



Ref.: SCBD/TSI/RS/YX/LZ/84932

19 August 2015

## NOTIFICATION

### Questionnaire on Funding Needs for the Implementation of the Convention and its Protocols for the Seventh Replenishment Period (July 2018 - June 2022) of the Trust Fund of the Global Environment Facility

Dear Madam/Sir,

I am pleased to inform you that the questionnaire on funding needs for implementation of the Convention and its Protocols for the seventh replenishment period of the Global Environment Facility is now available at the following webpage: <https://www.cbd.int/financial/gef/cbd-gef-7-funds-needs-questionnaire-en.xls>.

The questionnaire is designed by the expert team based on the provisions of the Terms of Reference for a Full Assessment of the Amount of Funds Needed for the Implementation of the Convention and its Protocols for the Seventh Replenishment Period of the Trust Fund of the Global Environment Facility of Decision XII/30.

Guidance on completing the questionnaire is provided together with the questionnaire (<https://www.cbd.int/financial/gef/cbd-gef-7-funds-needs-questionnaire-guide-en.pdf>). The members of the nominated expert team can be contacted for further guidance based on your region and using the contact details provided in the enclosed annex. Additional background information on the members of the expert team is available at: <https://www.cbd.int/financial/gef7experts.shtml>.

I invite you to submit your responses using the attached excel form to the Secretariat at [secretariat@cbd.int](mailto:secretariat@cbd.int) as soon as possible but **no later than 19 October 2015**.

I look forward to receiving your submissions and thank you for your collaboration.

Please accept, Madam/Sir, the assurances of my highest consideration.

Braulio Ferreira de Souza Dias  
Executive Secretary

Enclosure

To: CBD National Focal Points, and GEF Secretariat, Independent Evaluation Office and Agencies



Convention on  
Biological Diversity

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## ANNEX

### **Nominated expert team for preparing a report on the full assessment of funding necessary and available for the implementation of the Convention for the period July 2018 to June 2022**

<b>NAME</b>	<b>NOMINATING COUNTRY/ ORGANIZATION</b>	<b>REGIONS</b>	<b>E-MAIL</b>
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Mr. Yasushi Hibi	Japan	African Group	<a href="mailto:yhibi@conservation.org">yhibi@conservation.org</a>
Ms. Maria Schultz	SwedBio at Stockholm Resilience Centre, Sweden	African Group	<a href="mailto:maria.schultz@su.se">maria.schultz@su.se</a>
Mr. Günter Mitlacher	GEF CSO Network	Central and Eastern European Group, Western European and Others Group	<a href="mailto:guenter.mitlacher@wwf.de">guenter.mitlacher@wwf.de</a>

## **GUIDANCE DOCUMENT**

Decision XII/30: Full Assessment of the Funding Needs for the Implementation of the Convention and Its Protocols for the Seventh Replenishment Period July 2018 to June 2022 of the Trust Fund of the Global Environment Facility

### **QUESTIONNAIRE**

**\*\*\*Note: The Questionnaire on the Full Assessment of the Funding Needs for the Implementation of the Convention and Its Protocols for the Seventh Replenishment Period July 2018 to June 2022 of the Trust Fund of the Global Environment Facility is available at: <https://www.cbd.int/financial/gef/cbd-gef-7-funds-needs-questionnaire-en.xls>.\*\*\***

## QUESTIONNAIRE OVERVIEW

**COLUMN A    COLUMN B    COLUMN C    COLUMN D    COLUMN E    COLUMN F    COLUMN G    COLUMN H**

GEF-7 (July 2018 - June 2022)							
Title of projected needs for GEF-7 funding, based on project concepts	Estimated total project costs	Expected funding from your government	Expected funding from other external sources	Expected funding from GEF-7, based on incremental cost reasoning	Reference to potential GEF-7 programming in line with your national biodiversity strategy and action plan (NBSAP) or national priorities, using codes (refer to guidance)	Link with other conventions etc., using acronyms	Further remarks
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>			
GEF-6 (July 2014 - June 2018)							
Planned							
Title of planned projects for GEF-6 funding, but not yet approved	Estimated total project costs	Expected funding from your government	Expected funding from other external sources	Expected funding from GEF-6, based on incremental cost reasoning	Reference to GEF-6 programming, using codes	Link with other conventions etc., using acronyms	Further remarks
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>			
GEF-6 (July 2014 - June 2018)							
Approved							
Title of approved projects for GEF-6 funding	Approved total project costs	Approved funding from your government	Approved funding from other external sources	Approved funding from GEF-6, based on incremental cost reasoning	<i>This box can be left empty.</i>	Link with other conventions etc., using acronyms	Further remarks
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>			

## I. INTRODUCTION

### **Mandate**

1. The present questionnaire is circulated in accordance with paragraph 11 of the annex to decision XII/30: “the expert team should design a questionnaire on funding needs for the period July 2018 to June 2022 and circulate it to all Parties to the Convention, and the Secretariat, Independent Evaluation Office and agencies of the Global Environment Facility, and include the results in the assessment report”.

### **Respondents**

2. The questionnaire needs to be completed jointly by the National Focal Point of the Convention on Biological Diversity (CBD Focal Point) and the Operational Focal Point of the Global Environment Facility (GEF Focal Point), through a participatory process as appropriate, guided by the national biodiversity committees where applicable.

### **Reference**

3. Relevant information materials referred to in paragraph 3 of the annex to decision XII/30 is available at the following webpage: <https://www.cbd.int/financial/gef7/reference.shtml>. Country information is linked to:

- Article 20, paragraph 2, and Article 21, paragraph 1, of the Convention, as well as the Strategic Plan for Biodiversity 2011-2020 (paragraph 3(a));
- All obligations under the Convention and its Protocols and relevant decisions adopted by the Conference of the Parties (paragraph 3(c));
- The information communicated to the Conference of the Parties in the national reports and, information provided by the Parties through the financial reporting framework (paragraph 3(d)); and
- National strategies, plans or programmes developed in accordance with Article 6 of the Convention (paragraph 3(f))
- National biodiversity strategies and action plans, where available (paragraph 3(l)).

### **Purpose**

4. In accordance to paragraph 5 of the annex to decision XII/30, your responses will be used for the following purpose:
- Compilation and analysis of the needs identified in national biodiversity strategies and action plans, including country-specific resource mobilization strategies, prepared by Parties pursuant to Article 6 of the Convention (paragraph 5(a))
  - Review of reports submitted by Parties pursuant to Article 26 of the Convention to identify funding needs in fulfilment of their obligations under the Convention (paragraph 5(b))
  - Additional funding needs for the period July 2018 to June 2022 arising out of the national implementation of the Strategic Plan for Biodiversity 2011-2020 (paragraph 5(e))
  - Compilation and analysis of any supplementary information provided by Parties which are developing countries or countries with economies in transition on their funding needs for the implementation of their obligations under the Convention and its Protocols (paragraph 5(f))"

### **Workload**

5. The estimated time for collecting, compiling, consulting and completing the questionnaire is one to two weeks on average.

### **Currency Unit**

6. The currency unit throughout the questionnaire should be US dollars. Please convert your national currency into US dollars using the average exchange rates for the month of September 2015 (such exchange rates can be obtained from your central bank or ministry of finance).

### **Deadline for submissions**

7. Communication related to the questionnaire should be sent the expert team via the CBD Secretariat at [secretariat@cbd.int](mailto:secretariat@cbd.int). The deadline for submissions is **19 October 2015**.

## II. GUIDANCE FOR COLUMNS A-E (BASIC PROJECT INFORMATION)

### COLUMN A

### COLUMN B

### COLUMN C

### COLUMN D

### COLUMN E

<b>GEF-7 (July 2018 - June 2022)</b>				
Title of projected needs for GEF-7 funding, based on project concepts	Estimated total project costs	Expected funding from your government	Expected funding from other external sources	Expected funding from GEF-7, based on incremental cost reasoning
<i>Provide the list of projects, based national biodiversity planning, or NBSAPs. Your funding needs need to be in the form of potential project concepts. The project concepts, ideas, and proposals, which only need to be indicative in nature, should be linked to the Strategic Plan and the Aichi Targets, your national biodiversity strategies and action plans as well as country-specific resource mobilization strategies, but do not need to be necessarily fully elaborated.</i>	<i>Figures should be expressed in millions of US dollars, using the average exchange rates for the month of September 2015.</i>	<i>Figures should be expressed in millions of US dollars, using the average exchange rates for the month of September 2015.</i>	<i>Figures should be expressed in millions of US dollars, using the average exchange rates for the month of September 2015.</i>	<i>Figures should be in millions of US dollars, using the average exchange rates for the month of September 2015. A good reference for arriving at the calculation of incremental costs is to examine the past projects financed by the GEF in your country and in your subregion and region. The information regarding the past agreed incremental costs can be accessed at: <a href="https://www.thegef.org/gef/gef_projects_funding">https://www.thegef.org/gef/gef_projects_funding</a>. You may also consult with the agencies and secretariat of the GEF on the calculation of incremental costs. As stated in the GEF instrument, the GEF provides “new and additional grant and concessional funding to meet the agreed incremental costs of measures to achieve agreed global environmental benefits”. Guidance to incremental cost principle could be found at <a href="https://www.thegef.org/gef/policies_guidelines/incremental_costs">https://www.thegef.org/gef/policies_guidelines/incremental_costs</a>.</i>
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

<b>GEF-6 (July 2014 - June 2018)</b>	<b>Planned</b>			
Title of <b>planned projects</b> for GEF-6 funding, but not yet approved	Estimated total project costs	Expected funding from your government	Expected funding from other external sources	Expected funding from GEF-6, based on incremental cost reasoning
<i>Provide the full list of projects proposed by the national GEF Focal Point for GEF-6 consideration, but not yet approved.</i>	<i>Figures should be expressed in millions of US dollars, using the average exchange rates for the month of September 2015.</i>	<i>Figures should be expressed in millions of US dollars, using the average exchange rates for the month of September 2015.</i>	<i>Figures should be expressed in millions of US dollars, using the average exchange rates for the month of September 2015.</i>	<i>Figures should be in millions of US dollars, using the average exchange rates for the month of September 2015. If it's not calculated yet, the best reference for arriving at the calculation of incremental costs is to examine the past projects financed by the GEF in your country and in your subregion and region. The information regarding the past agreed incremental costs can be accessed at: <a href="https://www.thegef.org/gef/gef_projects_funding">https://www.thegef.org/gef/gef_projects_funding</a>. You may also consult with the agencies and secretariat of the GEF on the calculation of incremental costs. As stated in the GEF instrument, the GEF provides “new and additional grant and concessional funding to meet the agreed incremental costs of measures to achieve agreed global environmental benefits”. Guidance to incremental cost principle is available at <a href="https://www.thegef.org/gef/policies_guidelines/incremental_costs">https://www.thegef.org/gef/policies_guidelines/incremental_costs</a>.</i>
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**COLUMN A**

**COLUMN B**

**COLUMN C**

**COLUMN D**

**COLUMN E**

<b>GEF-6 (July 2014 - June 2018)</b>	<b>Approved</b>			
<b>Title of approved projects for GEF-6 funding</b>	Approved total project costs	Approved funding from your government	Approved funding from other external sources	Approved funding from GEF-6, based on incremental cost reasoning
<i>Provide the list of projects that have already been approved for GEF-6 funding in the country.</i>	<i>Figures should be expressed in millions of US dollars, using the average exchange rates for the month of September 2015.</i>	<i>Figures should be expressed in millions of US dollars, using the average exchange rates for the month of September 2015.</i>	<i>Figures should be expressed in millions of US dollars, using the average exchange rates for the month of September 2015.</i>	<i>Figures should be in millions of US dollars, using the average exchange rates for the month of September 2015. Reference the agreed incremental costs for the projects approved by the GEF.</i>
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

### III. GUIDANCE FOR COLUMN F

\*\*\*Column F should not be left empty\*\*\*

Refer to potential GEF-7 programming, in line with your national biodiversity strategy and action plan (NBSAP), or national priorities, using the list of codes provided below.

Refer to GEF-6 programming, using the list of codes provided below.

Note: For ease of linking your national biodiversity strategy and action plan (NBSAP) or national priorities, the codes listed below are suggested. A project can use several codes. The thematic areas refer to the current GEF -6 Focal Areas, the CBD Protocols, and additional relevant thematic areas, which are not covered by the current GEF-6 Focal Area Strategies. The GEF-6 Focal Areas Programming Directions document could be found here: <https://www.thegef.org/gef/GEF6-Programming-Directions>.

#### LIST OF CODES

<b>A: Biodiversity conservation on land and in coastal areas</b> (mainly current GEF-6 Biodiversity Focal Area Strategy)	<b>Code</b>
Protected Area Networks and general Species conservation (Aichi target 11 and 12)	710
Terrestrial PA systems: natural habitats and forests, mountains, drylands (Aichi target 5, 11, 12)	711
Marine and coastal biodiversity and PA systems (Aichi target 11 and 12) and sustainable fisheries in coastal areas (Aichi target 4, 6, 7)	712
Coral reefs (Aichi target 10, 14, 15) and sustainable fisheries (Aichi target 4, 6, 7)	713
PAs for island biological diversity (Aichi target 11 and 12)	714
Conservation of threatened species (Aichi target 12)	715
Addressing invasive alien species (Aichi target 9)	716

<b>B. Marine Biodiversity in international waters</b> (mainly current GEF-6 International Waters Focal Area Strategy)	<b>Code</b>
Marine PAs beyond national jurisdiction (Aichi target 11)	720
Sustainable fisheries on high seas and sustainable use (Aichi target 4, 6; 7)	721
Transboundary Inland water systems (Aichi target 11, 12, 14)	722

<b>C. Restoration of Natural Habitats, Ecosystems and their services</b> (for Climate change mitigation and ecosystem resilience)	<b>Code</b>
Restore Natural habitats (Aichi target 5)	730
Avoid forest loss, degradation and fragmentation of forests (Aichi target 5)	731
Restore essential forest ecosystems and their services (Aichi target 15)	732
Restore essential freshwater ecosystems and their services (Aichi target 14)	733

<b>D. Sustainable use of biodiversity, production and consumption</b>	<b>Code</b>
Genetic diversity of plants and animals (Aichi target 13)	740
Sustainable production and consumption (Aichi target 4)	741

<b>E. Pollution reduction</b>	<b>Code</b>
Pollution reduction to biodiversity safe levels from agriculture, freshwater systems etc. (Aichi target 8)	750

<b>F. Nagoya Protocol on access to genetic resources and benefit sharing</b>	<b>Code</b>
Activities according to decision XI/5, annex, appendix 1; protocol implementation, national reporting, awareness raising, and capacity improvement	760



<b>G. Cartagena Protocol on Biosafety</b>	<b>Code</b>
Implementation of national biosafety frameworks, national reporting, capacity building and improvement; ratification and implementation of the Nagoya – Kuala Lumpur Supplementary Protocol on Liability and Redress	770

<b>H. Capacity Building and cooperation</b>	<b>Code</b>
National reporting	780
Country-specific resource mobilization strategies (Aichi target 20)	781
South-South Cooperation	782
Biodiversity planning, integration and synergies (Aichi target 2)	783
Technical and scientific cooperation, transfer of technology (Article 16) and Clearing-House Mechanism (Article 18)	784

<b>I. Others as part of projects</b>	<b>Code</b>
Public education and awareness ( Aichi target 1)	700
Traditional knowledge and customary use (Article 8(j) and related provisions, Aichi target 18)	701
Incentive measures (Aichi target 3)	702
Sustainable tourism	703
Research and training (Article 12) and knowledge, the science base and technologies relating to biodiversity, its values, functioning, status and trends, and the consequences of its loss, are improved, widely shared and transferred, and applied (Aichi target 19); Global Taxonomy Initiative; development and application of indicators and monitoring (Article 7)	704

#### IV. GUIDANCE FOR COLUMN G

Note: The annex to decision XII/30 refers to synergies with other Global Environment Facility-funded Conventions (paragraph 3(h)), and synergies with other biodiversity-related Conventions (paragraph 3(i)). The pertinent information can be obtained at: <https://www.cbd.int/financial/gef7reference.shtml>.

##### LIST OF CONVENTIONS AND THEIR ACRONYMS

<b>Conventions</b>	<b>Acronyms</b>
United Nations Framework Convention on Climate Change	UNFCCC
Stockholm Convention on Persistent Organic Pollutants	POPs
UN Convention to Combat Desertification	UNCCD
Minamata Convention on Mercury	MCM
Montreal Protocol on Substances That Deplete the Ozone Layer	MP
Convention on International Trade in Endangered Species of Wild Fauna and Flora	CITES
Convention on the Conservation of Migratory Species of Wild Animals	CMS
The International Treaty on Plant Genetic Resources for Food and Agriculture	ITPGRFA
Convention on Wetlands	Ramsar
World Heritage Convention	WHC
International Plant Protection Convention	IPPC
Intergovernmental Platform on Biodiversity and Ecosystem Services	IPBES

## **V. GUIDANCE FOR COLUMN H**

Use this column to provide any additional information to the team of experts. For instance, you can indicate the status of priority to each project or project concept.