this meeting. Under this item the Executive Secretary will report on important stand-alone activities.

19.1. Cooperation with other conventions and international organizations and initiatives

137. To facilitate cooperation with other conventions and international organizations and initiatives, the Secretariat has entered into a number of further memoranda of cooperation with a number of relevant conventions and institutions since the fifth meeting of the Conference of the Parties.

138. In accordance with decision V/19 the Secretariat has also participated in a project on harmonizing reporting requirements of biodiversity-related conventions (the Convention on International Trade in Endangered Species of Wild Fauna and Flora, the Convention on the Conservation of Migratory Species of Wild Animals, the Ramsar Convention on Wetlands and the World Heritage Convention), and has continued to develop joint work programmes to implement a more harmonious system of reporting.

139. The Conference of the Parties also requested the Executive Secretary to collaborate with, *inter alia*:

(a) The Secretariat of the Convention on Migratory Species (CMS) to develop a proposal on how migratory species could be integrated into the CBD work programme; and

(b) The Millennium Ecosystem Assessment with the view to facilitating and supporting the undertaking of a number of pilot scientific assessments for SBSTTA.

140. At its sixth meeting, SBSTTA considered a proposed joint work programme between the Convention on Migratory Species and the Convention on Biological Diversity. It requested the Executive Secretary in close collaboration with the Secretariat of the Convention on Migratory Species to further develop the joint work programme. Accordingly, the proposed joint work programme will be presented to the Conference of the Parties at its sixth meeting for its consideration.

141. The Conference of the Parties also has called for strengthened collaboration between the Convention on Biological Diversity and the United Nations Framework Convention on Climate Change (UNFCCC), on issues such as: coral bleaching; the impact of climate change on forest biodiversity; incentive measures; and the integration of biodiversity considerations in the implementation of the Kyoto Protocol. The UNFCCC Subsidiary Body for Scientific and Technical Advice (SBSTA), at its thirteenth session took note of the decisions adopted at the fifth meeting of the Conference of the Parties to the Convention on Biological Diversity and their links to the UNFCCC. SBSTA agreed to consider this matter in more detail at its fourteenth session, in June 2001. It invited UNFCCC Parties to submit their views on the issues identified in a discussion note prepared by the Executive Secretary of the Convention on Biological Diversity, to the UNFCCC Secretariat no later than 1 March 2001.

142. In response to the request of the Conference of the Parties, SBSTTA, at its sixth meeting, in its recommendation VI/7, noted the interlinkages between biological diversity and climate change, and decided to promote, on the basis of the ecosystem approach, a wider assessment of these inter-linkages. As a first step in this wider assessment, SBSTTA decided to carry out a pilot assessment to prepare scientific advice to integrate biodiversity considerations into the implementation of the Framework Convention on Climate Change and its Kyoto Protocol and, for this purpose, established, an ad hoc technical expert group in accordance with its *modus operandi*. To this end an expert group has been established and is expected to meet in November 2001. This Group is expected to finalize its report at its second meeting in May 2002. This report will be considered by a joint Convention on Biological Diversity/UNFCCC workshop. It is expected that SBSTTA will consider a summary for policy makers at its ninth meeting, in time for the seventh meeting of the Conference of the Parties.

143. The note by the Executive Secretary prepared under this item (UNEP/CBD/COP/6/15) reports on the status of these activities. Under this item, the Conference of the Parties is invited to endorse the joint work programme with Convention on Migratory Species and take note of the activities with the United Nations Framework Convention on Climate Change.

19.2. Contribution to the ten-year review of the implementation of Agenda 21 ("Rio+10")

144. By decision V/27, the Conference of the Parties welcomed the invitation of the United Nations General Assembly to the Secretariat of the Convention to report on how its activities are contributing to the implementation of Agenda 21 and the invitation of the Commission on Sustainable Development extended to secretariats of UNCED-related conventions to support preparatory activities of the ten-year review. The Conference of the Parties requested the Executive Secretary to support such preparatory activities and, in particular, to report to the Commission on Sustainable Development on progress made in the implementation of the Convention and encouraged Parties, Governments and countries to highlight and emphasize biological diversity considerations in their contributions to the ten-year review. The Executive Secretary has responded to the invitation of the General Assembly to provide a report on the experience of the Convention, the lessons learnt and provide ideas and proposals for way forward for the further implementation of Agenda 21 and the Programme for the Further Implementation of Agenda 21 for the consideration of the Commission on Sustainable Development at its tenth session and the General Assembly at its World Summit on Sustainable Development (General Assembly resolution 54/218, para. 11 (e), resolution 55/201, para. 10, and resolution 55/199, para. 11). This report has been prepared and has been provided to the Secretariat of the United Nations.

145. Other activities being planned for the World Summit on Sustainable Development are described in the note by the Executive Secretary under this item (UNEP/CBD/COP/6/15).

146. Under this item, the Conference of the Parties is invited to take note of the report of the Executive Secretary and to consider requesting its President and the Executive Secretary to represent the Conference of the Parties at the World Summit on Sustainable Development.

ITEM 20. BUDGET FOR THE PROGRAMME OF WORK FOR THE BIENNIUM 2003-2004

147. Under this item, the Conference of the Parties is invited to adopt a programme budget for the biennium 2003-2004 to meet the core administrative costs of the Conference of the Parties, SBSTTA, other meetings and the Secretariat. At its fifth meeting, the Conference of the Parties approved a

programme budget of \$8,594,000 for the year 2001 and \$10,049,900 for the year 2002 for the BY Trust Fund. After allowing for the savings from previous years, the Conference of the Parties approved the sum of \$13,440,700 to be raised by means of assessed contributions from the Parties to the Convention.

148. At its sixth meeting, the Conference of the Parties will have before it, for consideration and approval, a proposed budget for the BY, BE and BZ Trust Funds for the Convention on Biological Diversity for 2001-2002 (UNEP/CBD/COP/6/16). As in the past, the levels of contributions to the budget by individual Parties, which are set out in annex I of the document, have been based on the United Nations scale of assessments for the apportionment of the expenses of the Organization.

149. The proposed programme budget builds upon the existing programmes and subprogrammes. It also anticipates likely developments arising from the sixth meeting of the Conference of the Parties. Detailed information on activities and resource needs by programme and subprogramme are explained in the note by the Executive Secretary on detailed subprogramme activities and resources required (UNEP/CBD/COP/6/16/Add.1).

IV. PRIORITY ISSUES FOR REVIEW AND GUIDANCE

150. Section IV of the provisional agenda includes those items that the Conference of the Parties, in its decision IV/16, singled out for in-depth consideration at its sixth meeting

151. Parties have indicated that, in undertaking a strategic review of the issue in this way, the Conference of the Parties should endeavour to achieve three goals: review of accomplishments to date; adoption of decisions regarding the products of work completed to date and the establishment of a vision for future work. Any programme initiated by the Conference of the Parties should, where possible, establish a vision for future work and identify: the types of products towards which the programme should be working; a timetable for those products; and the means to achieve these goals. The types of products, given the nature of the Convention process, include:

- (a) Manuals of best practice;
- (b) Guidelines;
- (c) Codes of conduct;
- (d) Guidance for the institutions under the Convention;
- (e) Criteria;
- (f) Indicators;
- (g) Standards/labelling; and
- (h) Protocols.

152. For each item in this section, the Conference of the Parties will have before it detailed advice from SBSTTA and, where appropriate, other bodies. The notes from the Executive Secretary submitted under each item will focus on supplementary information.

ITEM 21. FOREST BIOLOGICAL DIVERSITY

153. The focus of the work programme for forest biological diversity, as adopted by the Conference of the Parties in its decision IV/7, is on research, cooperation and indicators, and development of technologies necessary for the conservation and sustainable use of forest biodiversity. In its decision V/4, the Conference of the Parties decided to consider expanding the focus of the work programme on forest biological diversity from research to practical action at its sixth meeting.

154. In addition, the Conference of the Parties requested SBSTTA:

(a) To consider:

- (i) The impact of climate change on forest biological diversity (paragraph 11);
- (ii) The causes and effects of human-induced uncontrolled forest-fires on forest biological diversity and possible approaches to address their negative impacts (paragraph 12); and
- (iii) The impact of, and propose sustainable practices for, the harvesting of non-timber forest resources, including bush meat and living botanical resources (paragraph 14); and

(b) To prepare scientific advice in order to integrate biodiversity considerations, including biodiversity conservation, in the implementation of the United Nations Framework Convention on Climate Change and its Kyoto Protocol (paragraph 17).

155. The Conference of the Parties established an Ad Hoc Technical Expert Group on Forest Biological Diversity to assist SBSTTA in its work on forest biological diversity. The Expert Group met twice and will report to the seventh meeting of SBSTTA.

156. SBSTTA, at its seventh meeting, is expected to consider the report of the Ad Hoc Technical Expert Group on Forest Biological Diversity (UNEP/CBD/SBSTTA/7/6). In particular, SBSTTA will consider how the practical actions identified by the Expert Group could be integrated in an expanded programme of work on forest biological diversity to facilitate the implementation of paragraph 2 of decision V/4 of the Conference of the Parties. To this end, it is expected that SBSTTA will propose an expanded programme of work based on the advice of the Expert Group.

157. SBSTTA will also consider a review by the Ad Hoc Technical Expert Group on Forest Biological Diversity, of the status and trends of forest biological diversity, forest ecosystem functioning and services, the direct and underlying causes of biological diversity loss, and ongoing international initiatives on forest biological diversity conservation and sustainable use.

158. In addition, the seventh meeting of SBSTTA will also consider the impacts of climate change on forest biodiversity, the causes and effects of human-induced uncontrolled forest fires on forest biological diversity and the impact of harvesting of non-timber forest resources, including bush meat and living botanical resources as well as possible options on how to mitigate the negative impacts on forest biological diversity for consideration and inclusion in national biodiversity strategies and action plans.

159. The Conference of the Parties may therefore be requested to consider SBSTTA recommendations on this topic, in particular to endorse the review of the status and trends of, and major

threats to, forest biological diversity and on provide further guidance on how to further develop and implement the programme of work on forest biological diversity.

160. The note by the Executive Secretary to be submitted under this item (UNEP/CBD/COP/6/17) will complement the recommendations of SBSTTA providing further information, where required and considering those aspects of the programme of work that are beyond the mandate of SBSTTA.

161. Under this item, the Conference of the Parties is invited to consider the recommendations of SBSTTA and review the proposals of the Executive Secretary.

ITEM 22. ALIEN SPECIES THAT THREATEN ECOSYSTEMS, HABITATS OR SPECIES

162. The Conference of the Parties has considered this issue, as reflected in a number of decisions, most importantly decisions IV/1 C and V/8. In decision IV/1 C, the Conference of the Parties decided that alien species was a cross-cutting issue within the Convention, and recognized the particular importance of geographically and evolutionarily isolated ecosystems, such as small islands, when considering it. It asked SBSTTA to report on alien species to the fifth meeting of the Conference of the Parties and to examine the Global Invasive Species Programme (GISP) with a view to developing proposals for further action under the Convention on this issue.

163. SBSTTA considered the subject at both its fourth and fifth meetings. It recommended a format for case-studies and proposed that the Conference of the Parties adopt a set of interim guiding principles on introduction of alien species. At its fifth meeting, the Conference of the Parties adopted a modified version of these interim guiding principles, which it urged Parties, Governments and relevant organizations to apply in interim fashion, noting that the definition of many of the terms was not yet settled. It also called for case-studies to be submitted. The Conference of the Parties stressed the importance of the Global Invasive Species Programme, calling on it to develop a second phase of its activities and urging that the programme be supported financially.

164. The Conference of the Parties also decided that it would consider at its sixth meeting further options for implementing Article 8(h), including the possibility of developing an international instrument. To assist in these deliberations, the Conference of the Parties asked Parties to submit a thematic report on alien species by 30 September 2000, following a standardized format. SBSTTA considered the issue in detail at its sixth meeting. It provided a report on the status and trends of alien species, further revised the interim guiding principles and recommended that SBSTTA be requested to further identify and explore specific gaps in the international regulatory framework and to report on its conclusions at the seventh meeting of the Conference of the Parties.

165. The note by the Executive Secretary to be submitted under this item (UNEP/CBD/COP/6/18) will complement the recommendations of SBSTTA providing further information, where required and considering the relevant aspects of the decision IV/1 C and decision V/8 beyond the mandate of SBSTTA.

166. Under this item, the Conference of the Parties is invited to consider the recommendations of SBSTTA and review the proposals of the Executive Secretary.

ITEM 23. ACCESS TO GENETIC RESOURCES

167. Under this item, the Conference of the Parties is invited to take up, as appropriate, the recommendations of the Ad Hoc Open-ended Working Group on Access and Benefit-Sharing (UNEP/CBD/COP/6/6).

168. The Conference of the Parties established the Working Group to develop guidelines and other approaches for consideration of the Conference of the Parties at its sixth meeting, and to assist Parties and stakeholders in addressing a list of elements relevant to access and benefit-sharing. The elements listed are: terms for prior informed consent and mutually agreed terms; roles, responsibilities and participation of stakeholders; relevant aspects relating to *in situ* and *ex situ* conservation and sustainable use; mechanisms for benefit-sharing, for example through technology transfer and joint research and development; and means to ensure the respect, preservation and maintenance of knowledge, innovations and practices of indigenous and local communities. The guidelines are to be based, *inter alia*, on the recommendations of the Panel of Experts on Access and Benefit-Sharing, which held a second meeting in Montreal in March 2000. The Conference of the Parties also requested that the Working Group consider the role of intellectual property rights in the implementation of access and benefit-sharing arrangements.

169. The first meeting of the Working Group is to be held in Bonn, Germany, from 22 to 26 October 2001.

170. The Open-ended Ad Hoc Inter-Sessional Working Group on the Implementation of Article 8(j) and Related Provisions, established under decision IV/9, may also consider issues of relevance to access to genetic resources from the perspective of Article 8(j). This Working Group is tentatively due to meet for the second time in February 2002 and its report will be before this meeting of the Conference of the Parties (UNEP/CBD/COP/6/7).

171. The Conference of the Parties has acknowledged that there are close links between provisions of the Convention and the World Trade Organization (WTO) and the World Intellectual Property Organization (WIPO), in particular with respect to intellectual property rights and benefit-sharing. The Conference of the Parties has requested the Executive Secretary to cooperate with WIPO on a wide range of issues, in particular the role of intellectual property rights and the implementation of Article 8(j). The Conference of the Parties has requested the Executive Secretary to cooperate with the WTO and through the WTO Committee on Trade and Environment to explore the extent to which there may be linkages between Article 15 and relevant articles of the Agreement on Trade Related Aspects of Intellectual Property Rights (TRIPs). It has also emphasized that further work is needed to help develop a common appreciation of the relationship between the TRIPs Agreement and the Convention with regard to, *inter alia*, the fair and equitable sharing of benefits arising out of the use of genetic resources.

172. In its decisions III/15 and V/26 A, the Conference of the Parties urged Governments to bring to a rapid conclusion the negotiation for the adaptation of the International Undertaking on Plant Genetic Resources for Food and Agriculture, in harmony with the Convention on Biological Diversity. In the same decisions, the Conference of the Parties also affirmed its willingness to consider a decision by the FAO Conference that the revised Undertaking should take the form of a legally binding instrument with strong links to the Convention. While certain issues are still pending, the text of the Undertaking, as prepared by the FAO Commission on Plant Genetic Resources for Food and Agriculture, will be submitted to the FAO Conference in November 2001 for finalization and adoption.

173. In decision V/26 C, the Conference of the Parties decided to continue the information-gathering exercise on *ex-situ* collections which were acquired prior to the entry into force of the Convention and which are not addressed by the Commission on Genetic Resources of the FAO, and requested the Executive Secretary to report again on this issue to the sixth meeting of the Conference of the Parties.

174. The note by the Executive Secretary to be submitted under this item (UNEP/CBD/COP/6/19) will report on the status of these aforementioned activities. The note will complement the recommendations of Ad Hoc Open-ended Working Group on Access and Benefit Sharing providing further information, where required. It will also provide further information on cooperation with WIPO and WTO, information gathered on *ex situ* collections and the status of the negotiations for the International Undertaking.

175. Under this item, the Conference of the Parties is invited to consider the recommendations of the Ad Hoc Open-ended Working Group on Access and Benefit-Sharing, the revised International Undertaking and the implications of the institutional arrangements established to govern the Undertaking, and consider the report of the Executive Secretary on *ex situ* collections.

ITEM 24. STRATEGIC PLAN, NATIONAL REPORTING AND OPERATIONS OF THE CONVENTION

176. Considering ways and means to ensure the efficient operations of the Convention has been a central issue for each meeting of the Conference of the Parties. Most recently the matter has been the subject of attention in decisions IV/16 and decision V/20. In decision V/20, the Conference of the Parties adopted a number of developments with respect to the operations of the Convention dealing with; meetings of the Conference of the Parties, the development of a Strategic Plan for the Convention, the operations of SBSTTA, implementation and other miscellaneous matters.

177. In the same decision, the Conference of the Parties decided to hold an open-ended inter-sessional meeting, to assist with preparations for the sixth meeting of the Conference of the Parties. The Inter-Sessional Meeting on the Strategic Plan, National Reports and the Implementation of the Convention is to be held in Montreal from 19 to 21 November 2001. The meeting will consider, and to the extent possible develop draft elements of decisions on, the following topics:

- (a) Preparation of the Strategic Plan for the Convention;
- (b) The second national reports; and
- (c) Means to support implementation of the Convention, in particular, implementation of priority actions in national biodiversity strategies and action plans.

178. An important element of these three topics and a central feature of the overall purpose of the meeting is the operations of the Convention. The meeting is expected to propose draft elements on each of these issues for the consideration of the Conference of the Parties. The report of the meeting will be contained in document UNEP/CBD/COP/6/5.

179. Under this item, the Conference of the Parties is invited to consider the recommendations of the Inter-Sessional Meeting on the Strategic Plan, National Reports and the Implementation of the Convention.

V. FINAL MATTERS

ITEM 25. OTHER MATTERS

180. The Conference of the Parties may wish to consider other matters raised and accepted for discussion in accordance with rule 12 of the rules of procedure and paragraph 7 of decision IV/16.

ITEM 26. ADOPTION OF THE REPORT

181. The Conference of the Parties, at its sixth meeting, will consider and adopt its report. In accordance with established practice, the Conference of the Parties is invited to authorize the Rapporteur to complete the final report after the meeting, with the guidance of the President and assistance of the Secretariat.

ITEM 27. CLOSURE OF THE MEETING

182. In the event that the first meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol does take place from 22 to 26 April 2002 (see para. 22 above), it is expected that the sixth meeting of the Conference of the Parties will be closed by its President on Friday, 26 April, at approximately 6 p.m. Otherwise, the meeting will be closed at the same time on Friday 19 April.

*Annex I*LIST OF DOCUMENTS FOR THE SIXTH MEETING OF THE CONFERENCE OF THE PARTIES
TO THE CONVENTION ON BIOLOGICAL DIVERSITY

| | |
|-------------------------|--|
| UNEP/CBD/COP/6/1 | Provisional agenda |
| UNEP/CBD/COP/6/1/Add.1 | Annotations to the provisional agenda |
| UNEP/CBD/COP/6/1/Add.2 | Draft decisions for the sixth meeting of the Conference of the Parties |
| UNEP/CBD/COP/6/2 | Preparations for the seventh meeting of the Conference of the Parties |
| UNEP/CBD/COP/6/3 | Report of the sixth meeting of the Subsidiary Body on Scientific, Technical and Technological Advice |
| UNEP/CBD/COP/6/4 | Report of the seventh meeting of the Subsidiary Body on Scientific, Technical and Technological Advice |
| UNEP/CBD/COP/6/5 | Report of the Inter-Sessional Meeting on the Strategic Plan, National Reports and the Implementation of the Convention |
| UNEP/CBD/COP/6/6 | Report of the Ad Hoc Open-ended Working Group on Access and Benefit-sharing |
| UNEP/CBD/COP/6/7 | Report of the Open-ended Ad Hoc Inter-Sessional Working Group on the Implementation of Article 8(j) and Related Provisions |
| UNEP/CBD/COP/6/8 | Report on the status of the Cartagena Protocol on Biosafety |
| UNEP/CBD/COP/6/8/Add.1 | Report of the Intergovernmental Committee for the Cartagena Protocol on Biosafety on the work of its first meeting |
| UNEP/CBD/COP/6/8/Add.2 | Report of the Intergovernmental Committee for the Cartagena Protocol on Biosafety on the work of its second meeting |
| UNEP/CBD/COP/6/9 | Report of the Global Environment Facility |
| UNEP/CBD/COP/6/10 | Report of the Executive Secretary on the administration of the Convention and the budget for the Trust Fund of the Convention |
| UNEP/CBD/COP/6/11 | Progress report on the implementation of programmes of work on the biological diversity of inland water ecosystems, marine and coastal biological diversity, agricultural biological diversity and the biological diversity of dry and sub-humid lands |
| UNEP/CBD/COP/6/12 | Progress report on cross-cutting issues |
| UNEP/CBD/COP/6/12/Add.1 | Updated synthesis of submissions from Governments and international organizations in response to the questionnaire on liability and redress under the Convention on Biological Diversity |
| UNEP/CBD/COP/6/13 | Progress report on the mechanisms for implementation |
| UNEP/CBD/COP/6/13/Add.1 | Executive summary of the report of the independent evaluator for the review of the effectiveness of the financial mechanism |

| | |
|-------------------------|--|
| UNEP/CBD/COP/6/14 | Additional financial resources (decision V/11) |
| UNEP/CBD/COP/6/15 | Cooperation and the ten-year review of the implementation of Agenda 21 |
| UNEP/CBD/COP/6/16 | Proposed budget for the programme of work for the biennium 2003 – 2004 |
| UNEP/CBD/COP/6/16/Add.1 | Proposed budget for the programme of work for the biennium 2003 – 2004: programme activities and resources required |
| UNEP/CBD/COP/6/17 | Forest biological diversity |
| UNEP/CBD/COP/6/18 | Review and consideration of options for the implementation of Article 8(h) on alien species that threaten ecosystems, habitats or species |
| UNEP/CBD/COP/6/19 | Access and benefit-sharing as they relate to genetic resources: recent developments in the implementation of decisions V/26 A-C |
| UNEP/CBD/COP/6/INF/1 | The potential implications of genetic use restriction technologies (GURTs) for the conservation and sustainable use of agricultural biological diversity and the range of agricultural production systems in different countries |
| UNEP/CBD/COP/6/INF/2 | The impact of trade liberalization on the conservation and sustainable use of agricultural biological diversity |
| UNEP/CBD/COP/6/INF/3 | Compilation of past guidance to the financial mechanism by substantive item of the provisional agenda |
| UNEP/CBD/COP/6/INF/4 | Review of the effectiveness of the financial mechanism: report of the evaluator |

Annex II

**SUGGESTIONS FOR THE ORGANIZATION OF WORK AT THE SIXTH MEETING OF THE
CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL DIVERSITY**

| | PLENARY | WORKING GROUP I | WORKING GROUP II |
|--|---------|-----------------|------------------|
|--|---------|-----------------|------------------|

| | PLENARY | WORKING GROUP I | WORKING GROUP II |
|---|--|---|---|
| <i>Sunday 7 April 2002</i> 3 p.m. - 6 p.m. | <ol style="list-style-type: none"> 1. Opening of the meeting. 2. Election of officers. 3. Adoption of the agenda 4. Organizational matters. | | |
| <i>Monday 8 April 2002</i> 10 a.m. - 1 p.m. | <ol style="list-style-type: none"> 6. Pending issues. 8. Reports of the regional preparatory meetings. 9. Reports of the Subsidiary Body on Scientific, Technical and Technological Advice. 10. Report of the Inter-Sessional Meeting on the Strategic Plan, National Reports and the Implementation of the Convention. 11. Report of the Ad Hoc Open-ended Working Group on Access and Benefit-sharing. 12. Report of the Working Group on the Implementation of Article 8(j) and Related Provisions. 13. Report of the status of the Cartagena Protocol on Biosafety. 14. Report of the Global Environment Facility. 15. Report of the Executive Secretary on the administration of the Convention and the budget for the Trust Fund of the Convention. 20. Budget for the programme of work for the biennium 2003-2004. | | |
| 3 p.m. - 6 p.m. | | 21. Forest biological diversity. | 23 Access and benefit-sharing as related to genetic resources. |
| <i>Tuesday 9 April 2002</i> 10 a.m. - 1 p.m. | | 21 (<i>continued</i>) | 23 (<i>continued</i>) |
| 15:00 - 18:00 | | 22 Alien species that threaten ecosystems, habitats or species. | 24 Strategic plan, national reporting and operations of the Convention. |

| | PLENARY | WORKING GROUP I | WORKING GROUP II |
|--|--------------------|---|--|
| <i>Wednesday 10 April 2002</i> 10 a.m. - 1 p.m. | | 22 (<i>continued</i>) | 24 (<i>continued</i>) |
| 3 p.m. - 6 p.m. | | 16. Thematic programmes of work—progress reports on implementation: biological diversity of inland waters; marine and coastal biological diversity; biological diversity of dry and sub-humid lands; and agricultural biological diversity. | 18.1 Financial resources and mechanism. 18.2 Scientific and technical cooperation and the clearing-house mechanism. |
| <i>Thursday 11 April 2002</i> 10 a.m. - 1 p.m. | | 17.1. Identification, monitoring, indicators and assessments. | 18.3 Education and public awareness. |
| 3 p.m. - 6 p.m. | | 17.2. Global Taxonomy Initiative. | 19.1 Cooperation with other conventions and international organizations and initiatives. |
| <i>Friday 12 April 2002</i> 10 a.m. - 1 p.m. | | 17.3. Global Strategy for Plant Conservation . | 19.2 Contribution to the ten-year review of the implementation of Agenda 21 (“Rio+10”). |
| 3 p.m. - 6 p.m. | Review of progress | | |
| <i>Monday 15 April 2002</i> 10 a.m. - 1 p.m. | | 17.6. Ecosystem approach; sustainable use; and incentive measures. | 17.4 Article 8(j) and related provisions. |
| 3 p.m. - 6 p.m. | | 17.5. Liability and redress (Article 14, paragraph 2). | (<i>Matters outstanding</i>) |
| <i>Tuesday 16 April 2002</i> 10 a.m. - 1 p.m. | | (<i>Matters outstanding</i>) | (<i>Matters outstanding</i>) |
| 3 p.m. - 6 p.m. | | (<i>Matters outstanding</i>) | (<i>Matters outstanding</i>) |
| <i>Wednesday 17 April 2002</i> 10:00 - 15:00 | | (<i>Matters outstanding</i>) | (<i>Matters outstanding</i>) |
| 3 p.m. - 6 p.m. | | (<i>Preparation of report</i>) | (<i>Preparation of report</i>) |

| | PLENARY | WORKING GROUP I | WORKING GROUP II |
|---|--|----------------------|----------------------|
| <i>Thursday 18 April 2002</i> 10 a.m. - 1 p.m. | | (Approval of report) | (Approval of report) |
| 3 p.m. - 6 p.m. | <p>5 Report on the credentials of representatives to the sixth meeting of the Conference of the Parties.</p> <p>7 Date and venue of, and preparations for, the seventh meeting of the Conference of the Parties.</p> <p>24 Other matters.</p> | | |
| <i>Friday 19 April 2002</i> 10 a.m. - 1 p.m. | 25 Adoption of the report of the sixth meeting of the Conference of the Parties to the Convention on Biological Diversity. | | |
| 3 p.m. - 6 p.m. | Opening of the first meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol. | | |
| <i>Monday 22 April to Friday 26 April</i> | The first meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol. | | |
| <i>Friday 26 April</i> 3 p.m. - 6 p.m. | <p>25 Adoption of the report of the sixth meeting of the Conference of the Parties to the Convention on Biological Diversity.</p> <p>26 Closure of the sixth meeting of the Conference of the Parties to the Convention on Biological Diversity.</p> | | |

**SIXTH MEETING OF THE CONFERENCE OF THE PARTIES
TO THE CONVENTION ON BIOLOGICAL DIVERSITY
THE HAGUE, 7-19 APRIL 2002**

Pre-Registration Form **(Please print)**

Mr. / Mrs. / Ms. / Amb. / Dr. / Prof. Female Male

FAMILY NAME: _____

First name: _____

Have you attended CBD meeting(s) before?: Yes No

Representing:

GOVERNMENT : _____

Ministry/department/agency : _____

OR

ORGANIZATION : _____

(SHORT NAME / ACRONYM): _____

(Check one) UN/ Specialized Agency Inter-governmental organization

Non-governmental organization Indigenous community organization

Media Industry Other

Official Address : _____

Telephone : + (_____) _____

Fax : + (_____) _____

Telex : + (_____) _____

E-MAIL : _____

URL: _____

Signature : _____ Date: _____

Please return duly filled by 31 January 2002 to :

Secretariat of the
Convention on Biological Diversity
World Trade Center
393 Saint-Jacques St., Suite 300
Montreal, Quebec, Canada
H2Y 1N9
Fax : + 1 (514) 288-6588
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Preferred language:

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- French
- Spanish
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