





CONVENTION ON BIOLOGICAL DIVERSITY

Distr.

RESTRICTED

UNEP/CBD/QR/1 26 May 1997

ORIGINAL: ENGLISH

QUARTERLY REPORT ON THE ADMINISTRATION OF THE CONVENTION ON BIOLOGICAL DIVERSITY

REPORT BY THE EXECUTIVE SECRETARY

Acronyms

CBD Convention on Biological Diversity

CCD Convention to Combat Desertification in those Countries Experiencing

Serious Drought and / or Desertification, particularly in Africa

CHM Clearing House Mechanism
CHR Commission on Human Rights

CITES Convention on International Trade in Endangered Species

CMS Convention on the Conservation of Migratory Species of Wild Animals

COP Conference of Parties

CSD Commission on Sustainable Development

DAC Development Assistance Committee of the OECD

FAO Food and Agricultural Organization

FCCC United Nations Framework Convention on Climate Change

GEF Global Environment Facility

ICAO International Civil Aviation Organisation

IGO Inter-Governmental Organisation
ILO International Labor Organization

IOC Intergovernmental Oceanographic Commission

IPF Intergovernmental Panel on Forests

JM Jakarta Mandate

JPO Junior Professional Officer
MOU Memorandum of Understanding
NGO Non-Governmental Organization

OECD Organisation for Economic Co-operation and Development Ramsar Convention on Wetlands of International Importance

SBSTTA Subsidiary Body on Scientific, Technical and Technological Advice

UN United Nations

UNCTAD United Nations Conference on Trade and Development

UNDP United Nations Development Programme
UNEP United Nations Environment Programme

UNESCO United Nations Educational, Scientific and Cultural Organization

UNON United Nations Office in Nairobi
WTO World Trade Organization

Table of Contents

EXECUTIVE SUMMARY

1. GOVERNANCE

- 1.1 Consultations with members of the Bureau of the third meeting of the COP
- 1.2 Preparations for the fourth meeting of the COP
- 1.3 Review of the operations of the Convention

2. EXECUTIVE DIRECTION AND MANAGEMENT

- 2.1 Promotion of the Convention
- 2.2 Relationships with other conventions, institutions and processes
- 2.3 Personnel
- 2.4 Budgetary matters
- 2.5 Administrative Matters
- 2.6 Meetings organised by the Secretariat
- 2.7 Rosters of experts

3. SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL MATTERS

- 3.1 Preparations for the third meeting of the SBSTTA
- 3.2 Article 8(j) of the Convention
- 3.3 Incentive Measures and benefit-sharing

4. BIOSAFETY

5. IMPLEMENTATION AND COMMUNICATION

- 5.1 Marine and coastal biological diversity
- 5.2 Clearing-House Mechanism
- 5.3 Financial matters
- Annex 1: List of Parties to the Convention
- Annex 2: Participation of the Secretariat in conferences and meetings
- Annex 3: Staffing list of the Secretariat
- Annex 4: Contributions to the CBD Trust Fund
- Annex 5: List of meetings organised under the Convention
- Annex 6: Parties and Governments having provided names for the rosters of experts

EXECUTIVE SUMMARY

- 1. **Status of ratification**: The Convention on Biological Diversity was signed at the United Nations Conference on Environment and Development held in Rio de Janeiro (Brazil) in 1992. It entered into force on 29 December 1993. As of 27 May 1997, the Convention had been ratified by 169 Parties. A list of Parties to the Convention is in Annex 1 to this report. The Conference of the Parties to the Convention (COP) held its first meeting in Nassau (Bahamas) in 1994, its second meeting in Jakarta (Indonesia) in 1995 and its third meeting in Buenos Aires (Argentina) in November 1996. The COP will hold its fourth meeting in Bratislava (Slovak Republic) in May 1998.
- 2. **Governance**: Regular consultations were held with the President of the third meeting of the COP and other members of the Bureau. A telephone conference was organised in April. It discussed mainly financial issues. No formal meeting of the Bureau could be organised because of the lack of appropriate funding. Preparations for COP-4, to be held in Bratislava, Slovakia, from 4 to 15 May 1997, have been initiated. Four regional preparatory meetings for COP-4 are envisaged for reviewing the implementation of the CBD at the regional levels and preparing the Conference. Provisional venues are discussed.
- 3. Executive direction and management: several documents were issued for promoting the Convention. Some Governments and institutions were visited in order to promote the implementation of the CBD. Relationships with other conventions, institutions and processes have been developed on substantive matters, especially Ramsar, CITES, CMS, FCCC and CCD, as well as FAO, IOC, UNESCO, CHR, ILO and the WTO. A MOU was signed with the World Bank. Numerous contacts have been established with Intergovernmental Organisations and NGOs. Co-operation with the Secretariat of the Commission on Sustainable Development, in preparing for the Special Session of the UN General Assembly, is well advanced. The Secretariat participated in a number of conferences and meetings. Consultations are under way with UNEP and ICAO on administrative matters.

With regard to staffing, the Secretariat has 25 staff members. 20 professional and 18 general services positions are expected to be advertised in the near future. Several positions are been filled by secondees and JPOs.

With regard to budgetary matters, invoices were sent by the Trustee. A number of Parties have paid their contributions. The sum recorded by the Trustee amounts to US\$850,000 by 30 April. For January - April, the total expenditures of the Secretariat amounts to US\$670,000. It becomes increasingly important that Parties pay their contributions early in the year if cash-flow difficulties are to be avoided. Additional voluntary contributions have been indicated by several Parties. They were limited, however, because of budgetary restrictions imposed to Governments by many Parliaments. Several Parties also provided additional resources in the form of secondees and junior professional officers, as well as the organisation of meetings. The fund-raising efforts of the Secretariat were handicaped by the absence of relevant provisions in the budget. Rosters of experts in marine and coastal biological diversity, forest biological diversity and agricultural biological diversity are being established.

- 4. Scientific, technical and technological matters: work is on-going on preparations for the third meeting of the SBSTTA (Montreal, 1-5 September 1997) and progressing rapidly on agricultural biological diversity. The FAO will provide an input and a joint FAO/CBD meeting is scheduled for June. For work in terrestrial biological diversity, the Secretariat is preparing a paper on Biological Diversity of Inland Waters. A contribution is expected from the Ramsar Convention. The President of COP-3 transmitted to the IPF the "Input to the Intergovernmental Panel on Forests" adopted by COP-3. The Secretariat participated in meetings of the Inter-agency Task Force on Forests. The Liaison Group on Forest Biological Diversity will meet in Helsinki, in May 1997. As to incentive measures, the Secretariat liaised with the OECD Expert Group on Economic Aspects of Biodiversity as well as the OECD Secretariat. Preparations are underway for the Workshop on of Article 8(j). It is tentatively scheduled to take place in Montreal in November 1997.
- 5. **Biosafety**: the second meeting of the Open-ended Ad Hoc Working Group on Biosafety was held in Montreal, 12-16 May 1997. [outcome]. The third meeting will be held in Montreal, 13-17 October 1997.
- 6. **Implementation and communication:** the First Meeting of Experts on Marine and Coastal Biological Diversity took place in Jakarta, in March 1997. Preparatory activities are underway for the four regional workshops on the clearing-house mechanism (CHM). Collaboration with the GEF Secretariat was reinforced on: modalities for capacity-building for the operations of the pilot phase of the CHM; the revision of the Operational Criteria for Enabling Activities and the Operational Programmes; and the review of the effectiveness of the financial mechanism. Interviews of stakeholders were initiated. The MOU between the Conference of the Parties to the Convention on Biological Diversity and the Council of the Global Environment Facility, adopted at COP-3, was adopted by the Council of the GEF in May 1997. Collaboration was initiated with the Working Party on Environment and Development of the DAC (OECD). A liaison group, established for co-ordinating the drafting of SBSTTA papers on identification, monitoring and assessment, will meet in May 1997. The Executive Secretary has invited Parties and Governments to provide views on the modus operandi of the COP. A meeting is envisaged for the second part of 1997.

QUARTERLY REPORT ON THE ADMINISTRATION OF THE CONVENTION ON BIOLOGICAL DIVERSITY

Report by the Executive Secretary

1. GOVERNANCE

1.1 Consultations with members of the Bureau of the third meeting of the COP

- 1. The Secretariat had several consultations with the President of the third meeting of the COP on the occasion of international meetings, as well as with other members of the Bureau. A telephone conference was organised on 8 April 1997. It discussed mainly financial matters.
- 2. According to decision III/24, costs associated with meetings of the Bureau of the COP shall be covered by contributions to the special trust fund for additional voluntary contributions for approved activities under the Convention (Annex I, Part B of the budget). As no financial contribution to this trust fund had been recorded by the Trustee, the Bureau was unable to meet formally. Since, one Party has indicated willingness to provide funding and a Bureau meeting is scheduled to take place at the seat of the Secretariat on 30 June and 1 July 1997.
- 3. The President of the third meeting of the COP indicated willingness to invite a Bureau meeting in Buenos Aires (Argentina) in early 1998.

1.2 Preparations for the fourth meeting of the COP

- 4. According to decision III/25, the fourth meeting of the COP will take place in Bratislava, Slovakia, from 4 to 15 May 1997. Consultations took place with the relevant authorities of the Government of Slovakia. A draft budget and a draft Host Government Agreement are in discussion with the relevant services of the United Nations Office in Nairobi (UNON). A preliminary draft agenda for the meeting will be submitted to the Bureau of the COP at its next meeting in June.
- 5. As to regional/sub-regional preparatory meetings for the fourth meeting of the COP, indications provided by Governments signal a strong wish in favour of such meetings being held in two parts: the two first days would review progress made in the implementation of the Convention at the regional level. The two days following would concentrate on preparations for the fourth meeting of the COP. It was underscored that, while additional expenses would remain limited, this formula would enhance regional co-operation and reinforce the implementation of the Convention.
- 6. Initial consultations have been held with China, Kazakstan and Morocco who have indicated willingness to host regional preparatory meetings, respectively for countries of the Asian region, countries with economies in transition, and countries of the African region. Discussions were held with UNEP Regional Office for Europe as to the organisation of the meeting in Kazakstan. Regional preparatory meetings are scheduled to take place during the first three months of 1998.

1.3 Review of the operations of the Convention

7. The Secretariat sent letters of reminder regarding submissions of the Parties on the operations of the Convention and the longer-term programme of work on 4 February. To date only five submissions have been received, although the Secretariat has been advised by at least 10 other Parties that submissions are being prepared. The Secretariat held a series of consultations with delegates at the fifth meeting of the CSD to canvas preliminary views. The Secretariat also organised an informal consultation in Montreal on 14 May 1997 to gather additional views on the review of the operations of the Convention. The meeting was attended by 12 Parties and 2 Observers. It is hoped to organise similar consultations at other meetings of the Parties, in particular, SBSTTA-3, the Workshop on Article 8(j), the 4th Meeting of the Working Group on Biosafety and the Regional Preparatory Meetings for the COP. Discussions are under way to organise a separate meeting sometime in late November to consider the synthesis of the Parties submissions required by decision III/22.

2. EXECUTIVE DIRECTION AND MANAGEMENT

2.1 **Promotion of the Convention**

- 8. During the period January-April 1997, the Secretariat published and distributed, in collaboration with the Information Unit for Conventions of UNEP, *The Biodiversity Agenda: decisions from the third meeting of the Conference of the Parties*. It prepared also the CBD entries in the United Nations Handbook as well as the Green Globe Yearbook 1997. The periodical "Diversity" issued a special report dedicated on the work and achievements of the third meeting of the COP. A number of articles on the implementation of the Convention were published in periodicals.
- 9. At the occasion of the fifth meeting of the COP to the Convention on Migratory Species, the Secretariat held consultations with a numbers of European Parties to the Convention as well as several international organisations and institutions. The mission provided an opportunity to discuss recent developments in the implementation of the CBD, to exchange information on Convention-related activities in the visited Parties and to initiate co-operation for the preparation of the Workshop on Article 8(j). A number of Parties visited provided also information on the level and nature of their additional voluntary contributions to the Trust Funds for the Convention. The information collected has allowed the Secretariat to confirm the holding of the Open-ended *Ad Hoc* Working Group on Biosafety for the scheduled dates: 12 16 May 1997. Further, the Secretariat was assured that most Parties visited had paid or were in the process of paying their statutory contributions to the regular budget of the Convention.
- 10. The Secretariat participated in a series of other conferences and meetings. A list is included in Annex 2 to this report. The Secretariat also provided assistance to UNDP/SEED in the preparation of an Expert Meeting on Synergies among the Conventions on Climate Change, Biological Diversity, Desertification and the Forest Principles (Sede Boqer, Israel, 17-20 March 1997). It also prepared a paper for, and participated in the UNEP/SACEP/NORAD Joint Environmental law Project for South Asia Regional Workshop for Countries in South Asia on Strengthening Legal and Institutional Arrangements for Implementing Major Environmental Conventions (The Maldives, 1-7 April 1997).

2.2 Relationships with other conventions, institutions and processes

- 11. The Secretariat had extensive consultation and worked closely with conventions, institutions and processes. The following is a brief highlight: reference, or alternatively no reference, does not imply any degree of importance attached to the relationships by the Secretariat.
- 12. In addition to the fifth meeting of the COP of the CMS, the Secretariat participated in the sixth meeting on co-ordination of the Secretariats of Environmental Conventions (Nairobi, 25 26 January 1997) at which the Secretariats agreed to collaborate in a "Feasibility Study on Harmonisation of Information for Biodiversity-related Treaties". Regular contacts aiming at enhancing co-operation are maintained, in particular, with the Secretariats of the Convention on Wetlands of International Importance (Ramsar), the Convention on the International Trade of Endangered Species as well as the Convention on Migratory Species.
- 13. Pursuant to decision III/24, the Executive Secretary had contacts with the Executive Secretaries of the United Nations Framework Convention on Climate Change and United Nations Convention to Combat Desertification in those Countries Experiencing Serious Drought and/or Desertification, particularly in Africa, on suitable liaison arrangements in Geneva and New York.
- 14. The Secretariat initiated discussions with the World Trade Organisation on the wide range of issues resulting from the decisions of COP-3. In particular, the Secretariat was granted observer status in the Organisation's Committee on Trade and Environment. The Secretariat has also worked closely with the OECD on incentives, transfer of technology and financial issues. The Secretariat had also close consultations with the Secretariat of the Ramsar Convention with respect to preparations for SBSTTA consideration of the biological diversity of inland waters.
- 15. The Secretariat signed memoranda of co-operation with the Cartagena Convention and the World Bank. It is finalising memoranda with the World Heritage Convention and the IOC.
- 16. The Secretariat had extensive contact with UNHQ and associated bodies. For example, it has participated in the Inter-Agency Advisory Committee on Sustainable Development, made presentations and documentary submissions to the Commission on Sustainable Development and participated in various meetings.
- 17. Pursuant to decision III/19, the President of the third meeting of the COP was invited to transmit the statement adopted at the COP to the Special Session of the General Assembly in 1997. As requested, the Secretariat prepared a report on the implementation of the Convention on Biological Diversity which was transmitted by the Secretary General of the United Nations to the fifth session of the Commission on Sustainable Development (7-25 April 1997) and will be tabled for the Special Session of the UN General Assembly. The President of the third meeting of the COP was also provided with a draft statement prepared pursuant with the content of paragraph 135 of the Report of the third meeting of the COP.

2.3 **Personnel**

2.3.1 Staff

- 18. On 10 May 1997, the Secretariat had 25 staff members, including 15 professionals and 10 general services staff members. 3 additional professionals will join the Secretariat within one month. A list of the personnel is provided in Annex 3. A total of 20 professional posts had been classified and 12 vacancy announcements are being translated for advertisement by the UNON in June/July 1997. The remaining 8 positions are expected to be advertised in September/October 1997.
- 19. The classification forms for 8 general services staff positions have been completed and 10 will be soon rated by the ICAO. The 18 positions are expected to be advertised in the near future. Contracts for the 8 general services staff presently working in the Secretariat are in elaboration. Two senior staff members attended a "People Management Training Course" organised by the UN Headquarters.

2.3.2 Positions for which the staff is provided by Governments

20. Finance and Administration Officer (Province of Quebec):

Consultations have been initiated with the relevant authorities of the Province of Quebec and a post description provided. However, arrangements were not finalised by 9 May 1997.

- 21. *Junior Programme Officer (Italy):* The position was filled on 7 January 1997.
- 22. *Junior Programme Officer (Finland):* The position was filled in December 1996.
- 23. Programme Officer (National Reports and Reviews) (Province of Quebec):

Consultations have been initiated with the relevant authorities of the Province of Quebec and a post description provided. However, arrangements had not been finalised by 9 May 1997.

2.3.3 Positions to be filled by secondees

- 24. Decision III/24 specifies, for positions to be filled by secondees that they should be filled "by 1 January 1997 on terms and conditions agreed by the Executive Secretary. Parties should be informed in a timely manner if the deadline is not met and the reasons thereof." The positions for which such provisions apply, are the following:
- 25. Programme Officer, Genetic Resources/Agrobiodiversity (P-4) (FAO): The position has been filled on 24 March 1997 by a secondee from the FAO.
- 26. Programme Officer Marine Ecology (P-4) (UNESCO):

The Executive Secretary has selected a candidate in collaboration with the UNESCO. However, the position had not been filled by 10 May 1997.

- 27. Fund Management/Administration Officer (P-4) (UNEP): The position was filled since October 1996.
- 28. *Programme Officer Communications (P-2) (UNEP):* The position has not been filled so far.

2.4 **Budgetary matters**

2.4.1 Contributions to the Trust Fund for the Convention

- 29. The Trustee send invoices, dated 27 January 1997, to the 162 Parties to the Convention listed in Appendix I to Annex I, Part A, of decision III/24, inviting them to pay their contributions to the Trust Fund for 1997. The Secretariat sent a reminder to a series of designated focal points for the Convention on 3 March 1997. The Trustee was invited to send invoices for 1997 to the six new Parties to the Convention, not listed in decision III/24. The statement of contributions to the CBD Trust Fund, as provided by the Trustee for 30 April 1997, is in Annex 4 to this Report.
- 30. It has appeared increasingly important that Parties to the Convention pay their contributions to the Trust Fund at the beginning of the year. Significant efforts were made in this regard by numerous Parties. Such endeavours have helped to avoid potential cash-flow difficulties in the first part of 1997.

2.4.2 Additional voluntary contributions

- (i) Additional financial contributions to the special trust funds
- 31. Australia, Italy and Finland have provided additional voluntary contributions to the core budget for approved activities under the Convention on Biological Diversity (Annex I, Part B of the budget) in order to allow the recruitment of additional staff. Switzerland indicated willingness to provide a contribution in order to facilitate the holding of a COP Bureau meeting in June/July 1997. Denmark, the European Union, Germany, Korea, Norway, Sweden, Switzerland and the United Kingdom have provided and/or have indicated formally contributions totalling US\$ 639,000 to the trust fund for facilitating participation of Parties in the Convention process (Annex I, Part C). The US has provided a voluntary contribution to support the operations of the Secretariat.
- 32. Decision III/26 requests the Executive Secretary to seek voluntary contributions to meet the administrative costs of regional/sub-regional meetings. As such meetings are scheduled for 1998, the Executive Secretary intends to take action on this matter during the Summer of 1997. No additional voluntary contributions have been recorded so far by the Trustee for the holding of regional meetings.

(ii) Other contributions

33. Between January and end-April 1997, several Parties had seconded, or indicated officially their willingness to second staff to the Secretariat. This includes: Canada: senior human resources specialist (end of duty: 31 March 1997); finance and administration officer (Gov. of the Province of Quebec); programme officer (national reports and reviews) (Quebec); Finland: junior programme officer; Italy: junior programme officer; the Netherlands: expert in coastal and marine ecology (January 1997) and UK: expert on financial resources (July - August 1997). In addition, two Governments have supported meetings of experts at their own expenses, or have indicated their intention to organise such meetings at their own expenses: Finland (meeting of the Liaison Group on Forest Biological Diversity) and the Netherlands (experts meeting on indicators; experts meeting on freshwater biological diversity).

2.4.3 <u>Financial expenditures</u>

34. As of the beginning of May 1997, the expenditures by the Secretariat amounted to approximately to US\$ 650,000 covering salaries of staff, consultants, travels and miscellaneous expenses for communications, publications, etc.

2.5 Administrative Matters

35. As requested under decision II/23, the Executive Secretary and the Executive Director of UNEP held consultations with the view to clarify and make more effective their respective roles. The outcome of these consultations were forwarded to the Bureau of the COP for their consideration and subsequent transmission to the COP. Progress was made in clarifying the relevant issues. However, a number of areas are still being discussed.

2.6 Meetings organised by the Secretariat

36. Annex 5 provides a list of meetings organised or scheduled to be organised by the Secretariat.

2.7 Rosters of experts

37. Parties and Governments were invited to nominate experts in marine and coastal biological diversity, forest biological diversity and agricultural biological diversity. Annex 6 provides the names of Parties and Governments having nominated experts for the first two rosters. The roster of experts in agricultural biological diversity, as well as the roster of experts in inland waters biological diversity are being compiled.

3. SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL MATTERS

38. Work concentrated particularly on preparations for the third meeting of the Subsidiary Body on Scientific, Technical and Technological Advice (SBSTTA), preparations for the intersessional process on Article 8(j) of the Convention (indigenous knowledge), implementation of the multi-year programme on agricultural biological diversity and consideration of benefit-sharing aspects.

3.1 Preparations for the third meeting of the SBSTTA

- 39. Arrangements have been made for holding the third meeting of the SBSTTA at the seat of the Secretariat (Montreal) from 1 to 5 September 1997, in the premises of the International Civil Aviation Organisation (ICAO). A draft agenda for the meeting has been reviewed by the Chairman. Documents for the meeting will be sent for translation by mid-June.
- 40. The Meeting will have a thematic approach, focusing on inland water ecosystems. SBSTTA will concentrate its work on the assessment of the status and trends of the biological diversity of inland waters, incentive measures for the conservation and sustainable use of inland waters biological diversity; and promotion of relevant international technical and scientific co-operation.

3.1.1 Forest biological diversity

41. Pursuant to decision III/12, the President of the third meeting of the COP transmitted to the IPF in February 1997 the "Input to the Intergovernmental Panel on Forests" adopted by the Conference. The Secretariat participated also in meetings of the Inter-agency Task Force on Forests (New York 10 and 17 February 1997). A meeting of the Liaison Group on Forest Biological Diversity will take place in Helsinki, 25-28 May 1997, at the invitation of the Government of Finland. Members were selected from a roster of Government-designated experts. The Group will also comprise experts from international agencies.

3.1.2 Agricultural biological diversity

42. Decision III/11 on Conservation and Sustainable Use of Agricultural Biological Diversity, established, inter alia, a multi-year programme of activities on agricultural biological diversity. On 4 February 1997, the Secretariat invited Parties to provide inputs and to nominate experts with the view to constitute a roster of experts. Later on the FAO was also invited to provide an input. The compilation of replies will assist in preparing a background document for consideration by the SBSTTA. At the opportunity of the 13th meeting of the FAO Committee on Forestry, and of a meeting of the Interagency Task Force on Forests in March 1997, the Secretariat held consultations with FAO officials on the co-operation between the two institutions. A joint FAO/CBD meeting is scheduled for 16-20 June 1997, in Rome.

3.1.3 Terrestrial Biological Diversity

43. Decision III/13, on the Future Programme of Work for Terrestrial Biological Diversity: Dryland, Mountain and Inland Water Ecosystems, requests the Executive Secretary to explore ways and means to co-operate with other Conventions, with a view to identifying common priorities and to make the results of these activities available to the third meeting of the SBSTTA. The Secretariat is preparing a paper on Biological Diversity of Inland Waters.

3.1.4 Other Issues

44. The Secretariat participated in the Global Biodiversity Forum meeting on biodiversity indicators and targets (New York, 3-4 April 1997). A liaison group has been established for coordinating the drafting of SBSTTA papers on identification, monitoring and assessment in conformity with decision III/10, and SBSTTA recommendation II/1. A final drafting meeting of the liaison group is scheduled for the end of May 1997. The Government of the Netherlands has offered to host a meeting of the liaison group and has made available the service of an expert to assist the Secretariat.

3.2 **Article 8(j) of the Convention**

45. Decision III/14, stipulates, inter alia, that an inter-sessional process should be established to advance future work on the implementation of Article 8(j). Arrangements have been made for the Workshop on Article 8(j) to take place provisionally at the seat of the Secretariat (Montreal), from 10-14 November 1997, in the premises of the ICAO. Consultations are under way with a number of relevant international organisations and their co-operation has been invited in preparing the workshop. This involves in particular: the International Labour Organisation (Convention 169), the Commission on Human Rights, and the World Health Organisation.

3.3 Incentive Measures and benefit-sharing

46. Decision III/15, inter alia, requests the Executive Secretary "to take into consideration relevant work underway in other fora, such as UNCTAD and the OECD". The Secretariat attended the 5th session of the OECD Expert Group on Economic Aspects of Biodiversity (Paris, February 1997) and discussed co-operation with the Biotechnology Unit of the OECD, in particular with regard to benefit-sharing issues. Specific suggestions for the future work of the OECD Working Party on Biotechnology received favourable consideration at the 5th Session (Paris, May 1997). Initial discussions were held with the GEF Secretariat on the implementation of Decision III/5 on benefit sharing. The Secretariat also participated in the "International Conference of Environment Ministers on Biodiversity and Tourism" (Berlin, Germany, March 1997) at which the "Berlin Declaration" was adopted.

4. BIOSAFETY

- 47. Decision III/20 on Issues related to Biosafety established that two meetings of the Openended Ad Hoc Working group on Biosafety should be held in 1997. The second meeting of the Ad Hoc Working group was held 12 16 May 1997 at the seat of the Secretariat (Montreal) at the premises of ICAO. Arrangements have been made to convene the third meeting at the seat of the Secretariat on 12-17 October 1997. The Group of 77 and China, citing extreme logistical difficulties due to geographical distribution, requested the Secretariat to include in the meeting programme an opportunity to conduct a preparatory meeting on 12 October and to make all efforts to ensure sufficient means to enable maximum participation at the preparatory meeting.
- 48. The second meeting was attended by 82 Parties and Governments, and 42 IGO/NGO's. The conclusions and recommendations of the Meeting will form the basis of the work for the Third Meeting. Furthermore it was agreed that an additional Meeting should take place in late February 1998 before the fourth Meeting of the Conference of the Parties.

49. The limited level of participation by developing countries prompted the Meeting to request the Secretariat to exert all efforts to obtain funding for participation by developing countries other than Least Developed Countries and Small Island Developing States in subsequent meetings. The meeting achieved substantial progress towards the development of a protocol on biosafety. Discussion focused on a range of topics considered to be central to the protocol, including procedures for LMO transfer, the AIA process, information sharing, competent authorities and risk assessment and risk management. Draft element papers were developed on these items. The draft element papers will form the basis for governments to submit draft text for consideration at the next meeting of the working group. It was agreed that the Secretariat would develop draft articles on the items financial issues, institutional framework, jurisdictional scope, relationship with other international instruments, and final clauses including the item review. The delegates also requested the Secretariat to develop information documents on definitions, relationship with other international instruments and existing international information sharing systems for the next meeting. Delegates agreed to convene informal roundtable discussions on commodities and on socio-economic issues at the next meeting.

5. IMPLEMENTATION AND COMMUNICATION

50. Work concentrated mainly on marine and coastal biological diversity, the clearing-house mechanism and financial issues.

5.1 Marine and coastal biological diversity

51. Pursuant to decision II/10, the First Meeting of Experts on Marine and Coastal Biological Diversity took place in Jakarta, 7-10 March 1997, at the invitation of the Government of Indonesia. The meeting was attended by 15 experts, the Chairman and the Chariman-elect of the SBSTTA and representatives of six international agencies. The report of the meeting is posted on the Internet and will be made available to the third meeting of the SBSTTA for information. The conclusions and recommendations of the Meeting will form a basis of the draft three-year programme of work to be submitted by the Executive Secretary to the SBSTTA. The Secretariat was assisted in the preparation of documents and in the servicing of the Meeting by an expert seconded by the Government of the Netherlands. The conclusions and recommendations of the meeting cover the following issues: implementation of marine and coastal activities; application of the precautionary approach to biodiversity impacts; implementation of integrated marine and coastal areas management; defining a healthy ecosystem; regional implementation capacity; open ocean ecosystems; and eco-labelling. The meeting had identified elements which form a basis for a three-year programme of work under the Jakarta Mandate.

5.2 Clearing-House Mechanism

52. Pursuant to decision III/4, preparatory activities have been initiated for the holding of regional workshops on the clearing-house mechanism. Colombia has offered to host the workshop for the Latin American and Caribbean region In July 1997. It is anticipated that workshops will be organised in the Asian region in August, in the African region in November and for the countries with economies in transition in December 1997. No offer to host these three regional workshops was received by the Secretariat.

- 53. The Secretariat established contact with the GEF Secretariat on modalities for capacity-building for the operations of the pilot phase of the clearing-house mechanism.
- 54. The home page for the Secretariat has been redesigned. Its address remains: www.biodiv.org.

5.3 Financial matters

- 55. The Secretariat has had extensive discussions with the GEF Secretariat regarding operationalising of the COP guidance to the financial mechanism. Revision of the Operational Criteria for Enabling Activities is almost completed. Discussion are continuing with respects to incorporating the new guidance in the other operational policies of the GEF.
- 56. The Secretariat has undertaken a number of initiatives to implement decision III/6. Firstly, the Secretariat visited the Secretariat of the OECD in order to discuss how the Development Assistance Committee could best support the work of the Convention. As a result, the Secretariat in consultation with the OECD Secretariat has developed a voluntary biodiversity maker to facilitate the reporting of donors support in implementing the Convention. The Secretariat also made a submission to the DAC's Working Party on Environment and Development at their meeting on April 20-21. The Working Party decided to make a submission to the forthcoming COP, and consider initiating a longer term project in its next mandate (which begins in April 1998).
- 57. The Secretariat has also signed a Memorandum of Co-operation with the World Bank. The Memorandum is intended to provide a framework thorough which future co-operation can be developed. In the Memorandum, the World Bank undertakes to report regularly to the COP on its activities to support the implementation of the Convention in line with decision III/6.
- 58. With respects to preparing documentation for the review of the financial mechanism by the next COP, the Secretariat has during the period covered by this report undertaken the following activities: prepared Project Fact Sheets for each relevant project; developed a questionnaire to seek the view of Parties; conducted over 50 interviews of stakeholders at relevant meetings; held preliminary consultations to identify consultants to assist with the preparation process; made a preliminary assessment of the sites which are to be visited. It is understood by the Secretariat that only Asia has identified its representative to ensure that the preparations are undertaken in a timely fashion. Nomination of the other regional representatives should be a priority of Parties over the next few months.
- 59. The MOU between the COP and the GEF Council, which was adopted by the COP in decision III/8, was also adopted by the Council at its 9th Meeting. Consequently, pursuant to paragraph 10.1, the Memorandum entered into force on 1 May, 1997.

LIST OF PARTIES TO THE CONVENTION

RATIFICATION - 26 May 1997

1. Mauritius (4.9.92)	46. Malawi (2.2.94)	90. Comoros (29.9.94)	135. Nicaragua (20.11.95)
2. Seychelles (22.9.92)	47. Samoa (9.2.94)	91. Bolivia (3.10.94)	136. Latvia (14.12.95)
3. Marshall Islands (8.10.92)	48. India (18.2.94)	92. Republic of Korea (3.10.94)	137. Singapore (21.12.95)
4. Maldives (9.11.92)	49. Hungary (24.2.94)	93. Senegal (17.10.94)	138. Syrian Arab Republic (4.1.96)
5. Monaco (20.11.92)	50. Paraguay (24.2.94)	94. Cameroon (19.10.94)	139. Suriname (12.1.96)
6. Canada (4.12.92)	51. Brazil (28.2.94)	95. DP Republic of Korea ³ (26.10.94)	140. Poland (18.1.96)
7. China (5.1.93)	52. Cuba (8.3.94)	96. San Marino (28.10.94)	141. Lithuania (1.2.96)
8. St. Kitts & Nevis (7.1.93)	53. Sri Lanka (23.3.94)	97. Swaziland (9.11.94)	142. Yemen (21.2.96)
9. Ecuador (23.2.93)	54. Ethiopia (5.4.94)	98. Zimbabwe (11.11.94)	143. Niue ² (27.2.96)
10. Fiji (25.2.93)	55. Dominica ² (6.4.94)	99. Viet Nam (16.11.94)	144. Madagascar (4.3.96)
11. Antigua & Barbuda (9.3.93)	56. Italy (15.4.94)	100. Switzerland (21.11.94)	145. United Rep. of Tanzania
12. Mexico (11.3.93)	57. Bangladesh (3.5.94)	101. Argentina (22.11.94)	(8.3.96)
13. Papua New Guinea (16.3.93)	58. Luxembourg (9.5.94)	102. Myanmar (25.11.94)	146. Eritrea ² (21.3.96)
14.Vanuatu (25.3.93)	59. Egypt (2.6.94)	103. Colombia (28.11.94)	147. Ireland (22.3.96)
15. Cook Islands (20.4.93)	60. Georgia ² (2.6.94)	104. Cote d'Ivoire (29.11.94)	148. Bulgaria (17.4.96)
16. Guinea (7.5.93)	61. UK (3.6.94)	105. Zaire (3.12.94)	149. Rwanda (29.5.96)
17. Armenia (14.5.93)	62. Chad (7.6.94)	106. Equatorial Guinea ² (6.12.94)	150. Saint Vincent and the
18. Japan ¹ (28.5.93)	63. The Gambia (10.6.94)	107. Sierra Leone ² (12.12.94)	Grenadines ² (3.6.96)
19. Zambia (28.5.93)	64. Micronesia (20.6.94)	108. Lebanon (15.12.94)	151. Slovenia (9.7.96)
20. Peru (7.6.93)	65. Malaysia (24.6.94)	109. Jamaica (6.1.95)	152. Cyprus (10.7.96)
21. Australia (18.6.93)	66. Benin (30.6.94)	110. Lesotho (10.1.95)	153. Congo (1.8.96)
22. Norway (9.7.93)	67. France (1.7.94)	111. Panama (17.1.95)	154. Trinidad and Tobago (1.8.96)
23. Tunisia (15.7.93)	68. The Netherlands ¹	112. Ukraine (7.2.95)	155. Iran, Islamic Republic of
24. Saint Lucia ² (28.7.93)	(12.7.94)	113. Oman (8.2.95)	(6.8.96)
25. Bahamas (2.9.93)	69. Kenya (26.7.94)	114. Cambodia ² (9.2.95)	156. Kyrgyzstan ² (6.8.96)
26. Burkina Faso (2.9.93)	70. Pakistan (26.7.94)	115. Central African Rep. (15.3.95)	157. Mauritania (16.8.96)
27. Belarus (8.9.93)	71. Estonia (27.7.94)	116. Mali (29.3.95)	158. Qatar (21.8.96)
28. Uganda (8.9.93)	72. Finland ¹ (27.7.94)	117. Cape Verde (29.3.95)	159. Bahrain (30.8.96)
29. New Zealand (16.9.93)	73. Greece (4.8.94)	118. Russian Federation (5.4.95)	160. Turkmenistan ² (18.9.96)
30. Mongolia (30.9.93)	74. Grenada (11.8.94)	119. Guatemala (10.7.95)	161. Lao People's Democratic
31. Philippines (8.10.93)	75. Kiribati ² (16.8.94)	120. Uzbekistan ² (19.7.95)	Republic ² (20.9.96)
32. Uruguay (5.11.93)	76. Romania (17.8.94)	120. Ozbekistali (19.7.93) 121. Niger (25.7.95)	162. Haiti (25.9.96)
33. Nauru (11.11.93)	77. Austria (18.8.94)	121. Niger (23.7.93) 122. Honduras (31.7.95)	162. Half (23.9.96) 163. Croatia (7.10.96)
34. Jordan (12.11.93)	78. Indonesia (23.8.94)	122. Holidaras (51.7.93) 123. Israel (7.8.95)	` /
35. Nepal (23.11.93)	79. Slovakia ³ (25.8.94)	123. Israel (7.8.93) 124. Algeria (14.8.95)	164. Belgium (22.11.96)
36. Czech Republic ³ (3.12.93)	80. Costa Rica (26.8.94)	124. Algeria (14.8.93) 125. Morocco (21.8.95)	165. Dominican Republic
37. Barbados (10.12.93)	81. Ghana (29.8.94)	126. Bhutan (25.8.95)	(25.11.96)
38. Sweden (16.12.93)	82. Nigeria (29.8.94)	120. Bhutan (23.8.93) 127. Mozambique (25.8.95)	166. Turkey (14.2.97)
	E \		167. Gabon (14.3.97)
39. European Comm. ³ (21.12.93)	83. Guyana (29.8.94)	128. Solomon Islands (3.10.95) 129. Togo ¹ (4.10.95)	168. Burundi (15.4.97)
40. Denmark (21.12.93)	84. Djibouti (1.9.94)		169. Namibia (16.5.97)
41. Germany (21.12.93)	85. Kazakhstan (6.9.94)	130. Botswana (12.10.95)	
42. Portugal (21.12.93)	86. El Salvador (8.9.94)	131. Republic of Moldova (20.10.95)	
43. Spain (21.12.93)	87. Chile (9.9.94)	132. Guinea-Bissau (27.10.95)	
44. Belize (30.12.93) 45. Albania ² (5.1.94)	88. Iceland (12.9.94) 89. Venezuela (13.9.94)	133. Sudan (30.10.95) 134. South Africa (2.11.95)	

Acceptance of the Convention
 Accession to the Convention
 Approval of the Convention

PARTICIPATION OF THE SECRETARIAT IN CONFERENCES AND MEETINGS

(1 January - 16 May 1997)

A) Meeting serviced by the Secretariat

07-10 March	Jakarta	First Meeting of Experts on Marine and Coastal Biological Diversity
12-16 May	Montreal	Second Meeting of the Open-Ended Ad Hoc working Group on a Biosafety Protocol

B) Conferences and meetings attended by the Secretariat

26 Jan 08 Feb.	Nairobi	19 th session of the Governing Council of UNEP
23 January	Paris	OECD, meeting with staff of the Development Aid Committee
25 - 26 January	Nairobi	6 th Meeting on Co-ordination of Secretariats of Environmental
·		Conventions
01 - 05 February	Paris	OECD, Expert Group on Economic Aspects of Biodiversity
09 - 21 February	New York	Intergovernmental Panel on Forests
20 - 21 February	New York	Inter-Agency Committee on Sustainable Development
04 - 08 March	Berlin	International Conference of Ministers on Biodiversity and
		Tourism
10 - 15 March	Rome	FAO Committee on Forests and Inter-Agency meeting
12 - 13 March	Paris	1 st meeting on the replenishment of the GEF
20 March	Caracas	Amazonia y el Desarrollo Sostenible en la Agenda de la
		Politica Exterior Venezolana
02 - 04 April	New York	Global Biodiversity Forum
01 - 07 April	Male	UNEP/SACEP/NORAD South Asia Regional Workshop on
		Strengthening Legal and Institutional Arrangements for
		Implementing Major Environmental Conventions
20 - 21 April	Paris	OECD, DAC, Working Party on Environment and
		Development
10 - 27 April	New York	Commission on Sustainable Development
10 - 11 April	Geneva	5 th Conference of Parties, Convention on Migratory Species
30 April - 2 May	Washington	GEF Council and Replenishment Meeting
14 - 16 May 1997	Paris	5 th session, OECD Working Party on Biotechnology

STAFFING LIST OF THE SECRETARIAT OF THE CONVENTION ON BIOLOGICAL DIVERSITY

(as of 27 May 1997)

Ms. A. C. Schenberg (Brazil)

Title Name

Executive Direction and Management

Executive Direction

Executive Secretary (D-2) Mr. C. Juma (Kenya)

Principal Officer (D-1) Mr. C.-G. Ducret (Switzerland)

Programme Officer, Legal Affairs (P-4/L-4) vacant Special Assistant to the Executive Secretary (P-3/L-3) vacant Research Assistant (G-7) vacant Senior Secretary (G-7) Ms. F. Velarde

Fund Management and Administration

Fund Management/Administration Officer (P-4) (UNEP) Ms. M. Strunck (USA)

Finance and Administration Officer (Prov. of Ouebec) vacant Associate Administrative Officer (P-2/L-2) vacant

Assoc. Administrative Officer - Correspondence (P-2/L-2) vacant Financial Assistant (G-7) Ms. I. Tudor Administrative Assistant (G-6) vacant

Travel Clerk (G-6) Ms. R. Rabbath Receptionist (G-4) Ms. L. Alvarado Messenger (G-4) Mr. M. Ghattas

Division: Scientific, Technical and

Technological Matters

Office of the Principal Officer Principal Officer (D-1/L-6)

Secretary (G-5) Mr. D. Hamel

Scientific, Technical and Technological Analysis

Programme Officer - Conservation Ecology (P-4) Ms. I. Pranoto (Indonesia) ¹ Programme Officer - Economics (P-4/L-4) (UN) Ms. C. Takase (Japan) Prog. Officer - Genetics Res./Agrobiodiversity (P-4) (FAO) Ms. S. Bunning (UK)

Programme Officer - Indigenous Knowledge (P-4) Ms. H. L. Marrie (Australia)²

Research Assistant Indigenous Knowledge (G-7) vacant Research Assistant (G-7) vacant Ms. L. Rubello Research Assistant (G-7)

Intern Ms. M. Echazu Intern Ms. F. Nakao

Biosafety Unit

Senior Programme Officer (P-5) Mr. D. Mahon (Canada) Associate Programme Officer (P-2) Mr. T. Yongo (Kenya) Research Assistant (G-7) Ms. A. Albovias

Secretary (G-5) vacant

Also responsible for Marine Ecology (Jakarta Mandate)

Entry on duty on 11 June 1997

Entry on duty on 15 June 1997

Title Name

Biosafety Unit (Continued)

Consultant (P-2) Ms. M. Soliman (Canada)

Mr. T. Cors Intern

Division: Implementation and Communication

Office of Principal Officer

Principal Officer (D-1/L-6) vacant

[Officer in charge: Mr. A. Gross (UK)] Ms. K. Stendahl-Rechardt (Finland) Junior Programme Officer (L-2)

Secretary (G-5) Ms. J. D'A. Byaje

Clearing-house Mechanism Unit

Programme Officer - Clearing House Mechanism (P-4) Mr. M. Auer (Germany)

Librarian/documentalist (P-3/L-3) vacant

Programme Officer - Information (P-3/L-3) Ms. B. Torres (Peru)

Programme Officer - Communications (UNEP) (P-2) vacant Associate Pro. Officer - Database Management (P-2/L-2) vacant Associate Pro. Officer - Documentation Control vacant Database Clerk (G-5) Mr. F. Dejon

Clerk - Publications (G-4) vacant

Jakarta Mandate on Marine and Coastal Biological

Diversity

Programme Officer, Marine Ecology (UNESCO) (P-4) vacant Programme Officer (P-3/L-3) vacant

Junior Programme Officer (L-2) Ms. B. di Giovanni (Italy)

Financial Resources and Instruments

Programme Officer-Fin. Resources and Instruments (P-4) Mr. S. Johnston (Australia) Programme Officer - Financial Mechanism (P-3/L-3) Mr. Y. Xiang (China) vacant

Research Assistant (G-7)

National Reports and Reviews

Programme Officer (P-4/L-4) Mr. A. Gross (UK)

Programme Officer (P-3/L-3) (Prov. of Quebec) vacant Research Assistant (G-7) vacant

ANNEX 4

CONTRIBUTIONS TO THE CBD TRUST FUND (as at 30 April 1997)

COUNTRIES	Unpaid Pledges as at 31.12.96	Adjustments	Pledges for 1997	Collection during 1997 for pr. yrs.	Collections during 1997 for 1997 & fut. yrs	Unpaid pledges for 1997	Unpaid pledges for 1997 and prior years
	US\$	US \$	US\$	US \$	US\$	US\$	US\$
Albania	949	0	705	0	0	705	1,654
Algeria	15,180	0	11,274	0	0	11,274	26,454
Antigua & Barbuda	0	0	705	0	0	705	705
Argentina	27	0	33,822	0	0	33,822	33,849
Armenia	11,233	0	3,523	0	0	3,523	14,756
Australia	0	0	104,283	0	104,283	0	0
Austria	0	0	61,302	0	0	61,302	61,302
Bahamas	(748)	0	1,409	0	0	1,409	661
Bangladesh	1,169	0	526	0	0	526	1,695
Barbados	0	0	705	0	0	705	705
Belarus	55,567	0	19,729	0	0	19,729	75,296
Belgium	0	0	72,076	0	0	72,076	72,076
Belize	197	0	705	0	0	705	902
Benin	690	0	526	690	0	526	526
Bhutan	0	0	526	0	0	526	526
Bolivia	1,701	0	705	0	0	705	2,406
Botswana	(705)	0	705	0	0	705	0
Brazil	35,875	0	114,148	0	0	114,148	150,023
Bulgaria	0	0	5,637	0	0	5,637	5,637
Burkina Faso	0	0	526	0	638	(112)	(112)
Cambodia	(690)	0	526	0	0	526	(164)

COUNTRIES	Unpaid Pledges as at 31.12.96	Adjustments	Pledges for 1997	Collection during 1997 for pr. yrs.	Collections during 1997 for 1997 & fut. yrs	Unpaid pledges for 1997	Unpaid pledges for 1997 and prior years
	US \$	US\$	US\$	US\$	US\$	US\$	US\$
Cameroon	1,701	0	705	0	0	705	2,406
Canada	0	0	219,136	0	0	219,136	219,136
Cape Verde	690	0	526	0	0	526	1,216
Central African Rep.	690	0	526	0	0	526	1,216
Chad	1,169	0	526	0	0	526	1,695
Chile	0	0	5,637	0	0	5,637	5,637
China	0	0	52,142	0	0	52,142	52,142
Colombia	0	0	7,046	0	0	7,046	7,046
Comoros	1,169	0	526	0	0	526	1,695
Congo	0	0	705	0	0	705	705
Cook Islands	1,701	0	705	0	0	705	2,406
Costa Rica	1,701	0	705	0	0	705	2,406
Cote d'Ivoire	197	0	705	0	0	705	902
Croatia	0	0	6,342	0	0	6,342	6,342
Cuba	10,244	0	3,523	0	0	3,523	13,767
Cyprus	0	0	2,114	0	0	2,114	2,114
Czech Republic	0	0	17,615	0	0	17,615	17,615
Denmark	0	0	50,732	0	50,732	0	0
Djibouti	1,169	0	526	2,000	0	526	(305)
Dominica	949	0	705	949	705	0	0
Ecuador	2,048	0	1,409	0	0	1,409	3,457
Egypt	0	0	5,637	0	0	5,637	5,637
El Salvador	1,701	0	705	0	0	705	2,406
Equatorial Guinea	1,169	0	526	0	0	526	1,695
Estonia	0	0	2,818	0	0	2,818	2,818
Eritrea	0	0	526	0	0	526	526

COUNTRIES	Unpaid Pledges as at 31.12.96	Adjustments	Pledges for 1997	Collection during 1997 for pr. yrs.	Collections during 1997 for 1997 & fut. yrs	Unpaid pledges for 1997	Unpaid pledges for 1997 and prior years
	US\$	US\$	US\$	US \$	US\$	US\$	US \$
Ethiopia	690	0	526	0	0	526	1,216
European Commission	0	0	131,526	0	0	131,526	131,526
Fiji	111	0	705	111	0	705	705
Finland	0	0	43,686	0	0	43,686	43,686
France	0	0	452,364	0	0	452,364	452,364
Gambia	1,169	0	526	0	0	526	1,695
Georgia	23,177	0	7,751	0	0	7,751	30,928
Germany	120,000	0	638,383	120,000	538,383	100,000	100,000
Ghana	949	0	705	0	0	705	1,654
Greece	(31,131)	0	26,775	0	0	26,775	(4,356)
Grenada	1,701	0	705	0	0	705	2,406
Guatemala	1,897	0	1,409	0	0	1,409	3,306
Guinea	479	0	526	0	0	526	1,005
Guinea-Bissau	690	0	526	0	0	526	1,216
Guyana	1,701	0	705	1,701	0	705	705
Haiti	0	0	526	0	0	526	526
Honduras	949	0	705	0	0	705	1,654
Hungary	0	0	9,865	0	0	9,865	9,865
Iceland	0	0	2,114	0	2,114	0	0
India	0	0	21,843	0	0	21,843	21,843
Indonesia	0	0	9,865	0	0	9,865	9,865
Iran	0	0	31,708	0	0	31,708	31,708
Ireland	0	0	14,797	0	0	14,797	14,797
Israel	0	0	19,025	0	19,025	0	0
Italy	0	0	369,924	0	0	369,924	369,924
Jamaica	(8,757)	0	705	0	0	705	(8,052)

COUNTRIES	Unpaid Pledges as at 31.12.96	Adjustments	Pledges for 1997	Collection during 1997 for pr. yrs.	Collections during 1997 for 1997 & fut. yrs	Unpaid pledges for 1997	Unpaid pledges for 1997 and prior years
	US\$	US\$	US\$	US\$	US \$	US \$	US \$
Japan	0	0	1,102,725	0	0	1,102,725	1,102,725
Jordan	0	0	705	0	0	705	705
Kazakhstan	38,521	0	13,388	0	0	13,388	51,909
Kenya	(784)	0	705	0	0	705	(79)
Kiribati	0	0	526	0	0	526	526
Kyrgyztan	0	0	2,114	0	0	2,114	2,114
Lao PDR	0	0	526	0	0	526	526
Korea, Dem. People's Rep.	7,751	0	3,523	0	0	3,523	11,274
Korea, Rep. of	0	0	57,779	50,000	0	57,779	7,779
Latvia	0	0	5,637	0	0	5,637	5,637
Lebanon	(1,701)	0	705	0	0	705	(996)
Lesotho	1,169	0	526	0	0	526	1,695
Lithuania	8,064	0	5,637	0	0	5,637	13,701
Luxembourg	0	0	4,932	0	0	4,932	4,932
Madagascar	0	0	526	0	0	526	526
Malawi	10	0	526	0	536	(10)	0
Malaysia	0	0	9,865	0	0	9,865	9,865
Maldives	690	0	526	0	0	526	1,216
Mali	690	0	526	0	0	526	1,216
Marshall Islands	949	0	705	0	0	705	1,654
Mauritius	0	0	705	0	705	0	0
Mauritania	0	0	526	0	0	526	526
Mexico	133,354	0	55,665	0	0	55,665	189,019
Micronesia,Fed. States	949	0	705	0	705	0	949
Moldova, Rep. of	8,064	0	5,637	0	0	5,637	13,701
Monaco	0	0	705	0	693	12	12

COUNTRIES	Unpaid Pledges as at 31.12.96	Adjustments	Pledges for 1997	Collection during 1997 for pr. yrs.	Collections during 1997 for 1997 & fut. yrs	Unpaid pledges for 1997	Unpaid pledges for 1997 and prior years
	US\$	US\$	US\$	US \$	US\$	US\$	US\$
Mongolia	0	0	705	0	0	705	705
Morocco	0	0	2,114	0	0	2,114	2,114
Mozambique	0	0	526	0	0	526	526
Myanmar	1,169	0	526	0	0	526	1,695
Nauru	949	0	705	0	0	705	1,654
Nepal	0	0	526	0	0	526	526
Netherlands	0	0	112,034	0	0	112,034	112,034
New Zealand	0	0	16,911	0	0	16,911	16,911
Nicaragua	949	0	705	0	0	705	1,654
Niger	690	0	526	0	0	526	1,216
Nigeria	22,939	0	7,751	0	0	7,751	30,690
Niue	0	0	705	0	0	705	705
Norway	0	0	39,459	0	39,459	0	0
Oman	0	0	2,818	0	0	2,818	2,818
Pakistan	(10)	0	4,228	0	0	4,228	4,218
Panama	1,701	0	705	0	0	705	2,406
Papua New Guinea	0	0	705	0	0	705	705
Paraguay	0	0	705	0	0	705	705
Peru	10,203	0	4,228	10,203	0	4,228	4,228
Philippines	0	0	4,228	0	5,692	(1,464)	(1,464)
Poland	32,019	0	23,252	0	0	23,252	55,271
Portugal	0	0	19,729	0	0	19,729	19,729
Qatar	0	0	2,818	0	0	2,818	2,818
Romania	0	0	10,569	0	0	10,569	10,569
Russian Federation	222,183	0	300,871	0	0	300,871	523,054
Rwanda	0	0	526	0	0	526	526

COUNTRIES	Unpaid Pledges as at 31.12.96	Adjustments	Pledges for 1997	Collection during 1997 for pr. yrs.	Collections during 1997 for 1997 & fut. yrs	Unpaid pledges for 1997	Unpaid pledges for 1997 and prior years
	US \$	US\$	US\$	US\$	US\$	US \$	US \$
St. Kitts & Nevis	0	0	705	0	0	705	705
St. Lucia	0	0	705	0	0	705	705
Saint Vincent							
& Grenadines	0	0	705	0	0	705	705
Samoa	690	0	526	0	0	526	1,216
San Marino	0	0	705	0	0	705	705
Senegal	797	0	705	797	705	0	0
Seychelles	1,701	0	705	0	0	705	2,406
Sierra Leone	1,169	0	526	0	0	526	1,695
Singapore	0	0	9,865	0	0	9,865	9,865
Slovakia	0	0	5,637	0	0	5,637	5,637
Slovenia	0	0	4,932	0	0	4,932	4,932
Solomon Is.	690	0	526	0	0	526	1,216
South Africa	0	0	22,548	0	0	22,548	22,548
Spain	0	0	167,699	0	0	167,699	167,699
Sri Lanka	949	0	705	0	0	705	1,654
Sudan	690	0	526	0	0	526	1,216
Suriname	690	0	705	0	0	705	1,395
Swaziland	0	0	705	0	0	705	705
Sweden	0	0	86,668	0	0	86,668	86,668
Switzerland	0	0	85,259	0	85,259	0	0
Syria	4,744	0	3,523	4,744	0	3,523	3,523
Togo	690	0	526	0	0	526	1,216
Trinidad & Tobago	0	0	2,114	0	0	2,114	2,114
Tunisia	0	0	2,114	0	0	2,114	2,114
Turkmenistan	0	0	2,818	0	0	2,818	2,818

COUNTRIES	Unpaid Pledges as at 31.12.96	Adjustments	Pledges for 1997	Collection during 1997 for pr. yrs.	Collections during 1997 for 1997 & fut. yrs	Unpaid pledges for 1997	Unpaid pledges for 1997 and prior years
	US\$	US\$	US\$	US \$	US \$	US \$	US \$
Uganda	(268)	0	526	0	0	526 1/	258
Ukraine	108,155	0	76,803	0	0	76,803	184,958
U. K.	0	0	374,856	0	0	374,856	374,856
United Republic				0	0	0	0
of Tanzania	0	0	526	0	0	526	526
Uruguay	6,802	0	2,818	0	0	2,818	9,620
Uzbekistan	0	0	9,160	0	0	9,160	9,160
Vanuatu	0	0	526	0	0	526	526
Venezuela	62,092	0	23,252	0	0	23,252	85,344
Viet Nam	0	0	705	0	0	705	705
Yemen	0	0	705	0	0	705	705
Zaire	1,169	0	526	0	0	526	1,695
Zambia	0	0	526	0	0	526	526
Zimbabwe	1,701	0	705	0	0	705	2,406
TOTAL	943,347	0	5,333,132	191,195	849,634	4,483,498	5,235,650

LIST OF MEETINGS ORGANISED UNDER THE CONVENTION ON BIOLOGICAL DIVERSITY

<u>Dates</u>	Meeting Title	<u>Venue</u>	<u>Status</u>
7-9 March 1997	Meeting of Experts on Marine and Coastal Biological Diversity	Indonesia	Completed
8 April 1997	COP Bureau Meeting	Telephone conference	Completed
11 May 1997	Biosafety Bureau	Montreal	Completed
12-16 May 1997	Second Meeting of the Open-ended <i>Ad Hoc</i> Working Group on a Biosafety Protocol	Montreal	Completed
25-28 May 1997	Meeting of the Liaison Group on Forest Biological Diversity	Helsinki	Completed
30 May - 2 June 1997	Meeting of the Liaison Group on Biological Indicators	Wageningen	Completed
2 June 1997	SBSTTA Bureau Meeting	Telephone conference	Completed
30 June to 1 July 1997	COP Bureau Meeting	Montreal	Confirmed
July 1997	CHM Workshop Latin American and the Caribbean Region	Colombia	Tentative
August 1997	CHM Workshop Asian Region	To be determined	Tentative
31 August 1997	SBSTTA Bureau Meeting	Montreal	Confirmed
1-5 September 1997	Third Meeting of the Subsidiary Body on Scientific, Technical and Technological Advice	Montreal	Confirmed
13-17 October 1997	Third Meeting of the Open-ended <i>Ad Hoc</i> Working Group on a Biosafety Protocol	Montreal	Confirmed
10-14 November 1997	Workshop on Article 8(j)	Montreal	Confirmed
Late November 1997	Workshop on the operations of the Convention	To be determined	Tentative
November 1997	CHM Workshop African Region	To be determined	Tentative
December 1997	CHM Workshop Economies in Transition	To be determined	Tentative
January 1998	Asian Regional Preparatory Meeting for the fourth Conference of the Parties	Beijing	Tentative
January 1998	COP Bureau Meeting	Buenos Aires	Tentative
February 1998	African Regional Preparatory Meeting for the fourth Conference of the Parties	Morocco	Tentative
Feb/March 1998	Fourth Meeting of the Open-ended <i>Ad Hoc</i> Working Group on a Biosafety Protocol		
Feb/March 1998	Latin American and the Caribbean Regional Preparatory Meeting for the fourth Conference of the Parties	Chile (Tentative)	Tentative
March 1998	Economies in Transition Regional Preparatory Meeting for the fourth Conference of the Parties	Almaty	Tentative
3 May 1998	COP Bureau Meeting	Bratislava	Confirmed
4-15 May 1998	Fourth Meeting of the COP	Bratislava	Confirmed

ANNEX 6 Part A

LIST OF PARTIES AND GOVERNMENTS HAVING SUBMITTED NAMES TO THE ROSTER OF EXPERTS ON MARINE AND COASTAL BIOLOGICAL DIVERSITY

(As of 1 May 1997)

- 1. Antigua and Barbuda
- 2. Argentina
- 3. Australia
- 4. Austria
- 5. Bahamas
- 6. Barbados
- 7. Belgium
- 8. Belize
- 9. Benin
- 10. Brazil
- 11. Brunei Darussalam
- 12. Cambodia
- 13. Chile
- 14. China, People's Republic of
- 15. Colombia
- 16. Cook Islands
- 17. Costa Rica
- 18. Croatia
- 19. Cuba
- 20. Cyprus
- 21. Denmark
- 22. Dominica
- 23. Ecuador
- 24. Egypt
- 25. European Commission
- 26. Finland
- 27. France
- 28. Georgia
- 29. Germany
- 30. Ghana
- 31. Greece
- 32. Iceland
- 33. India
- 34. Indonesia
- 35. Ireland
- 36. Israel
- 37. Japan
- 38. Jordan
- 39. Kenya
- 40. Korea, Republic of
- 41. Latvia
- 42. Lithuania
- 43. Madagascar

- 44. Marshall Islands
- 45. Mexico
- 46. Moldova
- 47. Morocco
- 48. Netherlands
- 49. New Zealand
- 50. Nicaragua
- 51. Nigeria
- 52. Norway
- 53. Pakistan
- 54. Peru
- 55. Philippines
- 56. Russian Federation
- 57. Saint Lucia
- 58. Senegal
- 59. Singapore
- 60. Slovakia
- 61. South Africa
- 62. Sri Lanka
- 63. Sweden
- 64. Switzerland
- 65. Syria
- 66. Tanzania
- 67. Thailand
- 68. Tunisia
- 69. Turkey
- 70. Ukraine
- 71. United Kingdom
- 72. Uruguay
- 73. Vietnam
- 74. Zimbabwe

ANNEX 6 Part B

LIST OF PARTIES AND GOVERNMENTS HAVING SUBMITTED NAMES TO THE ROSTER OF EXPERTS ON FOREST BIOLOGICAL DIVERSITY

(As of 1 May 1997)

- Algeria
 Argentina
- 3. Bahamas
- 4. Belarus
- 5. Belgium
- 6. Bolivia
- 7. Brazil
- 8. Burkina Faso
- 9. Burundi
- 10. Cambodia
- 11. Canada
- 12. Central Africa
- 13. Colombia
- 14. Comores
- 15. Cook Islands
- 16. Costa Rica
- 17. Cyprus
- 18. Czech Republic
- 19. Djibouti
- 20. Dominican Republic
- 21. Ecuador
- 22. Egypt
- 23. Ethiopia
- 24. European Commission
- 25. Finland
- 26. France
- 27. Gambia
- 28. Georgia
- 29. Ghana
- 30. Guinea, Republic of
- 31. Hungary
- 32. Ireland
- 33. Italy
- 34. Kazakstan
- 35. Korea, Democratic Republic of
- 36. Korea, Republic of
- 37. Kyrgyz Republic
- 38. Latvia
- 39. Lebanon
- 40. Lesotho
- 41. Madagascar
- 42. Malaysia
- 43. Mali
- 44. Malta

- 45. Mauritania
- 46. Mauritius
- 47. Mexico
- 48. Morocco
- 49. Mozambique
- 50. Micronesia
- 51. Namibia
- 52. Nepal
- 53. New Zealand
- 54. Norway
- 55. Oman, Sultanate of
- 56. Papua New Guinea
- 57. Peru
- 58. Philippines
- 59. Poland
- 60. Rumania
- 61. Russian Federation
- 62. Seychelles
- 63. Senegal
- 64. Sierra Leone
- 65. Slovenia
- 66. Slovak Republic
- 67. South Africa
- 68. Spain
- 69. Sri Lanka
- 70. Sweden
- 71. Switzerland
- 72. Sudan
- 73. Thailand
- 74. Trinidad
- 75. Turkey
- 76. United Kingdom
- 77. United States
- 78. Uruguay
- 79. Venezuela
- 80. Vietnam
- 81. Zaire