



Ref: SCBD/SEL/VN/48920

11 May 2005

Dear Madam/Sir,

### NOTIFICATION

#### **Gathering of information on ABS measures and capacity-building projects related to access and benefit-sharing**

The purpose of this notification is to invite Parties and relevant organisations to provide information to the Secretariat with respect to access and benefit-sharing measures adopted by Parties or relevant organisations to assist with the implementation of the access and benefit-sharing provisions of the Convention and regarding capacity-building projects related to access and benefit-sharing which are underway. This information is to be made available through the Clearing House Mechanism, in the databases available on the CBD website.

#### **Information on access and benefit-sharing measures**

A database on access and benefit-sharing measures has been developed by the Secretariat in order to provide information on measures taken by Governments or relevant organizations to implement the access and benefit-sharing provisions of the Convention. The database may include a broad range of measures such as: national or regional strategies, policies, legislations, regulations, community management plans, guidelines or codes of conduct on access and benefit-sharing. It is available at the following address: <http://www.biodiv.org/programmes/socio-eco/benefit/measures.aspx>.

#### Requested action by Parties

In order for the database to provide an accurate and comprehensive overview of existing access and benefit-sharing measures worldwide, Parties and relevant organizations are invited to provide information to the Secretariat on such measures, as they become available, by filling in the **data entry form in Annex 1** and returning it to the Secretariat.

To: CBD National Focal Points, ABS National Focal Points and relevant organisations



### **Capacity-building for access and benefit-sharing**

In decision VII/19F, the Conference of the Parties adopted the Action Plan on Capacity-building for access and benefit-sharing and invited Parties to use the Action Plan when designing and implementing national, regional and subregional plans and strategies to build capacities for access and benefit-sharing of genetic resources and related traditional knowledge.

In paragraph 5 of the same decision, Parties are also requested to make information available through the clearing-house mechanism and to include information in national reports regarding their implementation of capacity-building measures on access to genetic resources and related traditional knowledge, and benefit-sharing.

The Action Plan recognises that in view of the multiplicity of actors undertaking capacity-building initiatives for access to genetic resources and benefit-sharing, mutual information-sharing and coordination at all levels should be promoted to encourage synergies and to identify gaps in coverage.

The Executive Secretary is requested to facilitate, including through the clearing-house mechanism, the sharing of relevant information among donor Parties and organizations to assist coordination, reduce duplication and identify gaps relevant to the implementation of the Action Plan.

The database set up by the Executive Secretary includes information on various ongoing capacity-building projects related to access to genetic resources and benefit-sharing and is available at the following address: <http://www.biodiv.org/programmes/socio-eco/benefit/projects.aspx>.

#### Requested action by Parties

Parties and relevant organizations and stakeholders are invited to make available to the Secretariat information regarding capacity-building projects and to update this information on a regular basis. For this purpose, the **data entry form in Annex 2** should be filled in and forwarded to the Secretariat.

Please accept, Madam/Sir, the assurances of my highest consideration.

Yours sincerely,

Hamdallah Zedan  
Executive Secretary

## ANNEX I

### Database on Access and Benefit-sharing Measures

#### DATA ENTRY FORM

#### General guidelines for completing the form

Parties and relevant organisations are invited to fill out this form in order to identify the main characteristics of the measures undertaken to address access to genetic resources and the fair and equitable sharing of benefits, in accordance with Article 15 of the Convention. Parties and relevant organisations are also encouraged to forward to the Secretariat **a copy of the measure, preferably in electronic form** (Word format would be appreciated), which will be accessible through the Clearing House Mechanism, for information purposes.

In the event that more than one measure has been adopted at the national or regional level, Parties and relevant organizations are requested to fill in a separate form for each different type of measure.

#### Identification of Level and Name

1) In the second column, please indicate whether the measure has been undertaken at the regional, national, sub-national, community or local level.

	<b>Level</b>
Regional	
National	
Sub-national	
Community	
Local	
Other	

2) Please provide the name of the region or of the country and, if applicable, of the sub-national level (e.g. State, Province, etc) or community or locality within that country to which the measure applies:

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**Area of activity**

Please indicate whether the measure is general or whether it has been undertaken to apply to specific sectors (e.g. agriculture, forestry) and/or whether it is meant to apply to specific categories of users (e.g. industry, botanical gardens, research institutes, *ex situ* collection holders).

General	
Sectoral	
Specific to a category of users	

If sectoral and/or specific to a category of users, please specify the sector and/or category of user:

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**Type of measure in place and official title**

Please indicate whether the measure undertaken has taken the form of a national or regional strategy, policy, legislation, regulation, community management plan, guidelines or a code of conduct on access and benefit-sharing.

Strategy	
Policy	
Legislation	
Regulation	
Community management plan	
Guidelines/Code of conduct	
Other	

If other, please specify:

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***Please provide the official title of the measure:***

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**Scope**

Please indicate whether the policy, strategy, legislation, regulation, community management plan, guidelines or code of conduct related to access and benefit-sharing is within the framework of a broader measure dealing with sustainable development, environment or biodiversity or whether it is a distinct measure focussing only on access and benefit-sharing.

Sustainable development	
Environment	
Biodiversity	
Access and Benefit-sharing	

**Coverage**

Please indicate which of the following specific issues related to access and benefit-sharing are covered by the measure:

Access to Genetic Resources	
Equitable Sharing of benefits arising out of the utilization of genetic resources	
Equitable sharing of benefits from the utilization of traditional knowledge, innovations and practices	
Intellectual property rights related to genetic resources	
Intellectual property rights concerning the protection of traditional knowledge, innovations and practices related to genetic resources	
Customary or traditional use of genetic resources	
Other	

If other, please specify:

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**Current status of the measure**

Where applicable, please indicate whether the measure is still in draft form, or whether it has been adopted and has entered into force and if so, at which date it has been adopted and/or has entered into force.

<b>Status</b>	<b>Date(Day/Month/Year)</b>
Draft	
Adopted	
Entered into force	

## ANNEX II

Database on ongoing ABS capacity-building projects

**DATA ENTRY FORM****General guidelines for completing the form**

For a project to be included, it should be primarily aimed at building capacity for access to genetic resources and benefit-sharing. The project should be implemented over a period of time (preferably over six months) and should not be a one off event (e.g. organization of a workshop or a study tour). It should include several activities over a period of time. Detailed description of the project and other relevant information (e.g. project reports), where available, should be provided as a separate attachment (Word format or a web-link would be appreciated).

**General description:**

*Title of project or programme:*

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**Status**

Indicate whether the project is ongoing, planned or completed

	Planned
	Ongoing
	Completed

**Period**

Indicate the specific dates of the project

	Date (Day/Month/Year)
<b>From</b>	
<b>To</b>	

*Country(ies) or Region(s)*

Indicate in which geographic region or in which country (ies) the project is being implemented

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**Participating organization(s)**

**Lead organization(s) implementing the project or providing support:**

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**Type of implementing agency(ies)**

Please indicate, in the following list, the type of organization(s) that initiated and is/was mainly responsible for the implementation of the project

<input type="checkbox"/>	Inter-governmental organization
<input type="checkbox"/>	UN System Organization
<input type="checkbox"/>	Non-governmental organization
<input type="checkbox"/>	Government
<input type="checkbox"/>	Private foundation
<input type="checkbox"/>	Industry
<input type="checkbox"/>	Research/Scientific
<input type="checkbox"/>	Education/University

If other, please specify:

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**Other collaborating organizations/agencies, if applicable:****Main target group or target audience**

Please indicate, in the following list, the main target group/audience and beneficiaries of the project.

<input type="checkbox"/>	Government officials
<input type="checkbox"/>	Policy makers
<input type="checkbox"/>	Civil servants
<input type="checkbox"/>	Indigenous and local community representatives
<input type="checkbox"/>	Farmers
<input type="checkbox"/>	Researchers/scientists
<input type="checkbox"/>	Business sector
<input type="checkbox"/>	Civil society
<input type="checkbox"/>	Other

**Contact details**

Provide the name and position of a contact person who will be responsible for updating information about the project and responding to requests from the database users for further information.

**Title (Mr, Ms, Dr):**

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**Name**

First Name:

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Last Name:

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**Designation/Job title/Function:**

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**Organization:**

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**Address**

Street Address	
Postal Code	
City, State or Province	
Postal Code	
Country	

**International Phone Number:**


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**International Fax Number:**


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**Email address:**


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**Web site:**


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**Funding****Source**

Please indicate whether the source of funding for this project is multilateral or bilateral

<input type="checkbox"/>	Multilateral
<input type="checkbox"/>	Bilateral
<input type="checkbox"/>	Other

**Funding agency(ies)**

Please indicate the funding agency and the amount of funding

Name of funding agency	
Amount in US\$	

**Goals and objectives**

State the overall goal of the project and outline the main objectives

*Overall goal:*

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*Specific objectives:*

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## **Scope and activities**

### *Main capacity building areas*

The following list of capacity-building areas related to access and benefit-sharing is based on the key areas requiring capacity-building identified in the Action Plan on capacity-building for access to genetic resources and benefit-sharing (included in Annex to decision VII/19F). For ease of use and to facilitate database searches, the description of key areas has been synthesized. Reference to the relevant paragraphs of the Action Plan are included in parenthesis. Please indicate, out of the following list, the main capacity-building areas to which the project is contributing:

Assessment, inventory and monitoring of genetic resources and related traditional knowledge (paragraph 5(b))	
Assessment, inventory and monitoring of <i>in situ</i> and <i>ex situ</i> conservation activities (paragraph 5(b))	
Bioprospecting (paragraph 5 (d))	
Valuation of genetic resources and related traditional knowledge and development of their market potential (paragraph 5 (e))	
Impact of access activity on conservation and sustainable use of biodiversity (paragraph 5 (o))	
Inventories and case studies of existing policies and legislative measures related to access and benefit-sharing (paragraph 5 (g))	
Development of relevant policies and legislation on access to genetic resources and related traditional knowledge (paragraph 5(h))	
Development of appropriate measures in countries with users of genetic resources under their jurisdiction to support compliance with measures in provider countries related to prior informed consent and mutually agreed terms (paragraph 5(f))	
Information systems for information management and exchange linked to the Clearing House Mechanism under the Convention (paragraph 5 (i))	
Information to all potential users on their obligations regarding access to genetic resources and related traditional knowledge (paragraph 5 (q))	
Public education and awareness with respect to access and benefit-sharing issues (paragraphs 5 (k) (m))	
Human resource development at all levels related to access and benefit-sharing (paragraph 5(l))	
Development and strengthening of the capacities of indigenous and local communities (paragraph 5(j))	
Clarification and/or recognition of rights and claims of indigenous and local communities over genetic resources and related traditional knowledge (paragraph 5 (p))	
Institutional capacity-building (paragraph 5(a))	
Inter-institutional coordination (paragraph 5(n))	

For the capacity-building areas identified above, please indicate whether the capacity-building activity(ies) is/are being carried out at the national, regional/subregional and/or international levels.

National level activities	
Regional, sub-regional activities	
International level activities	

*Please describe the nature of activity(ies)*

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**Outcomes and lessons learned**

**Outcomes:**

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**Lessons learned, including additional resources needed:**

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**Any other relevant information:**

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