





CONVENTION ON BIOLOGICAL DIVERSITY

Distr. GENERAL

UNEP/CBD/QR/18 16 October 2002

ENGLISH ONLY

QUARTERLY REPORT ON THE ADMINISTRATION OF THE CONVENTION ON BIOLOGICAL DIVERSITY (July - September 2002)

Note by the Executive Secretary

Contents:

		page
I.	INTRODUCTION	4
II.	EXECUTIVE DIRECTION AND MANAGEMENT	4
III.	IMPLEMENTATION OF THE ADMINISTRATIVE ARRANGEMENTS A. Personnel Arrangements	
IV.	IMPLEMENTATION OF THE DECISIONS OF THE CONFERENCE OF THE PARTIES	6
DECIS	SIONS:	
	Scientific Technical and Technological Matters	
V/2	Progress report on the implementation of the programme of work on the biological diversity of inland water ecosystems.	6
V/3	Progress report on the implementation of the programme of work on marine and coastal biological diversity	7
V/4	Progress report on the implementation of the programme of work on forest biological diversity	7

UNEP/CBD/QR/18

Page	2

V/5	Agricultural biological diversity: review of phase I of the Programme of work and adoption of multi-year work programme	9
V/6	Ecosystem approach	11
V/7	Identification, monitoring and assessment, and indicators	11
V/8	Alien species that threaten ecosystems, habitats or species	13
V/9	Global Taxonomy Initiative: implementation and further	14
V/10	Global Strategy for plant conservation	16
V/21	Cooperation with other bodies	16
V/23	Consideration of options for conservation and sustainable use of biological diversity in dryland, Mediterranean, arid, semi-arid grassland and savannah ecosystems	18
VI/30	Preparations for the seventh meeting of the COP	20
	Social Economic and Legal Matters	
V/15	Incentive measures	21
V/16	Article 8(j) and related provisions	22
V/18	Impact assessment, liability and redress.	23
V/24	Sustainable use as a cross-cutting issue	23
V/25	Biological diversity and tourism	23
V/26	Access to genetic resources.	24
VI/21	Annex to the Hague Ministerial Declaration	24
VI/26	Strategic Plan	24
VI/28	Multi-year POW of the COP up to 2010	24

Biosafety Unit

V/1	Work plan of the Intergovernmental Committee for the Cartagena Protocol on			
Biosaf	ety	25		
	Implementation and Outreach			
V/11	Additional financial resources	26		
V/12	Second review of the financial mechanism.	26		
V/13	Further guidance to the Global Environment Facility	26		
V/14	4 Scientific and technical cooperation and the Clearing-house Mechanism			
V/17	7 Education and public awareness			
V/19	National reporting	28		
V/20	Operations of the Convention	29		
Annex	<u>es</u>			
Annex	I	Status of Implementation o		
Annex	II Organisational Chart of the CBD Secretariat	36		
Annex	III General Trust Fund for additional voluntary Contributions (BE)	43		
Annex	IV General Trust Fund for additional voluntary Contributions (BZ)	46		
Annex	V General Trust Fund for the CBD (BY)	48		
Annex	VI List of meetings organised by the Secretariat	56		
Annex	VII List of Parties to the Cartagena Protocol on Biosafety	58		

I. INTRODUCTION

- 1. This report is prepared in keeping with decision III/24 of the Conference of the Parties which requested the Executive Secretary to prepare a quarterly report on the administration of the Convention including such matters as the staff lists, status of contributions, progress on the implementation of the medium term work programme and financial expenditures. The requirements to report on a regular basis to the Parties were further elaborated under the Administrative Arrangements between the Secretariat and UNEP, which were endorsed by the Conference of the Parties in decision IV/17.
- 2. The Executive Secretary has prepared this Quarterly Report, which contains a summary of key activities implementing the decisions of the Conference of the Parties and other relevant matters during the period 1 July to 30 September 2002.

II. EXECUTIVE DIRECTION AND MANAGEMENT

- 3. The CBD Secretariat was represented at the World Summit on Sustainable Development (WSSD) which was held in Johannesburg, South Africa, from 26 August to 4 September 2002. The Secretariat made a presentation to the plenary on "Biodiversity" as one of the five WEHAB key areas identified by the United Nations Secretary-General where progress can and must be achieved. The other WEHAB topics are Water, Energy, Health and Agriculture. The presentation was followed by a panel discussion engaging the main stakeholders including inter-governmental organizations, scientific community, civil society, indigenous groups, trade and industry representatives and women's groups. The CBD also made a statement to the plenary.
- 4. The WSSD adopted a Plan of Implementation and the Johannesburg Declaration on Sustainable Development. The Plan of Implementation incorporated and enforced major elements of the decisions taken by the sixth meeting of the Conference of the Parties to the CBD which was held in April 2002 in The Hague, the Netherlands. The decision pertaining to biological diversity in the Plan of Implementation commits governments to (i) achieve a significant reduction in the current rate of loss of biodiversity by 2010, and (ii) negotiate an international regime to promote and safeguard the fair and equitable sharing of benefits arising from the utilization of genetic resources.
- 5. The Secretariat actively participated in relevant side events which were organized on the margins of the WSSD. It is noteworthy to mention that the CBD has contributed substantially to the preparation of the document entitled "A Framework for Action on Biodiversity and Ecosystem Management" which was one of the five thematic papers of the WEHAB Working Group submitted to WSSD to enhance deliberation by underscoring interlinkages among the five sectors, identifying key gaps and challenges and highlighting areas where further action is needed. Moreover, with regard to the Type 2 Partnership Initiatives, the Secretariat prepared two proposals on "Capacity Building for Decision Making under the Biosafety Protocol" and "A Global Partnership Towards Building Capacities in Developing Countries for Effective Participation in the Biosafety Clearing House".
- 6. During the third quarter of 2002, three countries (Kuwait, Bosnia and Herzegovina and Afghanistan) acceded and/or ratified the Convention raising the number of Parties to 186. Furthermore, 15 countries ratified the Cartagena Protocol on Biosafety bringing the total number of Parties to 36. A list of the Parties to the Cartagena Protocol on Biosafety is attached to this report as Annex VII.
- 7. During the third quarter of 2002, the CBD Secretariat organized three meetings, namely: the *Ad hoc* Technical Expert Group on Mariculture which was held from 1-5 July in Rome, Italy; the second meeting of the *Ad hoc* Technical Expert Group on Biodiversity and Climate Change which was organized from 9-13 September and the second meeting of the *Ad hoc* Technical Expert Group on Dry and Subhumid Lands from 23-27 September 2002. The latter two meetings were held in Montreal, Carada.

- 8. The Bureau of the sixth meeting of the Conference of the Parties met in Montreal from 23-24 September 2002 to discuss the follow-up of the implementation of the COP-6 decisions as well as the outcome of the WSSD and its impact on the work programme of the CBD Secretariat.
- 9. The Secretariat has adopted an initiative whereby relevant organizations are invited to address the Secretariat staff on issues of mutual interest with the objective of strengthening working relationships and fostering collaboration with these organizations. In this regard, Mr. Jeffrey Gertler, Acting Director of the Legal Affairs Division of the World Trade Organization (WTO) made a presentation in August on "Trade Disputes and Resolutions at the WTO".
- 10. A Memorandum of Cooperation (MoC) between the CBD Secretariat and the International Association for Impact Assessment (IAIA) was signed in August 2002. The MoC relates to the integration of biodiversity considerations into impact assessment and strategic environmental assessment guidelines and procedures. Furthermore, an MoC between the Secretariat and the Coordination Office of the Global International Waters Assessment (GIWA) was concluded to ensure harmonized implementation of the elements of the CBD programmes of work on biological diversity of inland water and marine and coastal ecosystems.
- During the period under review, the Secretariat participated in several international meetings including: the Board Meeting for the Equator Initiative (8 July, New York, USA); the third BioNET Global Taxonomy Workshop (8-12 July, Pretoria, South Africa); the GBIF Capacity Building Meeting (14-15 July, Pretoria, South Africa); the North American Biodiversity Information Network Annual Meeting (1-2 August, Montreal, Canada); the Workshop on Trade, Renewable Resources and Biodiversity (5-6 September, Tilburg, the Netherlands); the FAO Inter-agency Meeting on Biosecurity in Food and Agriculture (9-10 September, Rome, Italy); the Global Taxonomy Initiative Regional Workshop for Asia including the Species 2000 Asia-Oceania (10-17 September, Kuala Lumpur, Malaysia); the FAO Second Expert Meeting on Harmonizing Forest-related Definitions (11-13 September, Rome, Italy); the 11th Meeting of the Scientific Council of CMS (14-17 September, Bonn, Germany); the meeting on the International Guidelines for Sustainable Tourism in Vulnerable Ecosystems (16-20 September, Muritzsee, Germany); the Liability Workshop on Persistent Organic Pollutants (19-21 September, Vienna, Austria); the Consultative Meeting on Forest Landscape Restoration (20 September, Edinburgh, United Kingdom); the IPPC Working Group on Pest Risk Analysis for LMOs (24-27 September, Ottawa, Canada); and the conference on International Cooperation on Environmental Reporting and Information Management (26) September, Copenhagen, Denmark).
- 12. Two professional and one general service posts have been filled and recruitment procedures are underway with the expectation to fill all advertised positions by the end of 2002.

III. IMPLEMENTATION OF THE ADMINISTRATIVE ARRANGEMENTS

A. Personnel Arrangements

13. During the reporting period, the Secretariat had **28** regularized Professional staff members and **21** regularized General Service staff members funded from the core budget. Additionally, **7** Professional posts and **5** General Service posts were funded from other sources. For more information, please refer to the attached staff list and organigram (Annex I Appendix 1, and Annex II respectively).

B. Financial Arrangements

14. With regard to budgetary matters, as at 30 September 2002, of the total pledged contributions of US\$6,914,379 for 2002 to the General Trust Fund for the Convention on Biological Diversity (**BY Trust Fund**), the total contributions received amounted to US\$4,551,555. Additionally US\$317,808 has been collected as advance payments for 2003, and US\$1,179,564 has been collected as additional contributions to the BY Trust Fund in 2002. Total unpaid pledges to the BY Trust Fund for prior years amount to US\$604,955. Details of the BY Trust Fund are contained in Annex V.

Page 6

- 15. Several Parties have contributed additional voluntary funds in support of approved activities under the Convention (**BE Trust Fund**). As of 30 September 2002, the total amount pledged for 2002 amounts to US\$764,694. The total collected for 2002 as at 30 September 2002 was US\$582,433 and an additional \$615,262 has been collected in 2002 for prior years. Total unpaid pledges for 2002 and prior years to the BE Trust Fund is US\$444,197. Details of the BE Trust Fund are contained in Annex III.
- 16. Pledges totalling US\$501,117 have so far been made as additional voluntary contributions to facilitate the participation of Parties to the Convention Process (**BZ Trust Fund**) in 2002, of which US\$423,396 has already been paid. Total unpaid pledges for 2002 and prior years to the BZ Trust Fund amount to US\$93,233. Details of the BZ Trust Fund are contained in Annex IV.
- 17. Invoices have been sent to the 186 Parties to the Convention, inviting them to pay their contributions to the General Trust Fund for 2002. As at 30 September 2002 eighty-nine countries have totally or partially paid their assessed contributions for 2002 representing 78% of the total amount pledged to the Trust Fund in 2002.

IV. IMPLEMENTATION OF THE DECISIONS OF THE CONFERENCE OF THE PARTIES

SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL MATTERS

DECISION V/2 PROGRESS REPORT ON THE IMPLEMENTATION OF THE PROGRAMME OF WORK ON THE BIOLOGICAL DIVERSITY OF INLAND WATER ECOSYSTEMS

AND

DECISION VI/2 BIOLOGICAL DIVERSITY OF INLAND WATERS

- 18. In decision VI/2, the Conference of the Parties requested the Executive Secretary to (i) strengthen collaboration with the Ramsar Convention on Wetlands on the implementation of the River Basin Initiative and the third joint work plan, and (ii) implement paragraph 5 of Decision V/2 i.e., review the programme of work and include in its review advice on the further elaboration and refinement of the programme of work.
 - (i) Collaboration with the Ramsar Convention:
- 19. The Executive Secretary continued discussion on the implementation of specific activities in the joint work plan, and exchanged views with the Ramsar Bureau and relevant organizations (in particular LakeNet, Conservation International, Wetland International and Global Fisheries Trust) on their contributions to SBSTTA-8 documents and the planned expert meeting on methods and guidelines for rapid assessment of biodiversity of inland water ecosystems;
 - (ii) Review of the implementation of work:
- 20. The Executive Secretary continued reviewing the implementation of the programme of work adopted in 1998. He focused, in particular, on the revision of the document prepared by the Liaison Group and the summary of the document on status and trends of inland water biodiversity.
- 21. The web page on inland water biodiversity was updated in July 2002 to reflect the decisions of the sixth meeting of the Conference of the Parties.

DECISION V/3 PROGRESS REPORT ON THE IMPLEMENTATION OF THE PROGRAMME OF WORK ON MARINE AND COASTAL BIOLOGICAL DIVERSITY AND

DECISION VI/3 MARINE AND COASTAL BIOLOGICAL DIVERSITY

- 22. In decision VI/3, the Conference of the Parties requested the Executive Secretary to:
 - (i) Continue facilitating the implementation of the specific work plan on coral bleaching and the work plan on physical degradation and destruction of coral reefs;
 - (ii) Continue developing the work plan on physical degradation and destruction of coral reefs;
 - (iii) Strengthen collaboration with the Regional Seas Conventions and Action Plans.
- 23. In response to those requests, the Executive Secretary strengthened collaboration with the Regional Seas Conventions, the Intergovernmental Oceanographic Commission (IOC) and, particularly, the International Coral Reef Initiative (ICRI) and the Global Coral Reef Monitoring Network (GCRMN), through which most of the activities in the work plans on coral bleaching and on physical degradation and destruction of coral reefs are being carried out. The Secretariat will co-sponsor the GCRMN and contribute to the preparation and publication of the Status of Coral Reefs of the World 2002 Report to be launched at the Second International Tropical Marine Ecosystems Management Symposium (ITMEMS) in November 2002.
 - (a) Ad Hoc Technic al Expert Group on Mariculture:
- 24. The Executive Secretary convened a meeting of the Ad Hoc Technical Expert Group on Mariculture at the FAO in Rome from 1 to 5 July 2002, and prepared the report of the meeting. The group evaluated the state of scientific and technical knowledge on the effect of mariculture on marine and coastal biodiversity and reviewed criteria, methods and techniques that avoid the adverse effects and enhance the positive effects of mariculture. The group prepared a set of recommendations for the consideration of SBSTTA at its eighth meeting.
 - (b) Questionnaire on the Status of Implementation of the Work Programme:
- 25. In August 2002, the Secretariat sent a questionnaire to organizations to gather more information on the status of implementation of the programme of work.
 - (c) Collaboration with UNDOALOS:
- 26. The Secretariat continued work with the United Nations Division for Ocean Affairs and the Law of the Sea (UNDOALOS) on the bio-prospecting document.
- 27. The web page on marine and coastal biodiversity was updated in July to reflect the decisions of the sixth meeting of the Conference of the Parties.

DECISION V/4 PROGRESS REPORT ON THE IMPLEMENTATION OF THE PROGRAMME OF WORK FOR FOREST BIOLOGICAL DIVERSITY AND DECISION VI/22 FOREST BIOLOGICAL DIVERSITY

- 28. In decision VI/22, the Conference of the Parties requested the Executive Secretary to:
 - (i) Initiate, in collaboration with the United Nations Forum on Forests (UNFF) Secretariat and the Collaborative Partnership on Forests (CPF), actions on the following areas identified as important first steps towards the implementation of the expanded work

UNEP/CBD/QR/18 Page 8

- programme: ecosystem approach, collaboration with other bodies, cross-sectoral integration, protected areas, forest law enforcement and related trade, sustainable use, benefit-sharing and servicing capacity-building (paragraph 19).
- (ii) Develop, in consultation with other partners, a format to report on the implementation of the expanded programme of work (paragraph 22).
- (iii) Develop a format for voluntary thematic national reports (paragraph 27).
- (iv) Implement, in collaboration with the United Nations Forum on Forests, collaborative actions for selected items in the expanded programme of work (paragraph 37).
- 29. In response to these decisions, the following activities were carried out:
 - (a) Initial actions:
 - (i) A two-day meeting was held with two representatives of the UNFF Secretariat in Montreal on 11 and 12 July 2002 to discuss all decisions adopted by COP-6 that require collaboration with UNFF. The meeting also reviewed the activities that the Executive Secretary was requested to implement with the CPF members and a common timeframe for actions on relevant CBD decisions was agreed upon. The report of the meeting was transmitted to the Chair of the CPF for his consideration and subsequently to all CPF members. The Executive Secretary, the Chair of the CPF, and the acting Head of the UNFF agreed that two CPF meetings would address forest-related decisions adopted by COP-6.
 - (ii) Ecosystem approach (paragraph 19a): In addition to the collaboration with the UNFF Secretariat, a consultant was hired to assist with the preparation of the pre-session documents for the ninth meeting of SBSTTA on ecosystem approach and sustainable forest management.
 - (iii) Collaboration with other bodies/enabling environment (paragraph 19b): A table showing common elements between the CBD expanded programme of work on forest biological diversity and the Intergovernmental Panel on Forests (IPF)/Intergovernmental Forum on Forests (IFF), including proposals for action, was prepared and sent to the UNFF Secretariat as agreed. A questionnaire was also sent to selected governments to find out how countries implement their National Forest Programmes and their first programmes in their National Biodiversity Strategies and Action Plans (NBSAPs).

(b) Collaboration with CPF:

Format of report on the implementation of the expanded programme of work (paragraph 22):

30. A draft format has been prepared for discussion with CPF members. The Secretariat has been appointed to be a member of the Collaborative Partnership on Forests Task Force on reporting. Informal discussions on the format were held with members of the Task Force.

(c) Thematic national reports:

Voluntary thematic national report on the implementation of the programme of work (paragraph 27):

A draft format for the thematic report has been prepared. It is being reviewed internally.

- (d) Implementation of collaborative actions:
- 31. The Secretariat participated in the Consultative Meeting entitled "Building assets for people and nature: a global initiative to catalyze and reinforce forest landscape restoration", organized by the UK Forestry Commission, IUCN and WWF in Edinburgh on 20 September 2002.
- 32 The web page on Forest biodiversity was updated in July to reflect the decisions of the sixth meeting of the Conference of the Parties.

DECISION V/5 AGRICULTURAL BIOLOGICAL DIVERSITY: REVIEW OF PHASE I OF THE PROGRAMME OF WORK AND ADOPTION OF A MULTI-YEAR WORK PROGRAMME

AND

DECISION VI/5 AGRICULTURAL BIOLOGICAL DIVERSITY

- 33. In decision VI/5, the Conference of the Parties requested the Executive Secretary to:
 - (i) Undertake, in collaboration with other institutions, the necessary steps for the full implementation of the programme of work as contained in table 1 of the annex to the decision and to report, as presented in table 2:
 - A preliminary assessment of status and trends of agricultural biodiversity, in collaboration with FAO and the Millennium Ecosystem Assessment, to be submitted for consideration by SBSTTA prior to the seventh meeting of the Conference of the Parties; and
 - An analysis of lessons learned from case-studies, in collaboration with FAO, WTO, Parties and other relevant organizations, to be submitted for consideration by SBSTTA prior to the seventh meeting of the Conference of the Parties, including on the following issues: cost-effective practices and technologies, partnerships and forums, and mainstreaming.
 - (ii) Prepare a draft format for the thematic report on agrobiodiversity and report to the seventh meeting of the Conference of the Parties (paragraph 5).
 - (iii) Study further the impacts of trade liberalization on agrobiodiversity in collaboration with UNEP, FAO, WTO and other relevant organizations (paragraph 17).
- 34. In the same decision, the COP, *inter alia*:
 - (iv) Established an ad hoc technical expert group on the potential impacts of genetic use restriction technologies (GURTs) on smallholder farmers, indigenous and local communities and Farmer's Rights, and requested the ad hoc technical expert group to report to the Ad Hoc Open-ended Working Group on Article 8(j) and related provisions and to SBSTTA prior to the seventh meeting of the Conference of the Parties (paragraph 21).

Page 10

- (v) Adopted and decided to periodically review, as appropriate, the plan of action for the International Initiative for the Conservation and Sustainable Use of Pollinators (paragraph 8).
- 35. In response to these decisions, the following activities were carried out:
 - (a) Programme of work and reporting schedule:
- 36. The Executive Secretary discussed plans with the main partner institutions, to define the ways for the full implementation of the programme of work on agricultural biological diversity, with particular attention to the outputs expected prior to the eighth and the ninth meetings of SBSTTA. The matter was considered by the Inter-Departmental Working Group on Biodiversity of the FAO meetings held on 15 July and on 5 September 2002. The Secretariat is preparing proposals of draft outlines for assessments and case-studies to be discussed with the relevant institutions.
 - (b) Draft format for the thematic report:
- 37. A preliminary draft has been prepared for submission to the SBSTTA Bureau and SBSTTA focal points for their comments. It is planned that SBSTTA focal points will play an important role in finalizing this and other formats.
 - (c) Further study on the impacts of trade liberalization on agrobiodiversity:
- 38. The Secretariat has prepared a discussion paper outlining the intended work of the CBD in response to decision VI/5. The discussion paper was sent to UNEP, FAO, the OECD, the WTO, IUCN and Hydro-Quebec inviting comments and their views on how to implement the study. It is envisaged that the draft of the first part of the study, on "positive incentive measures and negotiation process under the WTO Agreement on Agriculture", will be finalized by December 2002 and sent for peer review in January 2003. The second part on "a synthesis on assessment methodologies" will follow the same process, and will be finalized in time for submission of the complete study as a pre-session document to the seventh meeting of the Conference of the Parties.
 - (d) Ad hoc technical expert group on genetic use restriction technologies:
- 39. Informal discussions have been held between the Secretariat and international organizations (the International Union for the Protection of New Varieties of Plants, the World Intellectual Property Organization and the FAO), CBD focal points of Article 8(j), representatives of indigenous and local communities and the private sector regarding the organization of the ad hoc technical expert group meeting. Preparation of the related documentation of the meeting, proposed from 21 to 23 January 2003, will begin in October.
 - (e) The review of the International Pollinators Initiative:
- 40. This activity will be considered at the next in-depth review of the programme of work on agricultural biodiversity tentatively scheduled for the tenth or eleventh meeting of SBSTTA.
- 41. The web page on agricultural biodiversity was updated in July to reflect the decisions of the sixth meeting of the Conference of the Parties.

DECISION V/6 AND DECISION VI/12

ECOSYSTEM APPROACH

- 42. Decision VI/2 requested the Executive Secretary to:
 - (i) Continue the collection, compilation and dissemination of case studies and lessons learned:
 - (ii) Convene a meeting of experts to compare the ecosystem approach with sustainable forest management, and develop proposals for their integration;
 - (iii) Develop proposals for the refinement of the principles and operational guidance.
- 43. In response to this request, the Executive Secretary carried out the following activities:
 - (a) Case studies:
- 44. Discussions were held in July with the IUCN Commission on Ecosystem Management to finalize the compilation and analysis of case studies collected since 2000. In addition, a consultant was hired to assist with the collection of additional case studies with emphasis on developed countries experiences, agrobiodiversity and forest biological diversity. The Executive Secretary also shared his plans with the Secretariat of the UNFF, particularly with regard to the comparison. The Executive Secretary also held conference calls with representatives of UNESCO, IUCN, WWF, the Ramsar Convention and CIFOR (representing the CGIAR network for natural resources management) to discuss a strategy for future work on ecosystem approach in preparation for SBSTTA-9 and COP-7.
 - (b) Meeting of experts:
- 45. The consultant assisted (see (a) above) with the preparation of the pre-session and information documents for SBSTTA-9. The UNFF Secretariat is also collaborating on this item.
 - (d) Proposals for the refinement of principles:
- 46. The work of the consultant and the compilation of case studies referred to under (a) above are providing some elements for the refinement of the principles and operational guidelines.
- 47. The web page on Ecosystem Approach was updated in July to include the decisions of the sixth meeting of the Conference of the Parties.

DECISION V/7 AND DECISION VI/7 IDENTIFICATION, MONITORING, INDICATORS AND ASSESSMENT

Impact assessment

- 48. In decision VI/7(A), the Conference of the Parties requested the Executive Secretary to:
 - (i) Compile and disseminate, through the Clearing-house Mechanism and other means of communication, current experiences in environmental impact assessment and strategic environmental assessment procedures that incorporate biodiversity-related issues, as well as experiences of Parties in applying the guidelines (paragraph 3).
 - (ii) Prepare, in collaboration with relevant organizations, in particular the International Association for Impact Assessment, proposals for further development and refinement of the guidelines, (paragraph 3), and

- (iii) Provide a report of this work to the SBSTTA prior to the seventh meeting of the Conference of the Parties (paragraph 3).
- 49. In response to this decision, the following activities were carried out:
 - (a) Compilation and dissemination of information
- 50. The Secretariat has informally requested the International Association for Impact Assessment biodiversity and ecology section members to submit relevant case studies that incorporate biodiversity issues in environmental impact assessment and strategic environmental assessment, and, in response, a few case studies have been received to date. By the end of October the Secretariat is planning to issue to the International Association for Impact Assessment members as well as to the national focal points, a formal request for submission of case studies and impact assessment reports. In addition, preparations are underway to establish an electronic forum where exchange of views and information can be efficiently carried out.
 - (b) Proposals for development and refinement of the guidelines:
- 51. A Memorandum of Cooperation between the Secretariat and the International Association for Impact Assessment was agreed and signed on 15 August 2002 in order to prepare requested proposals, specifically introducing biodiversity considerations in all stages of impact assessment, taking into account the ecosystem approach
 - (c) Report to SBSTTA and the seventh meeting of the Conference of the Parties.:
- 52. Impact assessment will be considered at the ninth meeting of SBSTTA in November 2003.

<u>Indicators</u>

- 53. In decision VI/7(B), the Conference of the Parties requested the Executive Secretary to:
 - (ii) Report on the development and use of indicators in all thematic areas and cross-cutting issues to SBSTTA prior to the seventh meeting of the Conference of the Parties (paragraph 1);
 - (iii) Convene a meeting of an expert group to further develop the three annexes on ongoing work on indicators, on principles and a set of standard questions and a list of available and potential indicators (paragraph 3);
 - (iv) Report to a SBSTTA meeting prior to seventh meeting of the Conference of the Parties (paragraph 4).
- 54. In response to these requests, the following activities were carried out:
 - (a) Report on development and use of indicators:
- 55. The Ad Hoc Expert Group on Biodiversity and Climate Change (9 to 13 September 2002) discussed issues on tools, methods and instruments for evaluating the impacts of climate change adaptation and mitigation options. Environmental impact assessment and strategic environmental assessment were considered in detail as some of the main assessment tools available. Biodiversity indicators were considered as an important element within the assessment tools available for evaluating climate change adaptation and mitigation options.

(b) Expert group meeting:

56. In light of the discussion on targets at the recent World Summit on Sustainable Development, consideration is given to convening the expert group meeting at the end of this year instead of in April 2003 as originally scheduled. Work has begun on the preparation of the background document for the meeting;

Scientific Assessments

- 57. In decision VI/7(c), the Conference of the Parties requested the SBSTTA to review the findings of the Millennium Ecosystem Assessment and provide recommendations to the Conference of the Parties based on the review (paragraph 2). This decision will be implemented before the end of the year.
- 58. The web page on Indicators and Assessments was updated in July to include the decisions of the sixth meeting of the Conference of the Parties.

DECISION V/8 AND DECISION VI/23

ALIEN SPECIES THAT THREATEN ECOSYSTEMS, HABITATS OR SPECIES

- 59. In decision VI/23, the COP adopted the guiding principles for the prevention, introduction and mitigation of impacts of invasive alien species and requested the Executive Secretary to:
 - (i) Develop a joint programme of work among the CBD, the Global Invasive Species Programme, the Convention on Wetlands (Ramsar, Iran, 1971), the International Maritime Organization, the International Plant Protection Convention and other relevant bodies;
 - (ii) Carry out specific activities with GISP and other relevant organizations;
 - (iii) Support the development and dissemination of technical tools and related information;
 - (iv) Integrate invasive alien species considerations into thematic work programmes of the Convention and when reporting on the thematic work programmes to report specifically on how the threats and impacts of invasive alien species will be addressed;
 - (v) Explore means to facilitate capacity enhancement for eradication work;
 - (iv) Explore the development of arrangements for further cooperation with GISP including carrying out specific joint activities.

60. Progress report:

- (a) No activities were carried out during the period on items (i), (iii), (v) and (vii).
- (b) (vi): GISP and the Secretariat furthered discussions on the design of the pilot project on the impacts of invasive alien species on inland water biodiversity and biodiversity in Small Island States, and on the role of the CHM to store and disseminate relevant information;

- (c) (i), (iii), (v) and (vii) (iv): Invasive alien species were integrated in the discussion of interlinkages between biodiversity and climate change during the second meeting of the ad hoc technical expert group on biodiversity and climate change.
- 61. The web page on invasive alien species was updated in July to reflect the decisions of the sixth meeting of the Conference of the Parties.

DECISION V/9 GLOBAL TAXONOMY INITIATIVE: IMPLEMENTATION AND FURTHER ADVANCE OF SUGGESTIONS FOR ACTION

AND DECISION VI/8 GLOBAL TAXONOMY INITIATIVE

- 62. In decision VI/8, the Conference of the Parties requested the Executive Secretary to:
 - (i) Initiate regional meetings of scientists, managers and policy makers to prioritize the most urgent global taxonomic needs (paragraph 3(b) of decision V/9, paragraph 3 of decision VI/8 and planned activities 2 and 3 of the Global Taxonomy Initiative programme of work endorsed by decision VI/8).
 - (ii) Establish mechanisms to use the Global Taxonomy Initiative as a forum (paragraph 3d of decision V/9).
 - (iii) Coordinate activities of the Global Taxonomy Initiative with existing initiatives such as the Global Biodiversity Information Facility and the Clearing-house Mechanism (paragraph 4 of decision VI/8).
 - (iv) Complete the guide to the Global Taxonomy Initiative (paragraph 5 of decision VI/8).
- 63. In decision VI/8, the Conference of the Parties requested the Parties to:
 - (v) Designate a national Global Taxonomy Initiative Focal Point (paragraph 4 of decision V/9 and paragraph 6(a) of decision VI/8).
- 64. In the same decision, the Conference of the Parties requested the Parties to:
 - (vi) Initiate the setting up of national and regional taxonomic networks to implement the CBD (paragraph 6(c) of decision VI/8).
 - (vii) Raise awareness of the Global Taxonomy Initiative (Planned activity of the Global Taxonomy Initiative programme of work, as endorsed by decision VI/8).
 - (viii) Facilitate an improved infrastructure for access to taxonomic information (operational objective 3 of the Global Taxonomy Initiative programme of work, as endorsed by decision VI/8).
- 65. In response to these decisions, the following activities were carried out:
 - (a) Regional meetings:
 - BioNET-INTERNATIONAL, with the assistance and partnership of the Secretariat, organized a global workshop "Towards sustainable development: partnerships for demand-driven taxonomic capacity building" in South Africa, from 8 to 13 July. Priorities and an action plan were agreed to by the delegates.

- On 917 September a regional Global Taxonomy Initiative workshop was held in Malaysia, with the support of Malaysia, Japan, Australia and Sweden. National and regional priorities were identified and regional activities and pilot projects proposed.
- Discussions are taking place with representatives of Mexico, USA and Canada about a possible North American Global Taxonomy Initiative workshop.
- (b) Global Taxonomy Initiative as a forum:
- 66. Preliminary accumulation of data for inclusion on Global Taxonomy Initiative web pages has been undertaken. The forum activities will be in place in 2003.
 - (c) Coordinate with Global Biodiversity Information Facility and the Clearing-house Mechanism:
- 67. The Global Taxonomy Initiative and CHM participated in the Global Biological Information Facility scientific and technical advisory group on outreach and capacity building from 14-17 July. The Global Taxonomy Initiative, Global Biological Information Facility and the Clearing-house Mechanism have maintained formal and informal contact.
 - (d) Guide to the Global Taxonomy Initiative:
- 68. The draft guide is being modified in the light of reviewers' comments and decisions of the sixth meeting of the Conference of the Parties. The draft will be completed in 2003.
 - (e) Parties to designate a National Focal Point:
- 69. In all regional and other meetings attended encouragement is given to representatives of Parties present to ask their governments to respond to the notifications sent by the Executive Secretary and appoint a national Global Taxonomy Initiative Focal Point.
 - (f) Parties to set up taxonomic networks:
 - On 14th September a meeting with the developing network EASIANET was attended in Malaysia, and encouragement and advice given with respect to adding more countries within the region and implementing the Global Taxonomy Initiative programme of work.
 - Plans are under way to attend a formulation workshop for an Andean taxonomic network in October 2002 and a Central Asian network in January 2003, to encourage the participants and their governments to adopt the Global Taxonomy Initiative programme of work and other CBD - related activities within their work plans.
 - (g) Raise awareness of the Global Taxonomy Initiative:
- 70. Presentations on the Global Taxonomy Initiative have been made at the Global Workshop in Pretoria, July 2002 and the Regional Workshop in Malaysia, September 2002. A poster covering the Global Taxonomy Initiative programme of work was produced for the Global Workshop in July, and copies sent to various interested delegates. Copies of the brochure on the Global Taxonomy Initiative have been distributed to workshop participants, the press in Malaysia, and various agencies and individuals in the UK.
 - (h) Facilitate an improved infrastructure for access to taxonomic information.
- 71. Informal discussions have been held with representatives of Global Biodiversity Information Facility, Species 2000 Asia / Oceania, Species 2000 and other initiatives, within the context of workshops on Global Taxonomy Initiative activities.

UNEP/CBD/QR/18

Page 16

72. The web page on the Global Taxonomy Initiative was updated in July to reflect the decisions of the sixth meeting of the Conference of the Parties.

DECISION V/10 AND DECISION VI/9 GLOBAL STRATEGY FOR PLANT CONSERVATION

- 73. In Decision VI/9, the Conference of the Parties requested SBSTTA to:
 - (i) Take the targets into consideration in its periodic reviews of the thematic and cross-cutting programmes of work;
 - (ii) Develop ways and means for promoting implementation of the Strategy, and for monitoring assessing progress; and to report to the seventh meeting of the Conference of the Parties.
- 74. In the same decision, the Conference of the Parties requested the Executive Secretary to:
 - (iii) Implement the further work required to develop and implement the Strategy giving due consideration to the need to:
 - Clarify the scope of activities, develop sub-targets or milestones for each target and, develop baseline data and indicators to monitor progress towards achieving the targets;
 - Develop regional components of the Strategy;
 - Establish, if appropriate, a flexible coordination mechanism.
- 75. In response to this decision, the following activities were carried out:
 - (a) SBSTTA to take the targets into consideration in its periodic reviews:
- 76. The targets are being considered in the preparation of the pre-session documents for SBSTTA-8.
 - (b) and (c) Promotion of the implementation of the Strategy:
- 77. The Secretariat is organizing, in collaboration with Botanic Gardens Conservation International (BGCI) and the Jardín Botánico "Guillermo Pineres" of Cartagena, a liaison group meeting to be held in Cartagena on the 11 and 12 October. The objective of the meeting is to initiate the preparation of further work to be implemented prior to the ninth meeting of SBSTTA.
- 78. The web page on the Global Strategy for Plant Conservation was updated in July to include the decisions of the sixth meeting of the Conference of the Parties.

DECISION V/21 COOPERATION WITH OTHER BODIES AND

DECISION VI/20 COOPERATION WITH OTHER ORGANIZATIONS, INITIATIVES AND CONVENTIONS

- 79. The Executive Secretary applied to the WTO for observer status to facilitate the representation of the CBD in the meetings of the SPS, TBT and the Agriculture Committees and the TRIPS Council.
- 80. The Executive Secretary provided input to the UNEP Biannual Bulletin on Environmental Law summarizing the main developments under the Convention arising from COP 6 and ICCP 3.
- 81. The Executive Secretary provided a report to the United Nations High Commissioner for Human Rights regarding activities under the Convention relating to the protection of traditional knowledge and

Page 18

lifestyles, and the participation of indigenous and local communities in the Convention process, for compilation of indicative ideas and practices for strengthening good governance practices for the promotion of human rights.

Cooperation with UNFCCC and UNCCD (paragraphs 9 to 14)

82. In response to this decision, the Executive Secretary contributed to the scoping paper being prepared by the UNFCCC Secretariat as requested by UNFCCC to identify possible areas of complementarity/synergy among the three Rio Conventions. The Executive Secretary also shared with UNFCCC and UNCCD a document commissioned on the synergies of the three Rio Conventions for comments.

Cooperation with the Convention on Wetlands (Ramsar, Iran, 1971) (paragraph 15 and 16)

83. See implementation of decisions V/2 and VI/2 above.

Cooperation with the Convention on the Conservation of Migratory Species of Wild Animals (CMS)

84. The Secretariat shared some information with CMS relevant to the interlinkages between biodiversity including migratory species and climate change. The CBD and CMS Secretariats are discussing plans to implement the joint work programme. The Executive Secretary was represented in the meeting of the CMS COP by the Chair of the SBSTTA Bureau.

Cooperation with the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)

85. In decision VI/20, paragraph 24, the Conference of the Parties invited the secretariats of the Convention on International Trade in Endangered Species of Wild Fauna and Flora and CBD to continue to cooperate and coordinate activities with a view to facilitating the exchange of relevant information and experience and enhancing synergies in areas of mutual interest. In response to this decision, the Executive Secretary, following a request from the CITES Secretariat, provided comments on amendments to Resolution Conf. 10.2.

Cooperation with the International Plant Protection Convention (paragraph 31 to 34)

86. No action was taken during the reporting period.

Cooperation with the Millennium Assessment

87. The Executive Secretary participated in meetings of the Millennium Assessment (MA) Board. The Secretariat is discussing with MA staff how the appraisal of MA requested in COP decision VI/7c paragraph 3 will be carried out.

Cooperation with GIWA

88. The Executive Secretary signed a Memorandum of Cooperation (MoC) prepared in collaboration with the Global International Waters Assessment (GIWA), and forwarded it to the Scientific Director of the Coordination Office of the GIWA for signature. The MoC which aims to facilitate the implementation of the elements of the CBD programmes of work on biological diversity of inland water, marine and coastal ecosystems, contains a joint work plan for the period 2002-2003. The activities focus on

collaboration regarding: information exchange, participation of a representative of each institution in the relevant meetings of the other, development of regional guidelines for rapid assessment, development and refinement of GIWA methodology, incorporation of biodiversity concerns into GIWA methodology, prioritization and analysis of results, and the incorporation of GIWA methodology into CBD assessment-related activities.

DECISION V/23 CONSIDERATION OF OPTIONS FOR CONSERVATION AND SUSTAINABLE USE OF BIOLOGICAL DIVERSITY IN DRYLAND, MEDITERRANEAN, ARID, SEMI-ARID, GRASSLAND AND SAVANNAH ECOSYSTEMS AND

DECISION VI/4 BIOLOGICAL DIVERSITY OF DRY AND SUB-HUMID LANDS

- 89. In decision V/23, the Conference of the Parties requested the Executive Secretary to:
 - (i) Review the programme of work in close collaboration with the Executive Secretary of the United Nations Convention to Combat Desertification (UNCCD) and other relevant bodies to provide synergy and avoid duplication (paragraph 6 of decision V/23);
 - (ii) Collaborate with the Secretariat of the UNCCD, and others, in the implementation and further elaboration of the programme of work (paragraph 8 of decision V/23);
 - (iii) Establish a roster of experts in close collaboration with the Executive Secretary of the UNCCD and other relevant bodies to provide synergy and avoid duplication (paragraph 9 of decision V/23);
 - (iv) Make available relevant information on the biological diversity of dry and subhumid lands (paragraph 9 of decision V/23); and
 - (v) Establish an ad hoc technical working group (paragraph 7 of decision V/23).
- 90. In decision VI/4, the Conference of the Parties requested the Executive Secretary to:
 - (i) Prepare, in collaboration with the secretariats of relevant conventions, proposals to develop a mechanism to coordinate activities in biodiversity, desertification/land degradation and climate change (paragraph 2 of decision VI/4).
- 91. In response to these decisions, the following activities were carried out:
 - (a) Review of the programme of work:
- 92. In July 2002, members of the ad hoc technical experts group provided views and comments on the draft programme of work which the Secretariat reviewed internally. The revised version was subsequently sent to potential collaborating partners (CBD Focal Points, UNCCD Focal Points, and relevant institutions) as part of a questionnaire. The responses received were analyzed and considered at the second ad hoc technical expert group held in Montreal from 23 to 27 September.
 - (b) Second ad hoc technical expert group meeting on dry and sub-humid lands:
- 93. The Secretariat convened and serviced the second ad hoc technical expert group meeting, in Montreal from 23 to 27 September 2002. The meeting was organized jointly with the UNCCD. The Secretariat prepared the following background papers in support of the inter-sessional work carried out by

the two working groups established at the first meeting of the group: (i) Assessment of status and trends of biodiversity in dry and sub-humid lands, (ii) Global benefits derived from biodiversity and valuation of biodiversity in dry and sub-humid lands, (iii) Socio-economic impacts of biodiversity loss including the interrelationship between biodiversity in dry and sub-humid lands and poverty, (iv) Notes: Assessment of measures taken for the conservation of biodiversity including the role of *ex-situ* conservation, resource management and preventive measures, (v) Notes: Assessment of measures taken for support of sustainable livelihoods. The meeting was an opportunity to strengthen ongoing collaboration with a number of organizations, including the Global Environment Facility and ICARDA.

- (c) Joint work programme with the United Nations Convention to Combat Desertification:
- 94. The proposed joint programme of work was revised in light of the comments provided by the liaison group meeting in 2001. This revised joint work programme was reviewed by the Secretariats of the CBD and the UNCCD at a consultative meeting in September. It is envisaged that a follow-up on the interaction with the CBD and UNCCD Parties and other collaborating partners with respect to this matter will be provided at the eighth meeting of SBSTTA.
 - (d) Roster of experts:
- 95. In order to update the national Roster of Experts, a template providing guidance to the Parties is being prepared. This will be sent to Parties through a notification in November.
 - (e) Dissemination of relevant information:
- 96. The web page on the dry and sub-humid lands programme was updated to include COP-6 decisions and new information.
 - (f) Proposals for the development of a coordination mechanism:
- 97. The Executive Secretary prepared the two following draft proposals in consultation with the UNCCD: i) proposal for the development of a mechanism to coordinate activities in biodiversity, desertification/land degradation and climate change, and ii) proposal for linking and ensuring integration of the national biodiversity strategies and action plans under the CBD and the national action programmes under the CCD.
- 98. The second ad hoc technical expert group meeting on dry and sub-humid lands revised these proposals which will be further finalized by the Executive Secretary and integrated in a pre-session document, in time for consideration by SBSTTA at its eighth meeting.
- 99. In addition, the Executive Secretary carried out the following activities:
 - (a) Integration of the targets of the global strategy for plant conservation (VI/9.10(a)):
- 100. The second ad hoc technical working group on dry and sub-humid lands considered the targets of the Global Strategy for Plant Conservation in the working group on indicators and made recommendations.
 - (b) Indicators and Assessments (VI/7):
- 101. Indicators have been a preeminent issue of the ad hoc technical working group on dry and subhumid lands and have been dealt with in detail during its second meeting. Sustainable use, biodiversity valuation and incentive measures were addressed. The Group also made recommendation regarding the World Summit on Sustainable Development targets.

(c) Cooperation (VI/20):

- 102. Emphasis has been to establish and strengthen collaboration with the UNCCD. This is reflected in the joint development of two proposals (see paragraph 2 of decision VI/4). Further collaboration with CBD and the UNCCD Parties through consultation on the implementation of the programme of work via the questionnaire was fostered. Sixty-five other organizations that could be potential collaborators in the implementation of the programme of work were contacted.
 - (d) Technology transfer (VI/30):
- 103. No specific action has been undertaken in the reporting period. The issue of technology transfer and dry and sub-humid land will be considered in line with the proposal contained in document UNEP/CBD/COP/6/2 and addressed in the context of the works of the ad hoc technical working group on technology transfer prior to SBSTTA-9.

DECISION VI/30 PREPARATIONS FOR THE SEVENTH MEETING OF THE CONFERENCE OF THE PARTIES

- 104. In decision VI/30, the Conference of the Parties welcomed the proposals put forward by the Executive Secretary in his note on preparations for the seventh meeting of the Conference of the Parties and requested that preparation for the priority themes for the seventh meeting of the Conference of the Parties continue as outlined in that document.
 - (a) With regard to mountain biodiversity, the Executive Secretary plans to:
 - (i) Establish, depending on availability of funds, an ad hoc technical expert group on mountain biological diversity.
 - (ii) Compile information on the status and trends of mountain biodiversity;
 - (iii) Review measures taken for the conservation and sustainable use of mountain biological diversity; including management practices for its conservation and for the sustainable use of its components, including best practices, tools and approaches;
 - (iv) Draft a programme of work on mountain biodiversity, including proposals on priorities, targets, timeframes, potential actors and partners, and indicators in implementation.
 - (b) With regard to protected areas, the Executive Secretary plans to:
 - (i) Actively collaborate with the Vth World Congress on Protected Areas, as well as with other appropriate conventions, international organizations, and non-governmental organizations.
 - (ii) Establish and ad hoc technical expert group;
 - (iii) Hold an international workshop on protected areas as a measure to conserve and use forest biological diversity in a sustainable manner;
 - (iv) Draft synthesis documents reviewing methods and approaches for the planning, establishment and management of protected area categories.
 - (v) Draft a proposal for a multi-year programme of work on protected areas;

- (vi) Organize a Liaison Group meeting prior to SBSTTA-9.
- (c) With regard to technology transfer and cooperation, the Executive Secretary plans to:
 - (i) Establish an ad hoc technical expert group;
 - (ii) Inventory categories of existing technologies,
 - (iii) Compile and identify obstacles success stories on technology transfer and cooperation;
 - (iv) Develop proposals on how to improve the role of the Clearing-house Mechanism in promoting international technical and scientific cooperation;
 - (v) Draft a programme of work.
- 105. The following are the activities carried out:
 - (a) Mountain biodiversity:
 - (i) The Executive Secretary is still seeking funds for the establishment of the AHTEG;
 - (ii) to (iv) Three papers were commissioned and the first drafts have been circulated informally for comments.
 - (b) Protected areas:
 - (i) Discussions for collaboration in preparation for WCPA, SBSTTA-9 and COP-7 on protected areas took place at the Secretariat in July with representatives of WCPA and IUCN. Plans for joint activities were agreed upon;
 - (ii) The Executive Secretary continues looking for funds through informal request to Parties for establishing the AHTEG;
 - (iii) Papers have been commissioned to WCMC.
 - (c) Technology transfer and cooperation.
 - (i) Plans for establishing an AHTEG were discussed with UNFF Secretariat and UNDP.
 - (ii) to (v) Following a recommendation from the SBSTTA Bureau, the planned activities will be considered under the thematic programmes of work and relevant crosscutting issues.

SOCIAL ECONOMIC AND LEGAL MATTERS

106. During the last quarter, work of the SEL Unit focused mainly on initiating the implementation of decisions of the sixth meeting of the Conference of the Parties of concern to the unit. These activities are examined below in some detail. The brochure on social, economic and legal matters was updated to take into account progress in the work of the Convention over the last two years. Moreover, staff members were actively involved in the preparations for and participation in the World Summit on Sustainable Development.

DECISION V/15 AND DECISION VI/15 INCENTIVE MEASURES

107. In its Decision VI/15, the Conference of the Parties requested the Executive Secretary to elaborate proposals for the application of ways and means to remove or mitigate perverse incentives, for

consideration by the Subsidiary Body on Scientific, Technical and Technological Advice prior to the seventh meeting of the Conference of the Parties. A workshop on incentive measures is scheduled for June 2003 to elaborate these proposals. The Secretariat has initiated work on the preparatory documents for this workshop by compiling and analyzing information on perverse incentives and their removal or mitigation.

- 108. Action was initiated to implement paragraph 17 of Decision VI/17, on agricultural biological diversity, in which the Executive Secretary was requested to further study the impact of trade liberalization on agricultural biological diversity. The Secretariat has prepared a proposal for this further study, for consultation with relevant organizations. As part of the programme of work pursuant to this request, the Secretariat has initiated work on the reform or removal of agricultural subsidies and their impact on agricultural biological diversity.
- 109. The Secretariat participated in the BIOECON Workshop on Trade, Renewable Resources, and Biodiversity, held at the University of Tilburg, The Netherlands, from 5 to 6 September 2002. This BIOECON workshop convened a number of leading academics in environmental economics working on the relationship between international trade and biodiversity conservation. Several papers presented insights that may eventually serve as valuable input for CBD policy development and related documentation. The Secretariat introduced the CBD and the Biosafety Protocol, and briefed participants on recent developments. Furthermore, the Secretariat assessed the contributions of the individual papers to the CBD process and identified options for further research of particular relevance from a CBD perspective.

DECISION V/16 AND DECISION VI /10 ARTICLE 8 (j) AND RELATED PROVISIONS

- 110. In response to decision VI/10 relating to the implementation of Article 8(j) and related provisions, work is in progress to prepare relevant reports and documents for the third meeting of the AdHoc Openended Intersessional Working Group on Article 8(j) and related provisions.
- 111. A Notification has been posted on the CBD website, inviting Parties, Indigenous and local community organizations and other relevant organizations for their input regarding:
 - i. Participatory mechanisms for indigenous and local communities;
 - ii. Assessment of the effectiveness of existing sub-national, national and international instruments, particularly intellectual property rights instruments, that may have implications for the protection of the knowledge, innovations and practices of indigenous and local communities;
 - iii. Recommendations for the development of guidelines on the conduct of cultural, environmental and social impact assessments regarding developments proposed to take place, or which are likely to impact on sacred sites and on lands and waters traditionally occupied or used by indigenous and local communities;

112. The notification also calls for:

The hiring of a consultant team for the preparation of a Composite Report on the status
and trends regarding the knowledge, innovations and practices of Indigenous and Local
Communities embodying traditional lifestyles relevant to the conservation and sustainable
use of biological diversity; and

- Invitation for nominations to the technical experts on communications networks, issues pertaining to the Clearing-house Mechanism and traditional knowledge, innovations and practices relating to the conservation and sustainable use of biological diversity.
- 113. Preparations are also in progress for the meeting of Technical Experts on the Clearing-House Mechanism and Traditional Knowledge, which will take place in Bolivia, from 24-26 February 2003.

DECISION V/18 AND DECISION VI/11 IMPACT ASSESSMENT, LIABILITY AND REDRESS

- 114. Pursuant to decision VI/11, the Executive Secretary issued a notification to Parties and Governments regarding the submission of information relating to sectoral, international and regional legal instruments dealing with activities which may cause damage to biological diversity; national legal and policy frameworks; and case-studies pertaining to transboundary damage to biological diversity.
- 115. The Executive Secretary also wrote to relevant international organizations seeking information on case-studies pertaining to transboundary damage to biological diversity.
- 116. Information gathering on pertinent issues identified in decision VI/11 was continued during the period under review.

DECISION V/24 AND DECISION VI/13 SUSTAINABLE USE AS A CROSS-CUTTING ISSUE

- 117. Pursuant to decision VI/13 of the Conference of the Parties, the Secretariat has started preparations for the fourth workshop on sustainable use of biological diversity, which is tentatively planned to be held in Ethiopia, in June 2003, with the financial support of the Governments of Norway and The Netherlands. The Secretariat is also preparing a synthesis report of the previous three workshops, to serve as the main background document for the final workshop.
- 118. The Secretariat is also continuing to compile case studies, submitted by Governments and organizations, on the sustainable use of biological diversity. This will result in the "Progress report on case studies on best practices and lessons learned from the sustainable use of biological diversity under the thematic areas of the Convention" to be submitted to SBSTTA-9 for its consideration. Case studies are also regularly made available through the Clearing-house Mechanism of the Convention (decision VI/13, para 6 and 7).
- 119. In keeping with decision VI/22, paragraph 19f, of the Conference of the Parties, the Secretariat has started work on the report pertaining to the "Management of forest biodiversity, sustainable use to derive products and services and benefit sharing". A notification together with a questionnaire were sent to Parties to invite their contributions and inputs.

DECISION V/25 AND DECISION VI/14 BIOLOGICAL DIVERSITY AND TOURISM

120. The Secretariat has started the review of the draft guidelines on sustainable tourism in vulnerable ecosystems, taking into account comments submitted to the Secretariat by Parties and organizations during the two rounds of consultations and the outcome of the World Eco-tourism Summit, consistent with decision VI/14. The revised version will be submitted to SBSTTA-8 for its consideration.

- 121. In this context, the Secretariat also participated in an expert meeting organized by the German Federal Ministry for the Environment, Nature Conservation and Nuclear Safety to review the draft guidelines on sustainable tourism in vulnerable ecosystems and make recommendations on their future implementation.
- 122. The Secretariat is also continuing to gather and analyze case-studies on the implementation of the guidelines (decision VI/14, para 3c). The case studies are compiled and made available on the CBD web site.
- 123. During WSSD the Secretariat attended a side event on "Tourism and its contribution to Poverty Alleviation" jointly organized by the World Tourism Organization and UNCTAD.

DECISION V/26 AND DECISION VI/24 ACCESS TO GENETIC RESOURCES

- 124. Pursuant to decision VI/24, a notification was sent inviting Parties to take specific actions to assist the Secretariat in the preparation of relevant background documentation for the meetings of the Ad Hoc Open-ended Working Group on Access and Benefit-sharing and the Open-ended Workshop on Capacity-building for Access and Benefit-sharing and for the dissemination of information.
- 125. A notification to all relevant intergovernmental organizations, regional organizations, non-governmental organizations, indigenous and local communities and representatives of the private sector was also circulated to invite representatives of these organisations to contribute to the preparation of relevant pre-session documentation for meetings and the dissemination of information with regard to the implementation of decision VI/24.
- 126. A funding letter was sent to potential donor countries seeking financial assistance for the organization of the Expert Workshop on Capacity-building for Access and Benefit-sharing and draft documentation for the workshop was prepared.

Decision VI/21 Annex to the Hague Ministerial Declaration

- 127. The Secretariat participated at the WSSD that was held in Johannesburg from 26 August to 4 September 2002. The WSSD adopted a Plan of Implementation and the Johannesburg Declaration on Sustainable Development. The Plan of Implementation incorporated most of the outcome of COP 6 and in particular the core elements of the Annex to the Hague Declaration. The Plan also called for the negotiation within the framework of the CBD, bearing in mind the Bonn Guidelines, of an international regime to promote and safeguard the fair and equitable sharing of benefits arising from the utilization of genetic resources.
- 128. The Executive Secretary participated at the WEHAB roundtable on biodiversity at the plenary of WSSD as well as in various side-events held on the margins of the Summit.
- 129. The Executive Secretary has prepared a preliminary review and analysis of the outcome of the WSSD vis-à-vis the CBD. This will be finalized and submitted to the Open-ended Inter-sessional Meeting on the Multi-year Programme of Work next year, for its consideration.

Decision VI/26 Strategic Plan

130. In this decision, the Conference of the Parties adopted a Strategic Plan for the Convention on Biological Diversity, containing a mission statement and a definition of strategic goals and objectives, and requested the Executive Secretary to provide appropriate information to the Parties at an inter-sessional meeting for consideration of the future evaluation of progress in the implementation of the Convention and the Strategic Plan. The Secretariat prepared its work programme for 2002-2004 on this issue.

Decision VI/28 Multi-year programme of work of the Conference of the Parties up to 2010

131. The COP Bureau Meeting on 23 September 2002 approved the Provisional Agenda for the meeting. A notification was circulated to Parties to inform them of the agenda as well as of the dates and venue of the meeting.

BIOSAFETY

DECISION V/1 AND DECISION VI/1

WORK PLAN OF THE INTERGOVERNMENTAL COMMITTEE FOR THE CARTAGENA PROTOCOL ON BIOSAFETY

The Biosafety Protocol

- 132. During the reporting period, the Secretariat focused mainly on the implementation of the recommendations made by the third meeting of the ICCP (ICCP 3) held in April 2002. In anticipation of the possible entry into force of the Protocol in the early part of 2003, the Secretariat gave highest priority to those activities that will facilitate the smooth functioning and operation of the Protocol, especially the following: continued development of the pilot phase of the Biosafety Clearing-House (BCH) with a view to having a fully functional and operational BCH at the time of entry into force of the Protocol; continued development of the roster of experts with a view to ensuring it is fully operational as soon as possible; continued development of the framework for capacity-building for the implementation of the Protocol, in particular by putting into operation several elements of the Action Plan for capacity-building endorsed by the ICCP at its second meeting (recommendation 2/9).
- 133. As part of the emphasis on promoting activities that will assist countries, in particular developing countries and countries with economies in transition, to effectively implement the Protocol, the Secretariat prepared two project proposals for consideration for funding by potential donors under the Type 2 Partnership Initiatives promoted during the preparations of the World Summit on Sustainable Development (WSSD). The first project proposal aims at strengthening the capacities of developing countries and countries with economies in transition to participate in the BCH by entering and retrieving information through acquisition of the required technological equipment and training to access and use the BCH, and to develop national information exchange mechanisms and databases that are interoperable with the global BCH. The other project proposal aims at enhancing the capacity of developing countries and countries with economies in transition to make informed and timely decisions regarding the import of LMOs, including the ability to administer the Advance Informed Agreement procedure and to review risk assessments.
- 134. The two proposals, which are envisaged to be complementary to other ongoing activities and initiatives to assist countries to implement the Protocol, such as the UNEP/GEF National Biosafety Frameworks project, are now being considered by a number of donor countries/agencies with a view to securing the required resources to begin their implementation before the entry into force of the Protocol.
- 135. The Secretariat also continued to provide information to Governments and other stakeholders to promote ratification of the Protocol and increase general awareness on its provisions and importance. A list of the Parties to the Protocol is provided in Annex VII to this report.
- 136. Finally, the Secretariat has been working closely with the Government of Italy on the organization of a workshop on liability and redress under the Biosafety Protocol which will be held in Rome in December 2002, pursuant to the invitation extended by ICCP in its recommendations 2/1 (paragraph 4) and 3/1 (paragraph 5) to Parties to the CBD to organize workshops on the issue of liability and redress as soon as possible and in any event no later than the first meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol. Funding for the workshop is being provided by the Government of Italy and the European Commission.

IMPLEMENTATION AND OUTREACH

DECISION V/11 AND DECISION VI/16

ADDITIONAL FINANCIAL RESOURCES

- 137. The Secretariat compiled all the relevant provisions of COP-6 decisions addressed to funding institutions and development agencies and communicated them to all funding institutions and development agencies. Initial feedback has already been provided by CIDA.
- 138. The Secretariat has reviewed the format on financial resources and mechanism for the second national report, and concluded that a more quantity-oriented approach should be explored for the third national report. This approach is presently being explored.
- 139. The Secretariat has been assessing the opportunities for developing a global initiative on banking, business and biodiversity, covering national reports as well as existing international and regional processes.
- 140. The Secretariat is developing a resource kit for funding institutions and practitioners. The kit will include background information and evolution of each issue as well as guidance/request provided by various COPs and likely future developments. Further opportunities will be explored to promote biodiversity activities in donor institutions.
- 141. In July 2002, the Secretariat held a bilateral meeting with UNDP to discuss possible collaboration. A wide range of thematic topics and cross-cutting issues were discussed. A similar effort was also explored with the African Development Bank.
- 142. The Secretariat is studying debt issues related to biodiversity, and a web page in this connection is under development. Sections of the Handbook on the Convention on Biological Diversity, on Articles 20, 21 and 39 have also been updated.

DECISION V/12 REVIEW OF THE EFFECTIVENESS OF THE FINANCIAL MECHANISM

DECISION V/13 ADDITIONAL GUIDANCE TO THE FINANCIAL MECHANISM DECISION VI/17 FINANCIAL MECHANSIM UNDER THE CONVENTION

- 143. A searchable database on the GEF Council decisions by subjects of interest to the Convention, is being developed and will be available through the Clearing-house Mechanism.
- 144. The Secretariat monitored the third replenishment process of the GEF, which amounted to US\$2.92 billion with carry-overs for six focal areas. The Executive Secretary sent a note of congratulation to the GEF Secretariat and urged them to pay more attention to financing biodiversity projects.
- 145. The Secretariat participated in the teleconference of the GEF Executive Operations Committee (Executive GEFOP) on 12 September 2002. The meeting reviewed and cleared all 13 biodiversity projects along with other projects amounting to over US\$70 million. The CEO may have to endorse final project documents on the basis of the resources available at the time of endorsement since all available resources were only of the order of US\$70 million for all GEF focal areas.

- 146. The Secretariat held a bilateral meeting from 17-18 September 2002 with UNEP/GEF Project on Development of National Biosafety Frameworks (NBFs), and explored how the project could become more supportive of the ratification process of the Biosafety Protocol. The Secretariat is also in the process of developing proposals for facilitating the participation of countries in the Biosafety Clearing-House. These proposals have been endorsed by the COP-6 Bureau.
- 147. The Secretariat has been preparing for participating in the second GEF Assembly, and will present an exhibition to promote awareness of biodiversity issues among funding practitioners as well as convene a brainstorming workshop for developing a global initiative on banking, business and biodiversity.

DECISION V/14 SCIENTIFIC TECHNICAL COOPERATION AND THE CLEARING HOUSE MECHANISM (ARTICLE 18)

Clearing-house Mechanism

- 148. During the period under review the CHM participated in the following meetings and undertook the following activities:
 - Meeting of the North American Biodiversity Information Network, held in Montreal on 1 to 2 August 2002;
 - Prepared the first draft of the Secretariat of the Convention on Biological Diversity Corporate Communications Strategy;
 - Established NatureServe as an international thematic focal point under the Clearing-house Mechanism;
 - Participated in the organization of the meeting of the Technical Expert Group on Clearing House Mechanism/Traditional Knowledge;
 - Continues to participate in the development of the Pilot Phase of the Biosafety Clearing-House, in response to Article 20(1) of the Cartagena Protocol on Biosafety;
 - Continues to update the CHM Toolkit;
 - Continues discussions on the hosting of joint CHM Regional Workshops with the Inter-American Biodiversity Information Network (IABIN), the European Community (EC) and the Global Biodiversity Information Facility (GBIF).

DECISION V/17 EDUCATION AND PUBLIC AWARENESS AND VI/19 COMMUNICATION, EDUCATION AND PUBLIC AWARENESS

- 149. An undergraduate student at Concordia University, Montreal, Quebec, joined the Secretariat on 1 July 2002 as an intern to assist the I&O Division for a period of three months with preparing the follow-up of decision VI/19 on Communication, Education and Public Awareness (CEPA).
- 150. The Secretariat is in the process of preparing a draft Work Plan on CEPA as well as a draft Corporate Communication Strategy. The CBD News issue for Jan/July was prepared together with the CBD News Supplement, which was distributed at the World Summit on Sustainable Development (WSSD), held from 26 August to 4 September 2002 in Johannesburg. A special web site for this occasion was also created. At the WSSD the Secretariat participated in a joint exhibition together with the two other Rio Conventions.

151. Additionally the Secretariat prepared two press releases, responded to many requests from the different stakeholders of the various Parties, distributed specific information material in the different UN languages, continued the on-going work on the 2002 Calendar of SCBD and updated the Press database. Furthermore the questionnaire on CEPA and Article 13 was finalized for the 3rd National Reports.

Library and documents management

152. From July to September 2002 the main part of the activities was devoted to developing a list of keywords in collaboration with CHM for the processing of CBD documents and for the web site. A total of 304 references of the bibliographic database of data were edited during the period under review.

Publications

- 153. Preparations continued for the production of the following publications in Arabic, Chinese and Russian:
 - Biodiversity and tourism brochure;
 - Global Taxonomy Initiative leaflet;
 - Promoting action leaflet.
- 154. The following documents have been printed:
 - CBD Newsletter No. 1, volume 2 and
 - The Special Supplement for the Johannesburg Summit.
- 155. Preparations also continued for the production of the COP6 decisions booklet, and the STTM brochure.

CBD web site

156. A web page on CBD achievements is also being drafted.

DECISION V/19 AND DECISION VI/25 NATIONAL REPORTING

- 157. By the end of September 2002, 91 Parties had submitted their second national reports. The Secretariat has also received from the Parties 42 thematic reports on forest ecosystems, 54 reports on alien species and 13 reports on access and benefit-sharing. In addition, 90 Parties have submitted their national biodiversity strategies and action plans. All national reports, thematic reports and NBSAPs received have been posted on the website of the Convention.
- 158. A preliminary review of the format for the second national reports has been completed. Some questions have been retired in accordance with the Annex to Decision VI/27 B. Some questions have been updated and reformulated, where necessary, in order to assess more effectively the implementation of the Convention at the national level. This was done in accordance with paragraph 9 of Decision V/19 as well as paragraph 3 of Decision VI/25.
- 159. With the coordination of UNEP-WCMC, progress is being made in the harmonization and streamlining of national reporting under different biodiversity-related conventions. According to a progress report circulated by UNEP to the Secretariats of these conventions, some progress has been made in the implementation of the pilot projects designed for this particular purpose. A presentation about the existing

/...

efforts and the planned activities was made at the World Summit on Sustainable Development (August 26-September 4, 2002, Johannesburg, South Africa).

- 160. A notification has been issued to those Parties that have not submitted a second national report, requesting them to submit their report as soon as possible as well as to contribute to the preparation of the second edition of the Global Biodiversity Outlook.
- 161. A notification has been issued to those Parties that have not submitted a second national report, requesting them to submit the reasons for non or late submission. By the end of September 2002, five responses were received from the Parties. All these responses will be made available through the Clearing House Mechanism of the Convention and summarized in the documents prepared for the seventh meeting of the Conference of the Parties.
- 162. The preparation of the format for the third national report is under way. As indicated above, the format for the second national reports has been reviewed and will constitute a part of the format for third national reports. Meanwhile, a questionnaire is being developed on the basis of the decisions adopted at the sixth meeting of the Conference of the Parties.
- 163. In keeping with the methodology adopted for the development of the format for national reports, the elements of the decisions of COP 6, which the Parties are obligated to implement, have been singled out for this questionnaire. In this process, the requirements included in paragraph 3 of this decision are being given full consideration.
- 164. In addition, following the requests made in paragraph 5 of Decision VI/5 and paragraphs 22 of Decision VI/22, preparation is also under way for the formats on thematic reports on the programmes of work of agricultural and forest biological diversity. When completed, these two will be incorporated into the format for third national reports.
- 165. Pursuant to Decision VI/22, the draft format for a voluntary national report on the programme of work on forest biological diversity has been developed. The consultation with national focal points and the Bureau of SBSTTA will be undertaken once this draft is further improved.
- 166. The Secretariat is also working with the Collaborative Forest Partnership and the United Nations Forum on Forest to streamline the forest-related reporting. At the initiative of CFP, a task force has been established for this purpose and will start its work in connection with various related meetings.
- 167. The draft work plan has been formulated for the preparation of the second edition of the Global Biodiversity Outlook.
- 168. By the end of September 2002, the Secretariat had received two thematic reports on mountain ecosystems, one report on protected areas and one report on transfer of technology and technology cooperation. All reports received have been posted on the website of the Convention.

DECISION V/20 AND DECISION VI/27 OPERATIONS OF THE CONVENTION

169. The Executive Secretary wrote to relevant regional and sub-regional organizations requesting them to provide a report on the role they can play in enhancing the implementation of the Convention in their respective regions/sub-regions in line with paragraph 11 of decision VI/27B.

170. The Executive Secretary requested the Executive Director of UNEP to ask UNEP Regional Offices to identify and assess the potential of existing regional and sub-regional instruments, institutions, mechanisms and networks in their respective regions as a basis for enhancing the implementation of the Convention, including as partners for capacity-building, and to provide a report thereon to the Secretariat, in line with paragraph 11 of decision VI/27B.

ANNEX I

STATUS OF IMPLEMENTATION OF THE AGREED ADMINISTRATIVE ARRANGEMENTS

I Personnel Arrangements

1. During the reporting period, the Secretariat had 28 regularized Professional staff members and 21 regularized General Service staff members funded from the core budget. Additionally, 7 professional posts and 5 General Service posts were funded from other sources. Three new staff members joined the Secretariat during the period under review: Mr. K. Kakuyo, Senior Programme Officer – Reports and Reviews; Ms. J. Zeidler, Programme Officer -Dry and Sub-Humid Lands, and Ms. J. Crowley, Programme Assistant – Indigenous Knowledge. For more information, please refer to the attached staff list and organigram (Appendix 1 and Annex II respectively).

II Contributions and Funds

- 2. In addition to the pledges and contributions of additional voluntary funds in support of approved activities under the Convention (**BE Trust Fund**) reported on in the last Quarterly Report, three new pledges were made to the BE Trust Fund during the third quarter of 2002. New pledges were received from the Governments of France, Germany and Sweden. The Government of France pledged funds for the Technical Expert Group Meetings on Articles 18.2 (b) and (c) of the Cartagena Protocol on Biosafety, Montreal, Canada, 13-15 March 2002, and the Governments of Germany and Sweden pledged funds for the Open-ended Expert Workshop on Capacity-building on Access and Benefit Sharing of Genetic Resources, 2-4 December 2002, Montreal Canada.
- 3. For 2002 a total of US\$764,694 has been pledged to the BE Trust Fund, of which US\$582,433 has been collected as at 30 September 2002. Additionally, \$615,262 was collected in 2002 for pledges from 2001 and prior years. Full details of the pledges and contributions to the BE Trust Fund are reflected in Annex III.
- 4. With regard to the General Trust Fund for the Convention (**BY Trust Fund**), as at 30 September 2002, total unpaid pledges to the BY Trust Fund for 2001 and prior years amounted to US\$604,955. The total amount pledged for the BY Trust Fund in 2002 is US\$6,914,379. Of this amount, US\$4,551,555 or 65.8% has been received as at 30 September 2002. Additionally, US\$1,175,000 has been collected as additional contributions to the BY Trust Fund, and US\$322,372 has been collected as advance payments for 2003. Total unpaid pledges to the BY Trust Fund for 2002 amounts to US\$1,491,806. Total unpaid pledges for 2002 and prior years is therefore US\$2,092,197. Full details of the pledges and contributions to the BY Trust Fund are reflected in Annex V.
- 5. As at 30 September 2002 a total of US\$501,117 was pledged for 2002 as additional voluntary contributions to facilitate the Participation of Parties in the Convention process (**BZ Trust Fund**). This sum includes pledges from Australia (\$10,000), Denmark (\$40,000), Germany (\$49,257), Ireland (\$38,180), Japan (\$45,000), the Netherlands (\$80,000), New Zealand (\$16,664), Norway (\$63,857), Sweden (\$84,694) and the United Kingdom (\$71,835).

UNEP/CBD/QR/18 Page 34

6. As at 30 September 2002, contributions to the BZ Trust Fund in 2002 amounted to US\$423,396 or 84.5% of the total pledged for 2002. A total of US\$93,233 remains outstanding for the BZ Trust Fund for 2002 and prior years. Full details of the pledges and contributions to the BZ Trust Fund are reflected in Annex IV.

III Accounting and Reporting

Reimbursement for Services provided to the Secretariat

8. At present seven UNEP staff members, the Chief, Fund Management and Administration, the Administrative Officer, the Finance Officer, the Senior Finance Assistant, two Finance Assistants and the Personnel Assistant are funded from the programme support funds (PSC). Additionally, UNEP has provided funding for one additional general service administrative post to be paid from PSC.

Conference and Other Services

9. During the period July to September 2002, no conference servicing assistance was provided to the CBD by UNON.

Appendix 1
STAFFING TABLE of the Secretariat of the Convention on Biological Diversity

<u>1 July – 30 September 2002</u>

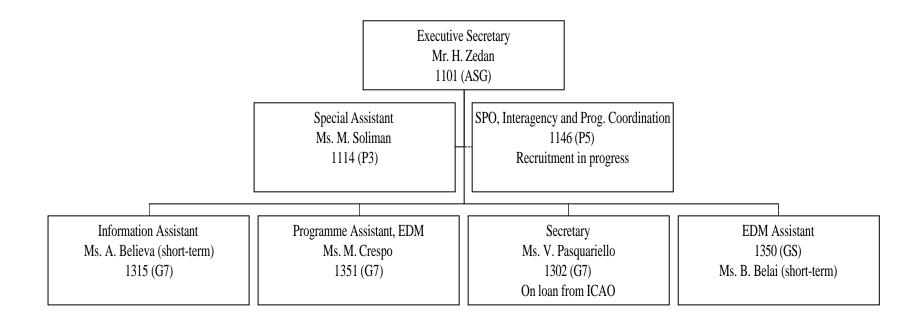
Post #	Level	Title	PROFESSIONAL STAFF
1101	D2	Executive Secretary	Mr. H. Zedan (Regularized)
1102	D1	Principal Officer (SEL)	Mr. O. Jalbert (Regularized)
1103	D1	Principal Officer (STTM)	Mr. K. Mulongoy (Regularized)
1104	P5	Senior Programme Officer (Biosafety)	Ms. C. Sendashonga (Regularized)
1105	D1	Principal Officer (I&O)	Mr. A. Nogueira (Regularized)
1106	P4	Chief, Fund & Admin. (RMCS)	Ms. M. Rattray-Huish– (Regularized) (Post funded by UNEP)
1107	P4	Financial Resources & Instruments. PO (I&O)	Vacant (Post being reclassified)
1108	P4	PO Legal Advice and Support (SEL)	Mr. D.Ogolla (Regularized)
1109	P4	Economist (SEL)	Mr. M. Lehmann (Regularized)
1110	P4	PO Conservation Ecology (STTM)	Vacant (Recruitment in progress)
1111	P4	PO, Agricultural Biodiversity (STTM)	Ms. M. Gauthier – (Regularized) (Post funded by FAO)
1113	P4	PO, Clearing House Mechanism (I&O)	Mr. M. Silva (Regularized)
1114	Р3	Special Assistant to the ES. (EDM)	Ms. M. Soliman (Regularized)
1115	P4	PO, Indigenous Knowledge (SEL)	Ms. H. Marrie (Regularized)
1116	P5	PO. Reports & Reviews (I&O)	Mr. K. Kakuyo (Regularized)
1117	Р3	Documentation Officer (I&O)	Mr. Z. Bamba (Regularized)
1118	Р3	Meetings Services Officer (RMCS)	Mr. A. Yesli (Regularized)
1119	Р3	Information Officer (I&O)	Ms. M. Stricker (Regularized)
1120	Р3	Internet & Elect. Communications (I&O)	Mr. O. De Munck (Regularized)
1121	Р3	PO, Jakarta Mandate (STTM)	Ms. M. Vierros (Regularized)
1122	Р3	PO, Financial Resources (I&O)	Mr. Y. Xiang (Regularized)
1124	Р3	Administrative Officer (RMCS)	Ms. N. Patel (Regularized) (Post funded by UNEP)
1126	Р3	Associate Programme Officer (Biosafety)	Mr. E. Tamale (Regularized)
1127	P2	Associate Legal Affairs Officer (Biosafety)	Mr. W. Damena (Regularized)
1128	P2	Associate Computer Systems Officer (I&O)	Mr. Q. Nguyen (Regularized)
1129	L5	Senior Programme Officer (STTM & I&O)	Mr. A. Heydendael (Regularized) (Post funded by The Netherlands)

1131	P4	PO, Inland Waters (STTM)	Ms. P. Mirabzadeh (Regularized)				
Post #	Level	Title	PROFESSIONAL STAFF				
1132	P4	PO, Scientific & Technical Assessments (STTM)	Vacant (Recruitment in progress)				
1133	Р3	PO, Access & Benefit Sharing (SEL)	Ms. V. Normand (Regularized)				
1134	Р3	PO, Scientific, Technical Information (Biosafety)	Ms. K. Galloway-McLean (Regularized)				
1135	P4	Editor (RMCS)	Mr. J. Fry (Regularized)				
1136	P2	Associate Finance Officer (RMCS)	Ms. T.C. Fon Sing (Regularized) (Post funded by UNEP)				
1137	P4	Taxonomy, PO (STTM)	Vacant (Post being re-classified)				
1139	L2	JPO, EIS (STTM)	Ms. I. Chung (Regularized) (Post funded by Republic of Korea)				
1141	P4	PO, Dry and Sub-humid lands (STTM)	Ms. J. Zeidler (Regularized)				
1142	Р3	PO, Reports (I&O)	Mr. L. Cai (Regularized)				
1143	Р3	PO, Sustainable Use and Tourism (SEL)	Ms. P. Deda (Regularized)				
1144	P4	PO, Legal & Policy Affairs (Biosafety)	Ms. X. Wang (Regularized)				
1145	Р3	PO, Scientific Assessments (Biosafety)	Mr. R. Hill (Regularized)				
1146	P5	SPO, Interagency & Programme Coordination (EDM)	Vacant (Recruitment in progress) – new post				
1147	L5	· · · · · · · · · · · · · · · · · · ·	Mr. H. Toivonen (Regularized) (Post funded by Finland)				

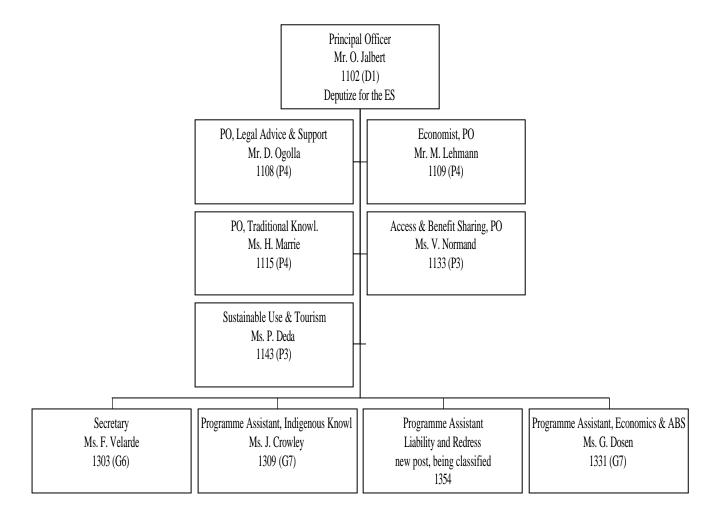
Post #	Level	Title	GENERAL SERVICE STAFF
1301	G8	Finance Assistant (RMCS)	Mr. M. Reyes (Regularized) (Post funded by UNEP)
1302	G7	Senior Secretary to the Executive Secretary (EDM)	Ms. V. Pasquariello (Regularized) (on secondment form ICAO)
1303	G6	Secretary (SEL)	Ms. F. Velarde (Regularized)
1304	G7	Programme Assistant - (STTM)	Mr. D. Hamel (Regularized)
1305	G7	Programme Assistant - (STTM)	Ms. I. Anderson (Regularized)
1306	G7	Programme Assistant - (I&O)	Ms. S. Penney (Regularized)
1307	G7	Programme Assistant - (Biosafety)	Ms. D. Stepic (Regularized)
1308	G7	Programme Assistant - (I&O)	Ms. M. Chiasson (Regularized)
1309	G7	Programme Assistant - (SEL)	Ms. J. Crowley (Regularized)
1310	G6	Administrative Assistant - (RMCS)	Ms. C. Risch (Regularized)

1311	G7	Travel Assistant - (RMCS)	Ms. R. Rabbath (Regularized)
1312	G7	Personnel Assistant (RMCS)	Ms. J. Martinez (seconded from ICAO) (post funded by UNEP
Post #	Level	Title	GENERAL SERVICE STAFF
1313	G6	Secretary - (STTM)	Ms. V. Allain (Regularized)
1314	G6	Secretary - (I&O)	Ms. S. Castelagne (short-term)
1315	G7	Information Assistant (EDM)	Ms. A. Beliaeva (Regularized)
1316	G7	Computer Operations Assistant (I&O)	Mr. V. Gopez (Regularized)
1317	G5	Receptionist (RMCS)	Ms. L. Boutin (Regularized)
1318	G4	Messenger/Clerk (RMCS)	Mr. R. Bellido (Regularized)
1319	G6	Secretary (Biosafety)	Ms. J. Huppé (Regularized)
1329	G7	Programme Assistant, CHM (I&O)	Mr. H. Perea (Regularized)
1331	G7	Programme Assistant (SEL)	Ms. G. Dosen (Regularized)
1332	G5	Contributions Assistant - (RMCS)	Ms. M. Harrison (Regularized). Post funded by UNEP
1334	G5	Fund Mgmt Assistant - (RMCS)	Ms. L. Ali (Regularized). Post funded by UNEP
1337	G5	Conference Assistant – (RMCS)	Ms. T. Zavarzina (short-term). Post funded by UNEP
1350	GS	EDM Assistant – (EDM)	Ms. B. Belai (short-term)
1351	G7	Programme Assistant – (EDM)	Ms. M. Crespo (Regularized)
1352	G7	Programme Assistant – (I&O)	Ms. F. D'Amico (Regularized)
1353	G7	Programme Assistant – (Biosafety)	Ms. M. Ocampo (Regularized)

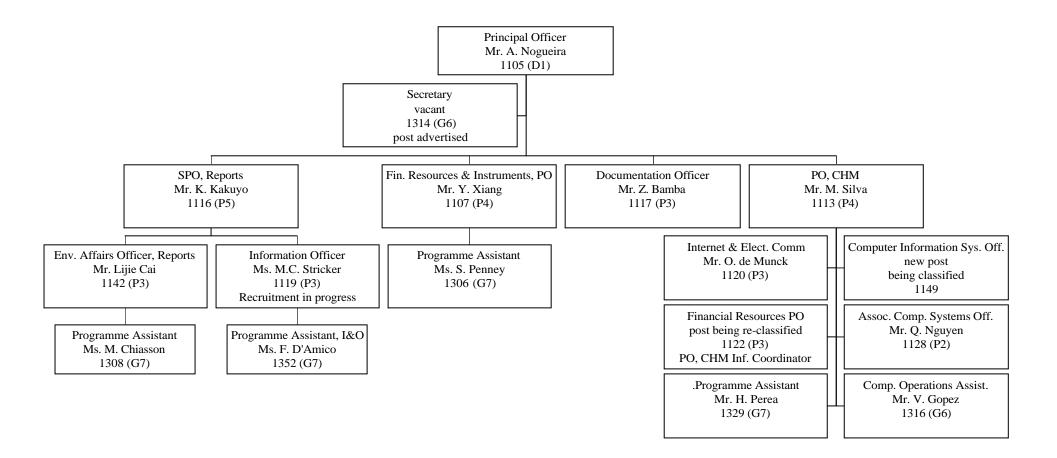
Annex II **Executive Direction, Management & Inter-governmental Affairs**



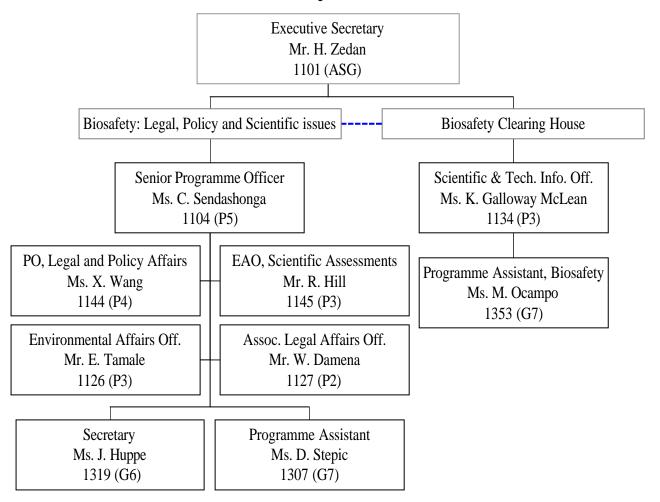
Social, Economic and Legal Matters



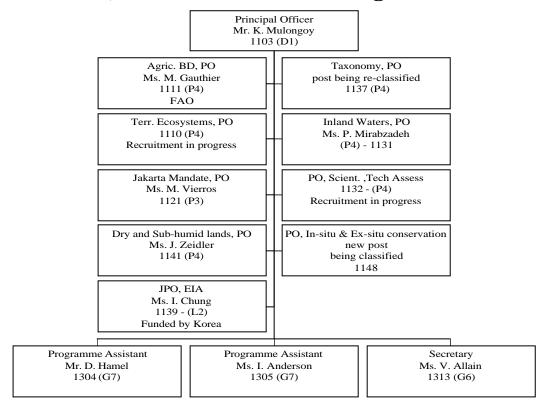
Implementation & Outreach



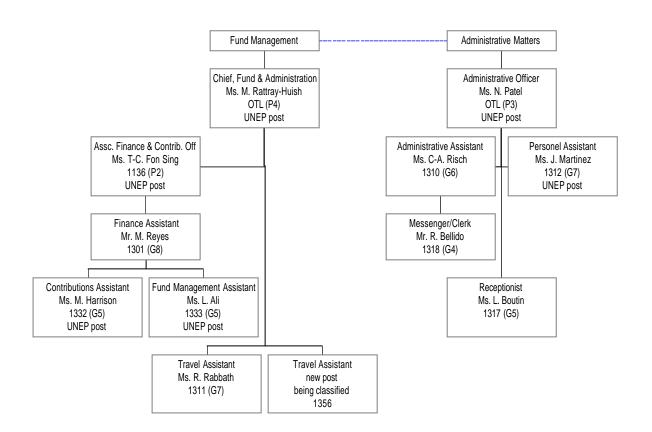
Biosafety Protocol



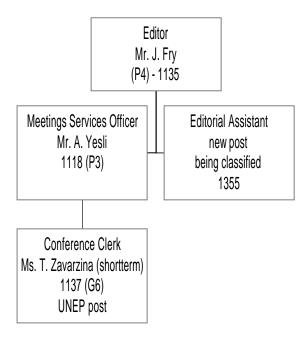
Scientific, Technical & Technological Matters



Resource Management



Conference Services



ANNEX III

GENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS IN SUPPORT OF APPROVED ACTIVITIES UNDER THE CONVENTION ON BIOLOGICAL DIVERSITY (BE)

Status of Contribution as at 30 September 2002 (in United States dollars)

COUNTRIES	Unpaid Pledges as at 31.12.01		Adjustments	Pledges for 2002		Collections in 2002 for prior years	Collections in 2002 for 2002 & futures yrs	Unpaid pledges for 2002 & prior years
Canada	78,616	1/				38,118		40,498
Canada	100,000	2/				100000		0
Canada				2,238	18/		2,238	0
Denmark	3,415	3/						3,415
France				25,000	25/			25,000
Germany	20,882	4/						20,882
Germany				37,758	26/			37,758
Japan				20,000	14/		20,000	0
Japan				30,000	15/		30,000	0
Netherlands	99,000	5/				99,000		0
Netherlands	99,000	6/				99,000		0
Netherlands	99,000	7/				99,000		0
Netherlands	147,000	8/						147,000
Netherlands	C			455,000	20/		455,000	0
Spain	25,000	9/						25,000
Spain				27,076	23/			27,076
Sweden	38,095	21/				23,020		15,075
Sweden				42,493	22/			42,493
Sweden			66	16,129	27/		16,195	0
Switzerland	30,139	10/				30,139		0
COUNTRIES	Unpaid Pledges as at		Adjustments	Pledges for 2002		Collections in 2002 for prior	Collections in 2002 for 2002	Unpaid pledges for 2002 &

	31.12.01					years	& futures yrs	prior years
Switzerland				35,000	16/		35,000	0
UK	6,000	11/	(15)			5,985		0
USA	10,000	12/						10,000
USA	C			50,000	17/			50,000
USA				24,000	24/		24,000	0
FAO	10,000	13/				10,000		0
FAO		19/	111,000			111,000		0
Total	766,147		111,051	764,694		615,262	582,433	444,197

1/Canada pledge Cdn\$125,000 for meetings convened under the Cartagena Protocol on Biosafety

2/Canada pledge US\$100,000 for the Technical Expert Group Meeting on Article 18.2(a), Montreal, Canada 18-20 March 2002

3/Denmark pledge in support of the Open-ended Meeting of Experts on Capacity-building, Havana, Cuba 11-13 July 2001

4/Germany pledge for Ad Hoc Working Group on Access and Benefits Sharing, 22-26 October 2001, Bonn, Germany

5/Netherlands pledge for African Workshop on Sustainable Use of Biodiversity

6/Netherlands pledge for Asian Workshop on Sustainable Use of Biodiversity

7/Netherlands pledge for Latin American and the Caribbean Workshop on Sustainable Use of Biodiversity

8/Netherlands pledge US\$147,000 for the Workshop on Forest Biological Diversity, Accra, Ghana 28-30 January 2002

9/Spain pledge US\$25,000 for the Capacity Building Experts Meeting on Biosafety Protocol, Havana, Cuba 11-13 July 2001

10/Switzerland pledge CHF50,000 for the Ad Hoc Technical Expert Group on Biological Diversity and Climate Change, Helsinki, Finland 21-25 January 2002

11/UK pledge US\$6,000 for two consultants on Forests Biological Diversity

12/USA pledge for GISP Meeting on Global Invasive Species

13/FAO pledge towards the settlement of invoices for Ocean Atlas

14/Japan pledge for the Asia and Pacific Regional Meeting on Capacity Building for Biosafety

15/Japan pledge for the Technical Expert Group Meeting on Article 18.2(b) and (c), Montreal, Canada 13-15 March 2002

16/Switzerland pledge for the Technical Expert Group Meeting on Article 18.2(a), Montreal, Canada 18-20

March 2002

17/USA pledge for the Technical Expert Group Meeting on Article 18.2(a), Montreal, Canada

18-20 March 2002

UNEP/CBD/QR/18

Page 48

18/Canada pledge towards the participation of Ms. Esther Camac from Costa Rica to the 2nd Meeting of the Ad Hoc Open-Ended Inter-sessional Working Group

19/FAO 1999 pledge towards the secondment of a Programme Officer to SCBD. Pledge was received but not allocated.

20/Netherlands, pledge for COP-6 and ICCP-3, the Hague, the Netherlands,

7-26 April 2002

21/Sweden pledge SEK400,000 towards the cost of a Programme Officer for the Global

Taxonomy Initiative in 2001

22/Sweden pledge SEK450,000 towards the cost of a Programme Officer for the Global

Taxonomy Initiative in 2002

23/Spain pledge Euros 30,000 for the Technical Expert Group Meeting on Article 18.2(a), Montreal, Canada 18-

20 March 2002

24/USA pledge US\$24,000 for the 2nd Meeting of the Ad Hoc Technical Expert Group on Marine and Coastal Protected Areas, New Zealand, 20-24 May 2002

25/France pledge for the Technical Expert Group Meeting on Article 18.2(b) and ©, Montreal, Canada 13-15 March 2002

26/Germany pledge Euros 38,325 for the Open-ended Expert Workshop on capacity-building on access and benefit-sharing

27/Sweden pledge SEK150,000 for the Open-ended Expert Workshop on capacity-building on access and benefit-sharing

ANNEX IV

GENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS TO FACILITATE THE PARTICIPATION OF PARTIES IN THE PROCESS OF THE CONVENTION ON BIOLOGICAL DIVERSITY (BZ)

Status as at 30 September 2002 (in United States dollars)

COUNTRIES	Unpaid Pledges as at 31.12.01		Adjustments		Pledges for 2002	Collections in 2002 for prior years	Collections in 2002 for 2002 & fut yrs	Unpaid pledges for 2002 & prior years
Australia				8/	10,000		10,000	0
Denmark	15,512	1/						15,512
Denmark	75,525	2/				75,525		0
Denmark				14/	40,000			40,000
Germany				10/	11,536		11,536	0
Germany				15/	37,721			37,721
Ireland				13/	38,180		38,180	0
Japan				4/	45,000		45,000	0
Netherlands				3/	80,000		80,000	0
New Zealand				5/	16,664		16,664	0
Norway				9/	63,857		63,857	0
Sweden				6/	28,409		28,409	0
Sweden				7/	57,915		57,915	0
UK		,		11/	42,675		42,675	0
UK				12/	29,160		29,160	0
Total	91,037		0	•	501,117	75,525	423,396	93,233

^{**} Please note that the figures in bracket () means credit

1/Denmark pledge for travel expenses for Central and Eastern European countries-COP-5, Nairobi Kenya, 15-26 May 2000

2/Denmark pledge towards the participation of Representatives at the Central and Eastern European Regional Workshop, February 2002

3/The Netherlands pledge towards the COP-6 and ICCP-3 Meetings, the Hague, the Netherlands, 7-26 April 2002

4/Japan pledge US\$45,000 towards the COP-6 and ICCP-3 Meetings, the Hague, the Netherlands, 7-26 April 2002

5/New Zealand pledge NZ\$40,000 towards the COP-6 and ICCP-3 Meetings, the Hague, the Netherlands, 7-26 April 2002

UNEP/CBD/QR/18

Page 50

6/Sweden pledge SEK300,000 towards the ICCP-3 Meeting, the Hague, the Netherlands, 22-26 April 2002

7/Sweden pledge SEK600,000 towards the Article 8(j) Meeting, Montreal, Canada, 4-8 February 2002 and COP-6 Meeting, the Hague, the Netherlands, 7-19 April 2002

8/Australia pledge US\$10,000 towards the COP-6 Meeting, the Hague, the Netherlands, 7-19 April 2002

9/Norway pledge NOK500,000 towards the COP-6 and ICCP-3 Meetings, the Hague, the Netherlands, 7-26 April 2002

10/Germany pledge towards the COP-6 Meeting, the Hague, the Netherlands, 7-19 April 2002

11/ UK pledge UK pounds 30,000 towards COP 6, the Hague, the Netherlands, 7-19 April 2002

12/UK pledge US\$29,160 towards the ICCP-3 meeting, the Hague, the Netherlands, 22-26 April 2002

13/Ireland pledge Euros 40,000 for SBSTTA-8 and other meetings

14/Denmark pledge DKK300,000 towards the support of participants from Central and Eastern European countries to attend CBD meetings

15/Germany pledge Euros 38,325 towards the Capacity Building meeting on Access and Benefits Sharing

ANNEX V

GENERAL TRUST FUND FOR THE CONVENTION ON BIOLOGICAL DIVERSITY (BY) as at 30 September 2002

Countries	Unpaid Pledges as at 31/12/01	Pledges for 2002	Collections in 2001 for 2002	Collections in 2002 for prior years	Collections During 2002 for 2002 & future years	Unpaid Pledges for Prior Years	Unpaid Pledges for 2002	Unpaid Pledges for 2002 & Prior years
	US\$	US\$			US \$	US \$	US \$	US \$
Albania	0	274	110			C	164	164
Algeria	7,552	7,841				7,552	7,841	15,393
Angola	0	912	912		887	C	(887)	(887)
Antigua & Barbuda	0	182	182		185	C	(185)	(185)
Argentina	124,243	100,566				124,243	100,566	224,809
Armenia	19,782	547				19,782	547	20,329
Australia	0	135,213	135,213		150,455	C	(150,455)	(150,455)
Austria	0	85,887			85,887	C	0	0
Azerbaijan	965	1,002				965	1,002	1,967
Bahamas	0	1,368	1,368			C	0	0
Bahrain	0	1,550				C	1,550	1,550
Bangladesh	0	912			479	C	433	433
Barbados	0	729	3		726	C	0	0
Belarus	74,881	5,197		5,197	7	69,684	5,197	74,881
Belgium	0	100,658				0	100,658	100,658
Belize	0	91			183	C	(92)	(92)
Benin	128	182				128	182	310
Bhutan	0	91	91			C	0	0

Bolivia	0	638				0	638	638
Countries	Unpaid Pledges as at 31/12/01	Pledges for 2002	Collections in 2001 for 2002	Collections in 2002 for prior years	Collections During 2002 for 2002 & future years	Unpaid Pledges for Prior Years	Unpaid Pledges for 2002	Unpaid Pledges for 2002 & Prior years
Bosnia & Herzegovina	0	47				0	47	47
Botswana	0	912			912	0	0	0
Brazil	232,816	134,119		232,816	103,416	0	30,703	30,703
Bulgaria	966	1,003		966	1,003	0	0	0
Burkina Faso	464	182				464	182	646
Burundi	307	91				307	91	398
Cambodia	88	91				88	91	179
Cameroon	1,054	1,185				1,054	1,185	2,239
Canada	0	249,091			249,091	0	0	0
Cape Verde	2,180	182				2,180	182	2,362
Central African Rep.	138	91		138	91	(0)	0	(0)
Chad	2,415	91				2,415	91	2,506
Chile	0	3,282	26,771		3,161	0	(26,650)	(26,650)
China	0	90,719				0	90,719	90,719
Colombia	11,461	9,938		11,461	7,047	0	2,891	2,891
Comoros	2,415	91				2,415	91	2,506
Congo	2,072	274		263	274	1,809	0	1,809
Congo Dem.Rep	3,874	638				3,874	638	4,512
Cook Islands	88	91				88	91	179
Costa Rica	6,932	1,459				6,932	1,459	8,391
Cote d'Ivoire	810	821				810	821	1,631
Croatia	0	2,735	2,735			0	0	0
Cuba	12,705	2,188				12,705	2,188	14,893
Cyprus	0	3,100			3,100	0	0	0
Countries	Unpaid	Pledges for	Collections in	Collections in	Collections	Unpaid	Unpaid Pledges	Unpaid Pledges

UNEP/CBD/QR/18 Page 53

	Pledges as at 31/12/01	2002	2001 for 2002	2002 for prior years	During 2002 for 2002 & future		for 2002	for 2002 & Prior years
					years			
Czech Republic	0	9,756			9,756	0	0	0
Denmark	0	63,093			63,093	0	0	0
Djibouti	88	91				88	91	179
Dominica	0	91	24			0	67	67
Dominica Rep.	4,666	1,368				4,666	1,368	6,034
Ecuador	1,756	1,824		1,756	Ó	0	1,824	1,824
EEC	0	171,168			171,168	0	0	0
Egypt	0	5,926			5,926	0	0	0
El Salvador	0	1,094			1,094	0	0	0
Equatorial Guinea	2,415	91				2,415	91	2,506
Eritrea	0	91	91			0	0	0
Estonia	0	1,094			1,094	0	0	0
Ethiopia	0	547			917	0	(370)	(370)
Fiji	0	365	365		311	0	(311)	(311)
Finland	0	49,508			49,508	0	0	0
France	0	596,742			596,742	0	0	0
Gabon	4,794	1,368				4,794	1,368	6,162
Gambia	88	91				88	91	179
Georgia	40,553	638				40,553	638	41,191
Germany	0	898,715			898,715	0	0	0
Ghana	2,853	638				2,853	638	3,491
Greece	0	32,003				0	32,003	32,003
Grenada	0	91				0	91	91
Guatemala	8,957	1,641		8,957	1,671	0	(30)	(30)
Guinea	2,211	274		2,211	551	0	(277)	(277)
Guinea-Bissau	1,936	91				1,936	91	2,027

Guyana	1,586	91				1,586	91	1,677
Countries	Unpaid Pledges as at 31/12/01	Pledges for 2002	Collections in 2001 for 2002	Collections in 2002 for prior years	Collections During 2002 for 2002 & future years	Unpaid Pledges for Prior Years	Unpaid Pledges for 2002	Unpaid Pledges for 2002 & Prior years
Haiti	1,490	182				1,490	182	1,672
Honduras	838	274				838	274	1,112
Hungary	0	10,941			10,941	0	0	0
celand	0	2,918			2,918	0	0	0
Índia	50	27,261		50	27,161	0	100	100
Indonesia	49,839	17,141		49,839		0	17,141	17,141
[ran	27,438	14,679		27,438		0	14,679	14,679
Ireland	0	20,423	20,373		27,237	0	(27,187)	(27,187)
[srael	0	31,911			31,911	0	0	0
Italy	0	495,720				0	495,720	495,720
Jamaica	0	547	547			0	0	0
ſapan	0	1,711,675			1,711,675	0	0	0
Jordan	527	547				527	547	1,074
Kazakhstan	55,573	4,376		4,979		50,594	4,376	54,970
Kenya	612	638				612	638	1,250
Kiribati	0	91	3			0	88	88
Korea, Dem. People's Rep.	18,411	1,368				18,411	1,368	19,779
Korea, Rep. of	0	91,722				0	91,722	91,722
Kuwait	0	1,951				0	1,951	1,951
Kyrgyztan	5,638	547				5,638	547	6,185
Lao PDR	0	91				0	91	91
Latvia	0	1,550				0	1,550	1,550
Lebanon	25	1,459		25	1,434	(0)	25	25
Lesotho	0	182				0	182	182
Liberia	161	182				161	182	343

Countries	Unpaid Pledges as at 31/12/01	Pledges for 2002	Collections in 2001 for 2002	Collections in 2002 for prior years	Collections During 2002 for 2002 & future years	Unpaid Pledges for Prior Years	Unpaid Pledges for 2002	Unpaid Pledges for 2002 & Prior years
Libya	2,469	11,274				2,469	11,274	13,743
Liechtenstein	0	547			547	C	0	0
Lithuania	0	1,368			1,368	C	0	0
Luxembourg	0	6,200			6,200	C	0	0
Macedonia	1,291	365				1,291	365	1,656
Madagascar	0	274	285			C	(11)	(11)
Malawi	176	182				176	182	358
Malaysia	0	16,685			16,685	C	0	0
Maldives	0	91	91			C	0	0
Mali	2,180	182				2,180	182	2,362
Malta	922	1,276		922	1,276	C	0	0
Marshall Island	88	91		88	91	C	0	0
Mauritania	1,246	91				1,246	91	1,337
Mauritius	0	821	821		1,017	C	(1,017)	(1,017)
Mexico	33,480	90,719		33,480	6,065	C	84,654	84,654
Micronesia,Fed. States	0	91				C	91	91
Moldova, Rep. of	21,840	912		2,591		19,249	912	20,161
Monaco	0	365	21		344	C	0	0
Mongolia	0	182				C	182	182
Morocco	3,600	3,738		3,600		C	3,738	3,738
Mozambique	0	91				C	91	91
Myanmar	0	729			729	0	0	0
Namibia	615	638		615	85	C	553	553
Nauru	2,535	91				2,535	91	2,626
Nepal	0	365	2,032			0	(1,667)	(1,667)

Netherlands	0	148,798				0	148,798	148,798
New Zealand	0	20,150	20,150		22,286	0	(22,286)	(22,286)
Countries	Unpaid Pledges as at 31/12/01	Pledges for 2002	Collections in 2001 for 2002	Collections in 2002 for prior years	Collections During 2002 for 2002 & future years	Unpaid Pledges for Prior Years	Unpaid Pledges for 2002	Unpaid Pledges for 2002 & Prior years
Nicaragua	88	91				88	91	179
Viger	2,180	182				2,180	182	2,362
Nigeria	8,380	2,918				8,380	2,918	11,298
Niue	0	91				0	91	91
Norway	0	55,617			55,617	0	0	0
Oman	0	4,650			4,650	0	0	0
Pakistan	9,899	5,379		9,899	0	0	5,379	5,379
Palau	88	91		88	691	0	(600)	(600)
Panama	0	1,185			1,185	0	0	0
Papua New Guinea	0	638				0	638	638
Paraguay	4,746	1,276				4,746	1,276	6,022
Peru	16,610	9,026				16,610	9,026	25,636
Philippines	7,113	7,385		7,113	7,385	0	0	0
Poland	0	17,870	17,870			0	0	0
Portugal	360	39,297		360	38,937	0	360	360
Qatar	0	3,009			6,153	0	(3,144)	(3,144)
Romania	0	5,106				0	5,106	5,106
Russian Federation	94,572	98,196				94,572	98,196	192,768
Rwanda	913	91				913	91	1,004
St.Kitts &Nevis	0	91	91		92	0	(92)	(92)
St. Lucia	168	91		168	91	0	0	0
Saint Vincent & Grenadines	1,586	91				1,586	91	1,677
Samoa	0	91			91	0	0	0
San Marino	0	182			182	0	0	0

Sao Tome Principe	88	91				88	91	179
Countries	Unpaid Pledges as at 31/12/01	Pledges for 2002	Collections in 2001 for 2002	Collections in 2002 for prior years	Collections During 2002 for 2002 & future years	Unpaid Pledges for Prior Years	Unpaid Pledges for 2002	Unpaid Pledges for 2002 & Prior years
Saudi Arabia	0	50,578				0	50,578	50,578
Senegal	36	547				36	547	583
Seychelles	0	182	1,467			0	(1,285)	(1,285)
Sierra Leone	1,915	91				1,915	91	2,006
Singapore	0	16,320	16,320			0	0	0
Slovak	0	3,191			7,167	0	(3,976)	(3,976)
Slovenia	0	5,562	5,536		26	0	0	0
Solomon Is.	1,936	91				1,936	91	2,027
South Africa	0	33,370			71,099	0	(37,729)	(37,729)
Spain	0	236,235			236,235	0	0	0
Sri Lanka	1,054	1,094				1,054	1,094	2,148
Sudan	3,395	638				3,395	638	4,033
Suriname	972	365				972	365	1,337
Swaziland	0	182				0	182	182
Sweden	15	98,378		15	98,348	0	30	30
Switzerland	0	110,778	110,778			0	0	0
Syria	0	5,835	16,983			0	(11,148)	(11,148)
Гаjikistan	1,558	365				1,558	365	1,923
Годо	88	91				88	91	179
Гonga	88	91				88	91	179
Frinidad & Tobago	0	1,459	8,647			0	(7,188)	(7,188)
Гunisia	0	2,553			2,553	0	0	0
Γurkey	0	40,117			40,117	0	0	0
Γurkmenistan	6,980	547				6,980	547	7,527

Uganda	0	365	1,236			0	(871)	(871)
Ukraine	0	17,323				0	17,323	17,323
U. К .	0	464,082	464,082			0	0	0
Countries	Unpaid Pledges as at 31/12/01	Pledges for 2002	Collections in 2001 for 2002	Collections in 2002 for prior years	Collections During 2002 for 2002 & future years	Unpaid Pledges for Prior Years	Unpaid Pledges for 2002	Unpaid Pledges for 2002 & Prior years
United Arab Emirates	0	16,229	15,820			0	409	409
Un.Rep. of Tanzania	1,313	274		1,313	20,623	0	(20,349)	(20,349)
Uruguay	8,053	4,376				8,053	4,376	12,429
Uzbekistan	11,963	2,279				11,963	2,279	14,242
Vanuatu	88	91		88	69	0	22	22
Venezuela	8,383	14,588				8,383	14,588	22,971
Viet Nam	0	638			638	0	0	0
Yemen	3,774	912				3,774	912	4,686
Yugoslavia	0	1,381				0	1,381	1,381
Zambia	196	182				196	182	378
Zimbabwe	1,510	821				1,510	821	2,331
Sub Total	1,011,391	6,914,379	871,018	406,436	4,869,363	604,955	1,173,998	1,778,953
Additional Contributions								
Canada	400,000	800,000		400,000	800,000	0	0	0
USA	0	175,000			175,000	0	0	0
Quebec	200,000	200,000		200,000	204,564	0	(4,564)	(4,564)
Grand Total	1,611,391	8,089,379	871,018	1,006,436	6,048,927	604,955	1,169,434	1,774,389

ANNEX VI

LIST OF MEETINGS ORGANIZED BY THE SECRETARIAT OF THE CONVENTION ON BIOLOGICAL DIVERSITY (as of 30 September 2002)

2002					
DATES	MEETING TITLE	VENUE			
9- 12 January 2002	Asian Regional Workshop on Sustainable Use of Biological Diversity	Hanoi, Vietnam			
19 January 2002	African Regional Meeting on Capacity- Building for the Biosafety Clearing House	Nairobi, Kenya			
21-25 January 2002	Ad-Hoc Working Group on the Interlinkages between Biological Diversity and Climate Change	Helsinki, Finland			
28-30 January 2002	Workshop on Incentive Measures for the Workshop on Forests and Biological Diversity	Accra, Ghana			
3 February 2002	Impacts of Genetic Use Restriction Technologies on Indigenous and Local Communities, and on Farmers' Rights in keeping with the Revision of the International Treaty on Plant Conservation for Food and Agriculture	Montreal, Canada			
4-8 February 2002	Open-ended Meeting of the Ad-Hoc Intersessional Working Group on Article 8(j) and Related Provisions	Montreal, Canada			
5-9 February 2002	Eastern and Central Europe Regional Meeting on the Pilot Phase of the Biosafety Clearing house.	Nitra, Slovak Republic			
11-13 February 2002	Technical Expert Group Meeting on Global Strategy for Plant Conservation	Gran Canaria, Spain			
18-19 February 2002	Meeting of the Bureau of the Intergovernmental Committee for the Cartagena Protocol on Biosafety	Yaounde, Cameroon			
18-20 February 2001	Latin American and the Caribbean Regional Workshop on Sustainable Use of Biological Diversity	Salinas, Ecuador			
19-20 February 2002	Informal Meeting on Formats, Protocols and Standards for the Improved Exchange of Biodiversity Information	Montreal, Canada			
4-8 March 2002	Asian and Pacific Regional Meeting on the Pilot Phase of the Biosafety Clearing house	Beijing, China			

DATES	DATES MEETING TITLE	
13-15 March 2002	Technical Expert Meeting on Handling, Transport, Packa ging and Identification of Living Modified Organisms, (Article 18.2b and 18.2c of the Biosafety Protocol)	Montreal , Canada
18-20 March 2002	Technical Experts Meeting on Handling, Transport, Packaging and Identification of Living Modified Organisms, (Article 18.2a of the Biosafety Protocol)	Montreal, Canada
18-20 March 2002	Regional Meeting for Asia and the Pacific in preparation for the Sixth Meeting of the Conference of the Parties	Bangkok, Thailand
18-22 March 2002	Regional Meeting for Latin America and the Caribbean in preparation for the Sixth Meeting of the Conference of the Parties	Kingston, Jamaica
18-22 March 2002	Ad hoc Technical Expert Group on Dry and Sub-humid Land Biodiversity	Montreal, Canada
19-21 March 2002	Regional Meeting for Africa in preparation for the Sixth Meeting of the Conference of the Parties	Nairobi, Kenya
7-19 April 2002	Sixth meeting of the Conference of the Parties to the Convention on Biological Diversity	The Hague, The Netherlands
22-26 April 2002	Third meeting of the Intergovernmental Committee on the Cartagena Protocol on Biosafety	The Hague, The Netherlands
20-24 May 2002	Second Meeting of the Ad Hoc Technical Expert Group on Marine and Coastal Protected Areas	Nelson, New Zealand
1-5 July 2002	Ad-Hoc Technical Expert Group on Mariculture	Rome, Italy
9-13 September 2002	Ad Hoc Technical Expert Group on Biological Diversity and Climate Change Montreal, Canada	
23-24 September 2002	Meeting of the Bureau of the Sixth meeting of the Conference of the Parties to the CBD	Montreal, Canada
23-27 September 2002	Second meeting of the Ad Hoc Technical Expert Group on Dry and Sub-Humid Lands	Montreal, Canada

ANNEX VII

LIST OF PARTIES TO THE CARTAGENA PROTOCOL ON BIOSAFETY

	COUNTRY	DATE OF RATIFICATION
1.	Trinidad and Tobago	5 October 2000
2.	Bulgaria	13 October 2000
3.	Norway	10 May 2001
4.	St. Kitts and Nevis	23 May 2001
5.	Fiji	5 June 2001
6.	Lesotho	20 September 2001
7.	Czech Republic	8 October 2001
8.	Nauru	12 November 2001
9.	Uganda	30 November 2001
10.	The Netherlands	8 January 2002
11.	Spain	16 January 2002
12.	Kenya	24 January 2002
13.	Liberia	15 February 2002
14.	Switzerland	26 March 2002
15.	Djibouti	8 April 2002
16.	Mauritius	11 April 2002
17.	Bolivia	22 April 2002
18.	Panama	1 May 2002
19.	Venezuela	13 May 2002
20.	Samoa	30 May 2002
21.	Botswana	11 June 2002
22.	Niue	8 July 2002
23.	Sweden	8 August 2002
24.	Belarus	26 August 2002
25.	Bhutan	26 August 2002
26.	European Community	27 August 2002
27.	Denmark	27 August 2002
28.	Austria	27 August 2002
29.	Mexico	27 August 2002
30.	Nicaragua	28 August 2002
31.	Mali	28 August 2002
32.	Luxembourg	28 August 2002
33.	Croatia	29 August 2002
34.	Maldives	2 September 2002
35.	Barbados	6 September 2002
36.	Cuba	17 September 2002