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6 December 2012

## NOTIFICATION

### **Decisions of the sixth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety (COP-MOP/6) addressed directly to Parties and other Governments**

Madam/Sir,

I have the pleasure to inform you that the final report of the sixth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety held in Hyderabad, India, 1 to 5 October 2012 (document UNEP/CBD/BS/COP-MOP/6/18) is now available on the website of the Secretariat at: <http://www.cbd.int/doc/?meeting=MOP-06>.

Furthermore, I would like to bring to your attention the relevant parts of the decisions that request direct actions by Parties and other Governments, as part of the programme of work, over the next biennium. Please find attached herewith a summary list of these requests and decisions for your consideration with the expected dates of action, where appropriate.

I would also like to draw your attention in particular to those requests that call for the submission of pertinent information and/or views to the Secretariat in preparation for the seventh meeting of the COP-MOP. In order to facilitate timely preparations of background documents, it would be appreciated if the appropriate information is submitted no later than 6 months before the seventh meeting of the COP-MOP, namely no later than 1 April 2014.

I would like to take this opportunity to thank you for the support your Government has provided, to date, to the work of the Cartagena Protocol on Biosafety and look forward to your continued cooperation.

Please accept, Madam/Sir, the assurances of my highest consideration.

Braulio Ferreira de Souza Dias  
Executive Secretary

Enclosures

To: Cartagena Protocol on Biosafety (CPB) National Focal Points  
CBD National Focal Points (where CPB focal points have not yet been designated)



Convention on  
Biological Diversity

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**SUMMARY OF REQUESTS AND DATES FOR SUBMISSION OF INFORMATION TO THE SECRETARIAT  
(AS DERIVED FROM THE DECISION OF COP-MOP/6 CONTAINED IN ANNEX I TO UNEP/CBD/BS/COP-MOP/6/18)  
(INCLUDING PENDING MATTERS FROM PREVIOUS COP-MOP DECISIONS)**

	<b>Requested action/information</b>	<b>Date of action (as appropriate)</b>
<b>DECISION BS-VI/1 – Report of the Compliance Committee</b>		
<b>BS-VI/1</b>	4. <i>Reminds</i> Parties experiencing challenges putting in place legal, administrative and other measures necessary for the implementation of the Protocol that they may submit their difficulties to the Compliance Committee in order to seek assistance in this regard, as indicated in paragraph 1(a) of decision BS-V/1;	On-going
<b>BS-VI/1</b>	5. <i>Reiterates</i> its invitation to Parties to make use of the programme of work on public awareness, education and participation concerning the safe transfer, handling and use of living modified organisms, contained in the annex to decision BS-V/13, in order to facilitate the fulfilment of their obligations to promote public awareness and participation, as specified in Article 23 of the Protocol, including for the purposes of developing their own awareness programmes;	On-going
<b>BS-VI/1</b>	6. <i>Encourages</i> Parties to use, as appropriate, the procedures and mechanisms on compliance set out in the Protocol to promote compliance with the requirements of the Protocol.	On-going
<b>DECISION BS-VI/2. Operation and activities of the Biosafety Clearing-House</b>		
<b>BS-VI/2</b>	2. <i>Urges</i> Parties and invites other Governments to fulfil their obligations under the Protocol and the decisions of the meeting of the Parties, by updating all incomplete published national records with the mandatory fields required by the common formats;	On-going
<b>DECISION BS-VI/3. Capacity-building</b>		
<b>BS-VI/3</b>	3. <i>Adopts</i> a new Framework and Action Plan for Capacity-Building for the Effective Implementation of the Cartagena Protocol, as contained in annex I to this decision, to replace the updated Action Plan for Building Capacities for the Effective Implementation of the Cartagena Protocol on Biosafety;  4. <i>Invites</i> Parties, other Governments, and relevant organizations to implement the Framework and Action Plan for capacity-building referred to in paragraph 3 above and to share their experiences through the Biosafety Clearing-House;	On-going
<b>BS-VI/3</b>	5. <i>Also invites</i> developed country Parties and donors and relevant organizations to take into account the above Framework and Action Plan in providing financial and technical support to developing countries, in particular the least developed and small island developing States among them, and countries with economies in transition;	On-going

	<b>Requested action/information</b>	<b>Date of action (as appropriate)</b>
<b>BS-VI/3</b>	6. <i>Requests</i> the Executive Secretary to prepare, for consideration by the regular meetings of the Parties, reports on the status of implementation of the above Framework and Action Plan, on the basis of the submissions made by Parties, other Governments and relevant organizations;	6 months before MOP-7 (April 2014)
<b>BS-VI/3</b>	II. <i>Strategic approaches to capacity-building</i>  11 <i>Invites</i> Parties, other Governments and relevant organizations to adopt, as appropriate and in a timely manner, the strategic approaches to capacity-building outlined in section 3.6 of the capacity-building framework and action plan referred to in paragraph 3 above with a view to improving the design, delivery, effectiveness, impact and sustainability of biosafety capacity-building initiatives;	On-going
<b>BS-VI/3</b>	III. <i>Coordination Mechanism</i>  14. <i>Invites</i> donor countries and agencies and other organizations providing capacity support in biosafety to participate actively in the Coordination Mechanism;	February 2013; On-going
<b>BS-V/3</b>	7. <i>Requests</i> the Executive Secretary to undertake a comprehensive needs assessment every four years and invites Parties to complete the needs assessment at least 12 months before the meeting of the Parties that would consider the needs assessment report;	6 months before MOP-7 (April 2014)
<b>DECISION BS-IV/4 – Roster of Biosafety Experts</b>		
<b>BS-VI/4</b>	2. <i>Reiterates</i> its earlier call to Parties and other Governments that have not yet done so to nominate experts to the roster;	February 2013
<b>BS-VI/4</b>	4. <i>Decides</i> to expand the mandate of the roster of experts to include supporting, as appropriate and upon request, the work of the Secretariat, the Conference of the Parties serving as the meeting of the Parties to the Protocol and other bodies under the Protocol, in relation to capacity-building for developing countries and countries with economies in transition;	On-going
<b>BS-VI/4</b>	5. <i>Invites</i> Parties and other Governments to consider nominating experts on the roster to serve on ad hoc technical expert groups, informal advisory committees and other relevant bodies under the Protocol and/or to attend technical meetings under the Protocol;	On-going
<b>BS-VI/4</b>	6. <i>Invites</i> Parties, other Governments, relevant organizations and the Executive Secretary to consider using experts on the roster as resource persons and/or facilitators for training workshops, courses and other capacity-building activities;	On-going
<b>BS-VI/4</b>	8. <i>Reiterates</i> its invitation to developed country Parties and other donors to make contributions to the voluntary fund in order to fully operationalize the roster, so as to facilitate implementation of the Strategic Plan for the Cartagena Protocol for the period 2011–2020.	February 2013

	Requested action/information	Date of action (as appropriate)
<b>DECISION BS-VI/5. Matters related to the financial mechanism and resources</b>		
<b>BS-VI/5</b>	1. <i>Urges</i> Parties to give priority to national biosafety plans and projects under the Global Environment Facility's System for Transparent Allocation of Resources (STAR) to ensure support for the implementation of the Cartagena Protocol on Biosafety	On-going
<b>BS-VI/5</b>	II. <i>Mobilization of additional resources</i>  4. <i>Urges Parties and invites</i> other Governments to implement, as appropriate, the following measures within the overall framework of the Strategy for Resource Mobilization in support of the Convention on Biological Diversity, with a view to mobilizing additional financial resources for implementation of the Protocol and in accordance with Articles 20 and 21 of the Convention and Article 28 of the Protocol:  (a) Identify and seek funding support from diverse sources including regional and international donor agencies, foundations and, as appropriate, through private-sector involvement;	On-going
<b>BS-VI/5</b>	(b) Establish strategic partnerships with other Parties and other Governments and with various organizations, regional bodies or centres of excellence with a view to pooling resources and/or widening opportunities and possibilities for mobilizing resources from various sources;	On-going
<b>BS-VI/5</b>	(c) Identify and maximize opportunities for technical cooperation with regional and international organizations, institutions and development assistance agencies;	On-going
<b>BS-VI/5</b>	(d) Mainstream biosafety into national development plans and relevant sectoral policies, strategies and programmes, including development assistance programmes and national biodiversity strategies and action plans;	On-going
<b>BS-VI/5</b>	(e) Consider designating dedicated staff for resource mobilization and building internal capacity to mobilize resources for the implementation of national biosafety activities in a systematic, coordinated and sustainable manner;	On-going
<b>BS-VI/5</b>	(f) Ensure efficient use of available resources and adopt cost-effective approaches to capacity-building;	On-going
<b>BS-VI/5</b>	5. <i>Invites</i> Parties and other Governments to exchange, through the Biosafety Clearing-House, information on their experiences, good practices and lessons learned on the mobilization of resources at the national and regional levels;	On-going

	Requested action/information	Date of action (as appropriate)
<b>DECISION BS-VI/8 – Handling, Transport, Packaging and Identification of Living Modified Organisms: Paragraphs 2(b) and (c) of Article 18</b>		
<b>BS-VI/8</b>	1. <i>Urges</i> Parties to expedite the implementation of their biosafety regulatory frameworks and make available to the Biosafety Clearing-House any laws, regulations and guidelines for the implementation of the Protocol and any changes to their regulatory requirements related to the identification and documentation of living modified organisms destined for contained use or living modified organisms for intentional introduction into the environment;	On-going
<b>BS-VI/8</b>	2. <i>Requests</i> Parties and <i>encourages</i> other Governments to continue to implement the requirements of paragraphs 2(b) and 2(c) of Article 18 of the Protocol and related decisions through the use of a commercial invoice or other documents required or utilized by existing documentation systems, or documentation required by domestic regulatory and/or administrative frameworks;	On-going
<b>BS-VI/8</b>	4. <i>Invites</i> Parties, other Governments, and relevant international organizations to cooperate with and support developing country Parties and Parties with economies in transition to build capacity to implement the detection and identification requirements of paragraphs 2(b) and 2(c) of Article 18 of the Protocol and related decisions, including by facilitating the transfer of technology;	On-going
<b>BS-VI/8</b>	5. <i>Encourages</i> Parties and <i>invites</i> other Governments to support, in meetings of the Committee on Sanitary and Phytosanitary Measures of the World Trade Organization, the request of the Secretariat of the Convention on Biological Diversity for observer status in the Committee;	On-going
<b>BS-V/8</b>	<p><b>FROM BS-V/8</b> on Handling, transport, packaging and identification of living modified organisms: paragraph 2(a) of Article 18 (paragraph 6 and 7) (also in POW for MOP-7 in annex II to decision BS-V/16):</p> <p>6. <i>Decides</i>, taking into account the limited experience gained to date in the implementation of paragraph 4 of <a href="#">decision BS-III/10</a>, to postpone the decision-taking referred to in paragraph 7 of <a href="#">decision BS-III/10</a> until its seventh meeting. This decision-taking should also include consideration of the need for a stand-alone document, as referred to in paragraph 2 of <a href="#">decision BS-III/10</a>;</p> <p>7. <i>Requests</i> Parties and <i>invites</i> other Governments and relevant organizations to submit to the Executive Secretary, no later than six months prior to the seventh meeting of the Parties to the Protocol, further information on experience gained with the implementation of paragraph 4 of decision BS-III/10 as well as the present decision, including any information on obstacles that are encountered in the implementation of these decisions as well as specific capacity-building needs to implement these decisions, and <i>requests</i> the Executive Secretary to compile the information and prepare a synthesis report for consideration by the Parties at their seventh meeting.</p>	<p>February 2014</p> <p>April 2014</p>

	<b>Requested action/information</b>	<b>Date of action (as appropriate)</b>
<b>DECISION BS-V/10 Notification requirements (Article 8)</b>		
<b>BS-VI/10</b>	1. <i>Requests</i> Parties to address any gaps that may exist in their domestic implementation of the notification requirements under Article 8 of the Protocol, including in the context of their general obligation to take the necessary and appropriate legal, administrative and other measures to implement their obligations under the Protocol, in accordance with paragraph 1 of Article 2 of the Protocol;	On-going
<b>BS-VI/10</b>	2. <i>Decides</i> that possible further review of this item should only take place if there is a documented need, indicated by Parties through national reports or other submissions, including to the Biosafety Clearing-House, that demonstrate challenges in implementing obligations under Article 8, taking also into account experiences of acknowledging receipt of notification in the context of Article 9;	On-going
<b>BS-VI/10</b>	3. <i>Invites</i> Parties, other Governments and relevant organizations to consider using the LMO quick-link tool by their relevant national authorities where reference is made to a living modified organism;	On-going
<b>BS-VI/10</b>	4. <i>Encourages</i> Parties, other Governments and relevant organizations to share, through the Biosafety Clearing-House, experiences and best practices on the implementation of notification requirements under Article 8 of the Protocol.	On-going
<b>DECISION BS-VI/11. Nagoya – Kuala Lumpur Supplementary Protocol on Liability and Redress</b>		
<b>BS-VI/11</b>	1. <i>Calls upon</i> Parties to the Protocol that have not yet done so to initiate and expedite their internal processes leading to ratification, approval or acceptance of or accession to the Supplementary Protocol;	On-going
<b>BS-VI/11</b>	2. <i>Calls upon</i> States that are Parties to the Convention but that are not Parties to the Protocol to ratify, accept, approve or accede to the Protocol, as appropriate, without further delay, so that they can also become Parties to the Supplementary Protocol;	On-going
<b>BS-VI/11</b>	3. <i>Notes</i> the outcome of the interregional workshop on capacity needs for the implementation of the Supplementary Protocol and <i>invites</i> Parties to identify their capacity-building needs and establish national priorities in order to be able to effectively implement and apply the provisions of the Supplementary Protocol;	On-going
<b>BS-VI/11</b>	4. <i>Invites</i> Parties and relevant organizations to make financial resources available with a view to supporting awareness-raising, experience-sharing and capacity-building activities in order to expedite the early entry into force and implementation of the Supplementary Protocol;	On-going

	Requested action/information	Date of action (as appropriate)
<b>DECISION BS-VI/12. Risk assessment and risk management (Articles 15 and 16)</b>		
<b>BS-VI/12</b>	2. <i>Encourages</i> Parties, other Governments and relevant organizations, as appropriate, to translate the Guidance into national languages and to make such translated versions available through the Biosafety Clearing-House for wide dissemination, in order to facilitate the testing of the Guidance at national, regional and subregional levels;	On-going
<b>BS-VI/12</b>	3. <i>Also encourages</i> Parties, other Governments and relevant organizations, through their risk assessors and other experts who are actively involved in risk assessment, to test the Guidance in actual cases of risk assessment and share their experiences through the Biosafety Clearing-House and the open-ended online forum;	On-going
<b>BS-VI/12</b>	10. <i>Invites</i> Parties, other Governments and international organizations to provide financial and technical assistance to developing country Parties and Parties with economies in transition to implement the above capacity-building activities, as appropriate;	On-going
<b>BS-VI/12</b>	11. <i>Invites</i> Parties and encourages other Governments and relevant organizations to provide the Executive Secretary with scientific information that may assist in the identification of living modified organisms or specific traits that may have or that are not likely to have adverse effects on the conservation and sustainable use of biological diversity, taking also into account risks to human health;	On-going
<b>DECISION BS-VI/13. Socio-economic considerations</b>		
<b>BS-VI/13</b>	1. <i>Encourages</i> Parties, other Governments and relevant organizations to continue to: <p>(a) Conduct research on the socio-economic impact of living modified organisms with a view to filling knowledge gaps and identifying specific socio-economic issues, including those with positive impacts;</p> <p>(b) Share and exchange, through the Biosafety Clearing-House, information on their research, research methods and experiences in taking the socio-economic impact of living modified organisms into account;</p> <p>(c) Engage local institutions of higher education with a view to building domestic capacity in socio-economic analysis of the impact of living modified organisms on the conservation and sustainable use of biological diversity;</p>	On-going
<b>BS-VI/13</b>	5. <i>Encourages</i> Parties, other Governments and relevant organizations to make funds available to the Executive Secretary to organize a meeting of the ad hoc technical expert group.	On-going

	Requested action/information	Date of action (as appropriate)
<b>DECISION BS-VI/14. Monitoring and reporting (Article 33)</b>		
<b>BS-VI/14</b>	2. <i>Reminds</i> Parties of their obligation to submit national reports, in accordance with Article 33 of the Protocol;	On-going
<b>BS-VI/14</b>	3. <i>Urges</i> the 14 Parties that have not yet submitted their national reports to do so at the earliest opportunity by fully completing the report form for the second national report, as contained in the annex to decision BS-V/14;	On-going
<b>BS-VI/14</b>	4. <i>Also urges</i> those Parties that have not yet responded fully to all mandatory questions in the second national report to cooperate with the Secretariat in order to complete their second national reports as soon as possible;	On-going
<b>BS-VI/14</b>	5. <i>Reminds</i> Parties of paragraph 2 of decision BS-V/14, which requests Parties submitting their national report for the first time to use the reporting format for the second national report, and <i>decides</i> that all Parties should complete this form before using any simplified reporting format that may be adopted in the future;	On-going
<b>BS-VI/14</b>	6. <i>Further reminds</i> Parties of their obligation to make available to the Biosafety Clearing-House the information required under paragraph 3 of Article 20 of the Protocol;	On-going
<b>BS-VI/14</b>	7. <i>Encourages</i> Parties to facilitate the preparation and submission of their national reports by exploring and utilizing, as appropriate: (i) the technical and other resources available in existing bilateral, subregional and regional arrangements; and (ii) the roster of biosafety experts;	On-going
<b>DECISION BS-VI/16. Unintentional Transboundary Movements of Living Modified Organisms (Article 17)</b>		
<b>BS-VI/16</b>	<p>1. <i>Encourages</i> Parties to use, as guidance, or apply, as appropriate, the following in their efforts to implement the measures specified in Article 17 of the Protocol and, in particular, to determine and take appropriate response measures, including emergency measures, in the event of an occurrence that leads or may lead to unintentional transboundary movement of a living modified organism that is likely to cause significant adverse effects on the conservation and sustainable use of biological diversity, taking also into account risks to human health:</p> <p>(a) Decisions that have been or may be taken in the context of identifying living modified organisms under Article 18 of the Protocol, in particular those relating to the detection of living modified organisms;</p> <p>(b) The Guidance on Risk Assessment of Living Modified Organisms developed by the Ad Hoc Technical Expert Group on Risk Assessment and Risk Management with input from the Open-Ended Online Expert Forum;</p>	On-going



	Requested action/information	Date of action (as appropriate)
<b>BS-VI/16</b>	<p>2. <i>Urges</i> Parties and <i>invites</i> other Governments and relevant organizations which have not yet done so to:</p> <p>(a) Make available to the Biosafety Clearing-House the relevant details of their point of contact for the purposes of receiving notifications under Article 17 of the Protocol;</p> <p>(b) Establish and maintain appropriate measures to prevent unintentional transboundary movements of living modified organisms; and</p> <p>(c) Establish a mechanism for emergency measures in case of unintentional transboundary movements of living modified organisms that are likely to have significant adverse effects on the conservation and sustainable use of biological diversity, taking also into account risks to human health;</p>	On-going
<b>BS-VI/16</b>	<p>3. <i>Invites</i> Parties and other Governments to cooperate in building the capacity, transferring the technology and exchanging information necessary to detect and respond to occurrences resulting in a release that could lead to unintentional transboundary movement of a living modified organism that is likely to have significant adverse effects on the conservation and sustainable use of biological diversity, taking also into account risks to human health;</p>	On-going
<b>BS-VI/16</b>	<p>4. <i>Requests</i> Parties and <i>invites</i> other Governments and relevant organizations to provide views and information to the Executive Secretary, six months prior to the seventh meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol, on any challenges and experiences relating to the implementation of Article 17 of the Protocol and on the scope and elements of possible guidance or tools that may facilitate appropriate responses by Parties to unintentional transboundary movements of living modified organisms;</p>	6 months before MOP-7 (April 2014)