**GUIDANCE DOCUMENT**

**Survey for the Assessment of the Funding Needs for the Implementation of the Convention and Its Protocols for the Eighth Replenishment Period July 2022 to June 2026 of the Trust Fund of the Global Environment Facility (Decision 14/23)**

**Updated**

**28 June 2021**

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**A. QUESTIONNAIRE**

**I. INTRODUCTION**

**Availability**

1. The questionnaire to enable GEF-eligible countries to contribute to the Full Assessment of the Funding Needs for the Implementation of the Convention on Biological Diversity (CBD) and its Protocols for the Eighth Replenishment Period, July 2022 to June 2026, of the Trust Fund of the Global Environment Facility (GEF) is available, together with other relevant information, on the CBD website at the following location:

[**https://www.cbd.int/financial/gef8needs.shtml**](https://www.cbd.int/financial/gef8needs.shtml)

**Mandate**

2. The questionnaire is circulated in accordance with paragraph 11 of the annex to decision 14/23 of the Conference of the Parties (COP) to the CBD.

**Purpose**

3. The purpose of the questionnaire is to assist the COP to make an assessment of the amount of funds that are necessary to assist developing countries and countries with economies in transition, in accordance with the guidance provided by the COP, in fulfilling their commitments under the CBD and its Protocols over the eighth replenishment cycle (2022-2026) of GEF (GEF-8), and determine the amount of resources needed, in accordance with Article 21, paragraph 1 and decision III/8.

4. Questionnaire responses will provide an indicative overview of the types and costs of projects or programmes envisaged under GEF-8 by the responding Parties which, together with analysis of data derived from other sources (such as national biodiversity strategies and action plans (NBSAPs), national reports and experience of project preparation and implementation over previous GEF replenishment cycles), will enable an assessment of the overall funding needs for GEF-8.

5. Questionnaire responses are **indicative of likely project priorities** and not formal submissions to the GEF and do not require more detail than what is requested in the questionnaire.

**Context**

6. Information about factors that will be taken into account by the assessment, referred to in paragraph 3 of the annex to COP decision 14/23, is provided on the webpage referred to in paragraph 1 above. Factors relevant for Parties to take into account in considering their needs for GEF-8 include:

* All obligations under the Convention and its Protocols and relevant decisions adopted by the Conference of the Parties and the Conference of the Parties serving as the meetings of the Parties to the Protocols (decision 14/23, annex, paragraph 3(c));
* The information communicated to the Conference of the Parties in the national reports to the Convention and its Protocols and information provided through the financial reporting framework (paragraph 3(d));
* The national biodiversity strategy and action plan and/or other strategies and programmes developed in accordance with Article 6 of the Convention and pertinent Articles of the Cartagena and Nagoya Protocols (paras. 3(f) and 3(l));
* Synergies with other conventions (paras. 3(h) and (i));
* The draft post-2020 global biodiversity framework (para 3(m)), as well as the draft implementation plan for the Cartagena Protocol on Biosafety 2021-2030;
* Progress made on the implementation of the Sustainable Development Goals, in particular Goal 17 (strengthen the means of implementation) (para. 3(n)).

**Particular consideration should be given by responding Parties to** **national priorities for implementation** of the three objectives of the Convention and its Protocols in the period 2022-2026, taking account of:

* The evolving drafts of the post-2020 global biodiversity framework (https://www.cbd.int/conferences/post2020)[[1]](#footnote-1) and implementation plan for the Cartagena Protocol on Biosafety (http://bch.cbd.int/protocol/post2020/Plan.shtml); and
* Potential programming directions for GEF-8 (http://www.thegef.org/gef-8-replenishment)[[2]](#footnote-2)

**Respondents**

7. The questionnaire should be completed by the National Focal Point of the CBD in collaboration with the National Focal Points of the Nagoya Protocol and Cartagena Protocol (if Party to these), and the Operational and Political Focal Points of the GEF, through a participatory process as appropriate to national circumstances. Submission should be made by the CBD National Focal Point.

**Workload**

8. The estimated time required for consulting, collecting and compiling information, and completing the questionnaire is expected to be one to two weeks on average.

**Currency Unit**

9. The currency unit throughout the questionnaire should be US dollars. Please convert your national currency into US dollars using the average exchange rates for the month of June 2021 (such exchange rates can be obtained from your central bank or ministry of finance).

**Deadline for submissions**

10. The questionnaire should be submitted to the CBD Secretariat at: secretariat@cbd.int. The deadline for submissions is **27 August 2021**.

**II. GUIDANCE FOR COMPLETING THE QUESTIONNAIRE**

The questionnaire is divided into six parts: 1. Background information (e.g. country, project name), 2. Components of biodiversity that the project will address (i.e. biomes, species, genetic resources), 3. Strategic objectives (e.g. protected areas, capacity building), 4. Financing needs (including co-financing), 5. Linkages (e.g. to SDGs) and 6. Any additional information. Parts A and B require noting any characteristics that apply (using an “X”), Part C requires an estimated USD figure and Part D requires noting related linkages using an “X”.

In order to gain an overall assessment of the country’s expected GEF funding needs, the survey requires that this be considered and built up on a project by project or programme by programme basis, using a separate worksheet for each.

**Particular consideration should be given to national priorities for implementation** of the three objectives of the Convention and its Protocols in the period 2022-2026.

The needs-assessment expert group acknowledges that the timeframe (linked to scheduling of COP 15) and the occurrence of this process in parallel with the development of the post-2020 global biodiversity framework creates challenges. Despite these challenges, we encourage Parties to provide their best possible assessment of the projects, or areas of work to be addressed through GEF-funded projects, likely to be submitted for approval during the period 2022-2026.

**BACKGROUND INFORMATION**

Please enter country name and names of Convention and Protocol focal points and other experts completing the questionnaire, as well as the total number of projects presented. **This information needs only to be provided on the first worksheet**.

**Project name / Area of work**

**For each project or area of work envisaged, please use a separate worksheet (copy the worksheet as many times as you have projects / programme areas to address)**. Enter the title or the focus of the project, its area of work or a description.

Beneath the project title (or description of the area of work, e.g. “tackling invasive alien species”), please click to indicate whether the project supports implementation of the Convention or one of its Protocols (in some cases it might support more than one).

**Example:**



**PART A: Project characteristics - components of biodiversity**

Please use this section to identify the components of biodiversity that the project will address, in terms of biome(s) and species and genetic resources. Please tick one or more in either or both columns, as applicable. If none apply, leave blank and provide an explanation in section E. Similarly, if you click “other”, please provide an explanation in section E.

**Example**

|  |  |  |  |
| --- | --- | --- | --- |
| **Biome** | **Enter "X"** | **Species/genetic resources** | **Enter "X"** |
| Mangroves |  | Threatened Species | **X** |
| Coral Reefs |  | Crop Wild Relatives |  |
| Sea grasses |  | Plant Genetic Resources |  |
| Wetlands |  | Animal Genetic Resources |  |
| Rivers & lakes |  | Livestock Wild Relatives |  |
| Tropical Rain Forests | **X** | Invasive Alien Species (IAS) |  |
| Tropical Dry Forests |  | Other (explain in Part E) |  |
| Temperate Forests |  |  |  |
| Grasslands |  |  |  |
| Paramo |  |  |  |
| Desert |  |  |  |
| Montane forest |  |  |  |
| Drylands |  |  |  |
| Transboundary inland waters |  |  |  |
| Other (explain in Part E) |  |  |  |
|  |  |  |  |

**PART B: Project characteristics - strategic objectives**

Please use this section to identify strategic objectives of the project from the list provided and whether the project is intended to contribute to an integrated programme of the GEF. Note that several objectives could be applied to a project. Please tick one or more that are applicable. If the project contributes to none of the strategic objectives listed, please leave blank and provide an explanation in Part E. Similarly, if you click “other”, please provide an explanation in section E. If the project is formulated in the context of an integrated programme of the GEF, please indicate which or “other” (for example, the subject area of an integrated programme that may be included under GEF-8). If the project would not be part of an integrated programme, please leave that column blank.

(See next page for example)

**Example:**

|  |  |  |  |
| --- | --- | --- | --- |
| **Integrated programmes** | **Enter "X"** | **Strategic objectives** | **Enter "X"** |
| Commodity Supply Chains |  | Spatial planning for biodiversity | **X** |
| Food Security in Sub-Saharan Africa |  | Protected areas and/or other effective area-based conservation measures | **X** |
| Food Systems, Land Use and Restoration |  | Prevent introduction, eradicate, or manage invasive alien species |  |
| Sustainable Cities |  | Halt or reduce pollution |  |
| Sustainable Forest Management (SFM) in major biomes |  | Ensure that harvest, offtake, trade and use of wild species is legal and sustainable |  |
| Other (explain in Part E) |  | Ecosystem based approaches for climate change mitigation or adaptation |  |
|  |  | Enhance sustainable use of biodiversity |  |
|  |  | Agricultural biodiversity / Soil biodiversity |  |
|  |  | Ecosystems that provide water services are restored and safeguarded |  |
|  |  | Enhance access to green spaces |  |
|  |  | Fair and equitable benefits from genetic resources are promoted |  |
|  |  | Reform incentives, including subsidies, harmful to biodiversity |  |
|  |  | Mainstream biodiversity values in production landscapes and/or seascapes |  |
|  |  | Mainstream biodiversity into economic sectors |  |
|  |  | Increase finance for implementation of biodiversity objectives (innovative financing, generation of revenue, PES, etc.) |  |
|  |  | Strengthen capacity for the implementation of Cartagena Protocol on Biosafety |  |
|  |  | Prevent adverse effects on biodiversity that may be caused by transboundary movement of living modified organisms |  |
|  |  | Sustainable consumption & production |  |
|  |  | Promote learning and access to education on biodiversity |  |
|  |  | Equitable participation in decision-making/management of natural resources/biodiversity, especially for IPLCs |  |
|  |  | Foster new social norms for sustainability |  |
|  |  | Restoration of biodiversity/ecosystems | **X** |
|  |  | Sustainable Land Management |  |
|  |  | Land Degradation Neutrality |  |
|  |  | REDD/REDD+ | **X** |
|  |  | Capacity building | **X** |
|  |  | Strengthen capacity for implementation of Nagoya Protocol through priority actions |  |
|  |  | Technology transfer |  |
|  |  | Other (explain in Part E) |  |
|  |  |  |  |

**PART C: Financing needs**

Please enter the **total** estimated cost for the project (in USD), the expected **government** co-financing (including in-kind contribution through human or institutional infrastructure) as well as any **other sources** of co- financing (including bilateral or private sector financing), and the required **GEF amount.** The GEF amount should be based on the value added by GEF financing to achieve global environmental benefits using the incremental cost principle as per GEF guidance ([GEF/C.54/10/Rev 10.1](https://www.thegef.org/search/site/GEF%5CC.54%5C10%5CRev.01)). You can identify the sources of co-financing from other sources in section E below. We recognise that these will be only estimates at this stage, but please try to be as precise as possible. Use the notes section in Part E for any necessary explanations. Consider the amounts you provided for the previous cycles (GEF-6 and GEF-7) as guidance. Please note that currently, recipient countries with total initial STAR allocations of up to USD 7 million retain full flexibility to programme their allocations across the focal areas. Recipient countries with total initial STAR allocations exceeding USD 7 million are allowed marginal adjustments across focal areas of USD 2 million or up to 13% of their total initial STAR country allocations, whichever is higher.

**Example:**



**PART D: Linkages**

Please indicate using an X, whether the project links to other conventions and bodies listed, and to any of the SDGs.

**Example:**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Link to other conventions & bodies** | **Enter "X"** |  | **Link to SDGs** | **Enter "X"** |
| None |  |  | None |  |
| UNFCCC - UN Framework Convention on Climate Change | X |  | 1. End poverty |  |
| Stockholm Convention on Persistent Organic Pollutants POPs |  |  | 2. End hunger |  |
| UNCCD - UN Convention to Combat Desertification |  |  | 3. Good health and wellbeing |  |
| MCM - Minamata Convention on Mercury |  |  | 4. Quality education |  |
| MP - Montreal Protocol on Substances that Deplete the Ozone Layer |  |  | 5. Gender equality |  |
| CITES - Convention on International Trade in Endangered Species of Wild Fauna and Flora | X |  | 6. Clean water and sanitation |  |
| CMS - Convention on the Conservation of Migratory Species of Wild Animals |  |  | 7. Affordable and clean energy |  |
| ITPGRFA - The International Treaty on Plant Genetic Resources for Food and Agriculture |  |  | 8. Decent work and economic growth |  |
| Ramsar Convention on Wetlands |  |  | 9. Industry innovation and infrastructure |  |
| WHC - World Heritage Convention | X |  | 10. Reduced inequalities |  |
| IPPC - International Plant Protection Convention |  |  | 11. Sustainable cities and communities |  |
| IWC - International Whaling Commission |  |  | 12. Responsible consumption and production |  |
| IPBES - Intergovernmental Platform on Biodiversity and Ecosystem Services |  |  | 13. Climate action |  |
|  |  |  | 14. Life below water |  |
|  |  |  | 15. Life on land | X |
|  |  |  | 16. Peace, justice and strong institutions |  |
|  |  |  | 17. Partnerships for the goals |  |

**PART E: Any additional information**

Please use this part of the questionnaire to provide any necessary explanations (e.g. entering the source of additional funding if known, particularly if it is from the private sector), including any that relate to Parts A and B.

We would also be grateful if you could provide us with feedback on the questionnaire.

**Example:**



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**B. TEAM OF EXPERTS**

**Expert team for preparing a report on the full assessment of funding necessary and available for the implementation of the Convention for the period July 2022 to June 2026**

**I. Contact Details and regional division of responsibilities for liaison with Parties and questionnaire respondents**

**Mr. Ravi Sharma**, based largely in New Delhi, will be the assessment focal point for Asia and the Pacific, and can be reached at the following email address: ravi.sharma2002@outlook.com

**Mrs. Stephanie Mansourian**, based in Geneva, will be the assessment focal point for Africa and Central and Eastern Europe, and can be reached at the following email address: smansourian@infomaniak.ch / stephanie@mansourian.org

**Mr. Yasha Feferholtz**, based in Santiago, Chile, will be the assessment focal point for Latin America and the Caribbean, and can be reached at the following email address: yasha.feferholtz@gmail.com

**II. Biographies**

**Ravi Sharma**, a national of India and former Director of Implementation and Technical Support at the Secretariat of the Convention on Biological Diversity for 10 years, has over 30 years of success in strategizing, implementing, and championing global environmental and innovative programs for the United Nations Environment Programme, Global Environment Facility (GEF), and policy think tanks in India and Kenya. In this period he designed and executed 100+ national and global, regional and national projects on climate change and biodiversity and orchestrated more than 50 international policy negotiations and technical workshops. At CBD he had the oversight of its relations with the GEF and issues related to resource mobilization and facilitated the first and second report of the High level Panel on the Global Assessment of Resources for Implementing the Strategic Plan for Biodiversity 2011-2020. He is currently advising conservation groups on the post-2020 global biodiversity framework, providing technical support to review projects under a global climate change initiative, assisting a program to transfer risk through micro-crop insurance at farm level and provide farmers with compensation resulting from climate shocks etc. He has a master’s degree in economics and management certificate in environmental management.

**Stephanie Mansourian**, a dual Swiss/Egyptian national, has been an environmental consultant for the last 15 years and is also a research associate with Geneva University. Her work in the last 25 years has spanned several environmental topics, including restoration, air pollution, environmental governance, protected areas, and sustainability partnerships among others. She was at the forefront of the development of forest landscape restoration (FLR) at the time when she was managing WWF’s related programme. Since then, and based on her observations of FLR programmes and projects, she carried out her PhD specifically on governance challenges related to FLR. In her work, she takes an integrated approach and seeks to span disciplines wherever possible. As a consultant her clients include NGOs, conventions, UN agencies and foundations. Her voluntary commitments include as a board member of the Audemars Watkins Foundation, a member of the Society for Ecological Restoration and as deputy coordinator of the IUFRO Task Force on “Transforming forest landscapes for future climates and human well-being”. She has published three books and authored several articles in peer reviewed journals.

**Yasha** **Feferholtz**, a Chilean national, is a fellow at EcoHealth Alliance. In this position, Yasha has been working and advising on USAID and DTRA funded projects for sustainable development and health economics in Indonesia, Malaysia, Thailand, South Africa, Bangladesh, and Liberia. He regularly conducts economic analyses to promote policies on sustainable development and conservation for global projects. Yasha has led studies on the economic impact of emerging infectious diseases, allocation of global resources for the Global Virome Project (GVP), economic valuation of ecosystem services from forest and health, cost benefit analyses for Rabies virus in Asia and Rift Valley Fever virus in Africa, economic impacts of global wildlife trade, and integrated assessment modelling to understand the environmental impact of natural resource degradation. Most of his work is applied to public policy and he has co-authored several peer reviewed papers and reports in sustainable economic development, conservation, and health. After obtaining a degree in finance, Yasha earned his Ph.D. in economics from the University of Wyoming, specializing in environmental economics, and behavioural experimental economics under a Fulbright scholarship.

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1. The updated zero draft for the post-2020 global biodiversity framework (CBD/POST2020/PREP/2/1) is available on the following webpage: https://www.cbd.int/conferences/post2020/post2020-prep-01/documents. The first draft of the post-2020 global biodiversity framework will be published by 12 July 2021. [↑](#footnote-ref-1)
2. The first draft of the document entitled Strategic Positioning and Programming Directions (GEF/R.8/02/Rev.01) is available at the following link: https://www.thegef.org/sites/default/files/council-meeting-documents/Summary%20of%20Co-Chairs%20of%20the%20first%20meeting%20of%20GEF-8\_0.pdf. The updated draft will be published late August 2021. [↑](#footnote-ref-2)