



CBD

# Secretariat of the Convention on Biological Diversity

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Biodiversity and Climate Change

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26 October 2007

## NOTIFICATION

### **Draft strategy for resource mobilization in support of the achievement of the Convention's three objectives**

Dear Madam/Sir,

I am very pleased to forward to you the draft strategy on resources mobilization reflecting the preliminary comments and views made during the first informal consultation with Parties and relevant organizations, held on 13 October 2007, at the headquarters of the Secretariat in Montreal, Canada.

Parties, Governments, and relevant organizations are kindly requested to submit comments/views/suggestions on the draft strategy on resources mobilization, no later than **30 November 2007**.

The revised version of the draft strategy, which will reflect the comments received before 30 November 2007, will be dispatched no later than 15 December 2007. This document will be considered by the second informal consultation with Parties and relevant organizations to be held on 20 January 2008, at the UNEP/Regional Office for Europe, in Geneva, Switzerland.

Please accept, Madam/Sir, the assurances of my highest consideration.

Ahmed Djoghlaif  
Executive Secretary

To all CBD National Focal Points

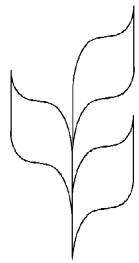


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## CONVENTION ON BIOLOGICAL DIVERSITY

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**Preliminary revised draft**  
26 October 2007

ORIGINAL: ENGLISH

### *“Draft Strategy for Resource Mobilization in Support of the Achievement of the Convention’s Three Objectives”*

#### Introduction

The informal consultation on the development of a draft strategy for resource mobilization in support of the achievement of the Convention’s three objectives was held in Montreal, Canada on Saturday, 13 October 2007. Forty-five participants from thirty three countries as well as Global Environment Facility and United Nations Environment Programme attended the informal consultation.

It was agreed that the draft strategy prepared by the secretariat will be revised taking into account the views expressed during the informal consultation and the written submissions and building on the following elements:

- The revised text should be drafted as a draft strategy rather than a plan of action;
- Build upon existing high-level policy agreements including Agenda 21, the Johannesburg Plan of Implementation of WSSD, the Monterrey Consensus and the 2005 World Summit Outcome and relevant COP decisions;
- Streamline and shorten the draft text, and avoid duplication and redundancies;
- The revised document should start by stating clearly the objective of the strategy and build on elements contained in paragraph 10 of the initial draft document;
- The first section should be devoted to needs assessment and gap analysis;
- Recommendations need to be workable and doable, and meet reality check;
- Build upon and making better use of existing financial and institutional mechanisms, including the GEF;
- Making best use of existing available financial resources;
- The suggested 25 activities will need to be reduced and the recommendations will need to be prioritized using a short-term, medium-term and long-term framework as well as phased approaches;
- Avoid controversial issues, but not exclude them from further examination;
- Link to three objectives of the Convention, not just conservation;
- Tailored to the seven work programmes of the Convention as well its cross-cutting programme including transfer of technology;
- Mainstream the three objectives of the convention into the development processes and economic sectors;
- Promote biodiversity as a tool for achieving MDGs including poverty eradication;
- Maintain the balanced approach between national, regional and international funding as well as various sources of funding (private sector, foundations and public);

- South-South cooperation as modality to complement the necessary North South cooperation;
- Engage the private sector and build on the expected results of the Lisbon Conference on Business and Biodiversity to be held on 12-13 November 2007;
- Enhance public awareness especially at local level;
- Consider specific needs of least developed countries and SIDS;
- Promote human and institutional capacity;
- Living document to be adjusted to respond to evolving needs and circumstances

It was agreed that:

- A preliminary revised document will be circulated to Parties for further comments at the end of October 2007, and inputs from Governments and other stakeholders will be submitted no later than 30 November 2007 with a view to having a revised document for distribution by the middle of December 2007, a month in advance of the January 2008 informal consultation;
- The second informal consultation will be held on Saturday, 20 January 2008, from 10:00 am to 12:30 pm in the UNEP Regional Office for Europe premise in Geneva, prior to the sixth meeting of the Ad Hoc Open-ended Working Group on Access and Benefit-sharing (ABSWG-6);
- The third and final informal consultation will be held on 16 February 2008 in Rome, prior to the thirteenth meeting of the Subsidiary Body on Scientific, Technical and Technological Advice (SBSTTA-13)

The preliminary revised draft strategy is annexed hereto.

*Annex Draft Strategy for Resource Mobilization in Support of the Achievement of the Convention's Three Objectives*

The eighth meeting of the Conference of the Parties to the Convention held in 2006 decided to develop a strategy for resource mobilization in support of the achievement of the Convention's objectives. The purpose of the Strategy for Resource Mobilization is to foster a shared vision of ensuring financial support to translating global biodiversity consensus into national and local biodiversity achievements and provide guidance on implementing the financial provisions of the Convention at all levels, drawing on success stories and good practices.

The overall/strategic objective of the resource mobilization strategy is to seek to mobilize adequate, predictable and timely financial resources, through the full range of possible national, regional and international as well as public and private sources of financing, to support the achievement of the three objectives of the Convention at the local, national, regional and international levels for an initial period up to 2015 that coincides with the international development planning cycle including the Millennium Development Goals.

The implementation of this resource mobilization strategy requires reinforcing political will and commitment to better recognize the importance of biodiversity in sustainable development, including building capacity to this effect. Special consideration should be given to varying financial, technical and human resources capabilities of developing countries and countries with economies in transition, in particular those of least developed countries and small island States.

Section I: Needs assessment and gap analyses

To be developed

Goal 1: Mobilize domestic financial resources for biological diversity

In achieving the objectives of the Convention at the national level, a critical challenge is to ensure the necessary internal financial base and capacity for translating global commitments into national action programmes and implementing national biodiversity priorities effectively. In accordance with Article 20, paragraph 1 of the Convention, the Parties should have a clear understanding of domestic funding status and provide an enabling domestic environment for mobilizing domestic resources, increasing budgetary allocations, encouraging the private sector, and attracting and making effective use of international investment and assistance.

Objective 1.1

To establish an essential information base for supporting resource mobilization and biodiversity planning, implementation and review

Objective 1.2

To prepare and implement national and/or regional biodiversity financial programmes as a financial instrument for developing a common vision of local, national and international stakeholders, identifying their roles in resource mobilization, and assuring an optimal deployment of funds

Objective 1.3

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To develop and implement guidelines and/or best practice guidance for estimating costs of inaction on biodiversity loss or social-economic values of biodiversity, needs estimation, prioritization, sectoral integration, stakeholder involvement and fund raising

Objective 1.4

To obtain and maintain increased national budgetary allocations for biodiversity activities, and explore biodiversity-adaptive budgeting / a comprehensive budget-wide approach to enhancing biodiversity management

Objective 1.5

To promote increased sectoral budgetary allocations for biodiversity management and integrate biodiversity considerations in sectoral policy development, budgeting and planning processes

Objective 1.6

To integrate biodiversity consideration in policy development, budgeting and planning processes of provincial and local governments, and encourage local investment to support biodiversity management

Objective 1.7

To research on biodiversity-compatible tax systems and develop and implement good practice guidance on using an optional mix of all tax instruments to promote biodiversity management

Objective 1.8

To maximize the benefits of national and regional environmental funds as essential complements to national biodiversity capacities, from fund raising and distribution to biodiversity management and policy development

Objective 1.9

To research on payment for ecosystem services as a financial option for contributing significantly to improving local, regional and national economies, social well-being and conservation of biodiversity, as well as the implementation of the Millennium Development Goals

Objective 1.10

To involve the banking industry and financial sector, including national development banks, in promoting sustainable development and biodiversity management

Objective 1.11

To develop partnerships with and encourage sponsorship from the private sector and corporations to support improved biodiversity management

Objective 1.12

To establish, when feasible, a national coordination structure mandated to mobilize resources and facilitate contact between national institutions and potential or actual donors, and organize annual national implementation consultations to develop and implement national biodiversity financial programmes

Objective 1.13

To improve synergies between multiple development objectives such as conservation and sustainable use of biodiversity, combat of climate change and desertification as well as economic development and poverty reduction, as well as the effectiveness of using resources including through improved cooperation among Conventions at the national level

Objective 1.14

To provide well resourced technical assistance and productive capacity building including training on national resource mobilization and financial planning

Goal 2

Increase new and additional financial resources for biological diversity

The Global Environment Facility is one of the leading financial institutions that have mobilized and provided substantial financial resources to support the implementation of the Convention at all levels. It continues to search for improving its operational effectiveness as well as for increasing its relevance to the requirements of the Convention. In accordance with Article 20, paragraph 2 of the Convention, the Parties should make full use of the Global Environment Facility in mobilizing, leveraging and channeling new and additional financial resources for purposes of the Convention.

Objective 2.1

To develop, introduce, implement and brand new and innovative funding products and instruments that can attract new and additional contributions so as to better target at priority needs identified by the Conference of the Parties

Objective 2.2

To promote new and additional financial resources, including through co-financing and other modes of financing, for meeting incremental costs of biodiversity projects of the Global Environment Facility and bilateral, regional and international development agencies

Objective 2.3

To mobilize international private sector investment in biodiversity management

Objective 2.4

To explore and implement possible ways of integrating biodiversity consideration in the development of new and innovative sources of international development finance

Goal 3

Mainstream biodiversity in development cooperation plans and priorities including the linkage between Convention's work programmes and Millennium Development Goals and mobilize international resources for biological diversity

Successful mobilization of international financial resources requires appropriate match of interests from both developed and developing countries. The initiatives of developing countries are of critical importance in mobilizing international resources for biological diversity. Developed countries are expected to continue to play a leadership role in the mobilization of international financial resources. In accordance with Article 20, paragraph 3 of the Convention, the Parties must intensify efforts to enable international resource flow to biodiversity through existing international financial architecture in a sustainable and cost-effective manner.

Objective 3.1

To develop and implement a visionary and clear negotiating platform for encouraging donor investment in biodiversity management, including meetings with financial and technical assistance agencies for matching their interests with priority projects, such as roundtable meetings

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with country based representatives, follow up meetings with individual agencies, international roundtable meetings in parallel with meetings of the Convention, in particular the Conference of the Parties

Objective 3.2

To continue to develop specific assistance programmes and initiatives targeted at the environment in general and biodiversity in particular, such as special sectoral programmes on biodiversity or other sector-wide approaches

Objective 3.3

To enhance the integration of biological diversity into sectoral development cooperation and assistance programmes, paying particular attention to agriculture, forestry, fisheries sectors, rural development and sustainable resource management

Objective 3.4

To remove debt barriers to biodiversity finances by accelerating debt relief and cancellation initiatives and promote swaps of debt for nature to promote conservation and sustainable use of biodiversity

Objective 3.5

To promote effective integration of the Convention's objectives into the United Nations development system, in particular those of direct relevance to biodiversity

Objective 3.6

To augment biodiversity investment by international financial institutions and development banks, in particular the World Bank, the International Monetary Fund, and regional development banks

Objective 3.7

To identify and intensify South-South Cooperation to enable the flow of development assistance among developing countries, including through investments, grants, concessional loans and debt cancellation and complement North-South Cooperation as a means of sharing best practices and providing enhanced technical cooperation

Objective 3.8

To strengthen cooperation and coordination among funding partners at the regional and subregional levels to address technical, technological, human and financial challenges related to the achievement of the objectives of the Convention that are of a transboundary nature and can best be addressed through interregional, regional and subregional cooperation

Objective 3.9

To enhance financial and technical cooperation with international biodiversity organizations, in particular non-governmental organizations that have traditionally been a driving force in international biodiversity movement, and play an important role in financing for biodiversity

Objective 3.10

To promote funding synergies in the national implementation of the three Rio conventions and other biodiversity-related conventions, in particular those that have demonstrated great potential of mobilizing substantial financial resources, and can deliver considerable double dividends for the global environment

Objective 3.11

To develop innovative financial mechanisms to promote access and benefit-sharing related to genetic resources

Goal 4

Strengthen existing financial institutions to support biological diversity

The improved effectiveness of existing financial cooperation for biodiversity management can help to release resources from inefficient use and also enhance mutual confidence of donors and recipients in mobilizing further resources. Resource mobilization efforts must have a focus on the continuing improvement of resource utilization for the conservation and sustainable use of biodiversity. In accordance with Article 21, paragraph 4 of the Convention, the international biodiversity community must strive to stay ahead of the major international consensus on development cooperation effectiveness in order to inform further resource mobilization, and secure efficiency gains from optimal allocations of resources among different programmes or plans of work of the Convention as well as effectiveness gains at the project and programme level.

Objective 4.1

To consolidate existing strategies for obtaining financial and technical resources, and increase complementarities and added values between various sources of funding

Objective 4.2

To widen the scope of collaboration between the Convention and the Organization for Economic Cooperation and Development that provides an institutional arrangement through which bilateral donors and other donors deal with effectiveness issues related to cooperation with developing countries

Objective 4.3

To apply the basic principles of the 2005 Paris Declaration on Aid Effectiveness to address effectiveness issues

Objective 4.4

To augment financial cooperation targeted at priorities and needs that have already been identified by the Conference of the Parties through an optimal mix of comparative advantages of different stakeholders, sources of funding and channels of delivery

Objective 4.5

To develop and promote harmonized priority setting frameworks to guide international resource flows to biodiversity

Goal 5

Capacity building and South-South cooperation as modality to complement necessary North South cooperation

To be elaborated

Goal 6

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Enhance the global engagement for resource mobilization in support of the achievement of the Convention's three objectives

To effectively mobilize financial resources for biodiversity requires an unremitting effort of all relevant stakeholders of the Convention, locally, nationally, regionally and internationally. The global biodiversity institutions must develop well resourced plans of action for implementing the strategy of resource mobilization to assist the Parties in mobilizing sufficient financial resources dedicated to biodiversity management.

Objective 6.1

To promote exchange of experience and good practice in financing for biodiversity

Objective 6.2

To enable the Secretariat of the Convention and the Global Environment Facility to facilitate the implementation of this strategy for resource mobilization to support the achievement of the Convention's three objectives

Objective 6.3

To review the implementation of the strategy for resource mobilization at its regular meetings of the Conference of the Parties

Conclusion

The strategic goals and strategic objectives mentioned in this *Strategy* will be relevant to Parties and financial and technical agencies to varying degrees and in differing contexts. Each element is likely to be more relevant for a particular context than for others.

Implementation of this *Strategy* is expected to occur gradually, and at various levels.

While the *Strategy* provides a guiding framework for planning actions, it should not be interpreted as providing an exhaustive list of all the relevant initiatives that could be taken. Detailed actions under the *Strategy* should be designed in a way that can adapt to rapidly evolving development cooperation environment and biodiversity challenges, based on the results of implementation experiences.