



Funding Needs Assessment for GEF-7 (2018 – 2022)

Presentation to inform the
Subsidiary Body on Implementation (SBI-1)
2 – 6 May 2016, Montreal

by
The CBD GEF-7 Expert Team



Reminding

CBD XII/30 Decision, para 11

The Conference of the Parties

...

Decides, in anticipation of the seventh replenishment of the Global Environment Facility Trust Fund, to undertake, at its thirteenth meeting, the **second determination of funding requirements** for the implementation of the Convention and its **Protocols**, in line with the terms of reference contained in the annex to the present decision...

Annex to XII/30 Decision

Expert Team composition

- **two from developing country Parties,**
- **two from developed country Parties, and**
- **one from international non-governmental organizations**



Carlos Manuel Rodriguez,
Costa Rica



Prof. Damodaran,
India



Yasushi Hibi,
Japan



Maria Schultz,
Sweden



**Günter
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Annex to XII/30 Decision

Request from COP 12

...to enable the CoP to make an assessment of the amount of funds that are necessary to assist developing countries and countries with economies in transition, ..., in fulfilling their commitments under the Convention and its Protocols over the **GEF-7 replenishment cycle [2018-2022], and **determine the amount of resources needed**, ...**



Annex to XII/30 Decision

Mandate and ToR of the Expert Team

**TERMS OF REFERENCE FOR A FULL ASSESSMENT OF THE
AMOUNT OF FUNDS NEEDED FOR THE IMPLEMENTATION OF THE
CONVENTION AND ITS PROTOCOLS FOR THE SEVENTH
REPLENISHMENT PERIOD OF THE TRUST FUND
OF THE GLOBAL ENVIRONMENT FACILITY**

- 1. Objective**
- 2. Scope**
- 3. Methodology**
- 4. Procedures for implementation**
- 5. Consultation process**

Outreach and Consultation

- **A questionnaire** circulated to all **CBD Focal Points**
Notification of 19 Aug., deadline 19 October 2015;
Notification of 06 Nov., deadline 04 December 2015
- **CBD Focal Points to collaborate with GEF Operational Focal Points, GEF Agencies etc.**
- **Responses posted on website for transparency:**
<https://www.cbd.int/financial/survey2016.shtml>
- **Important aim for the GEF-7 assessment:**
 - a) bottom-up instead of top-down (GEF-6, HLP)
 - b) transparent, reliable, replicable data / information



Results on Funding Needs

Responses to the questionnaire

- ✓ From the 143 GEF recipient countries,
36 countries (25 percent) responded and provided projects + funding amounts by February 27, 2016:
 - ✓ **15 countries** from Africa
 - ✓ **8 countries** from Asia and Pacific region
 - ✓ **7 countries** from Latin America and the Caribbean
 - ✓ **6 countries** from Eastern Europe
- **Every project concept** that countries put forward **was considered eligible for GEF funding**;
- **Eligibility of GEF-7 projects** is decided by countries, GEF SEC, and GEF agencies



Estimation on Funding Needs

Three GEF-7 funding scenarios (sample 36 countries)

Scenario A: Reported expected funding from GEF-7
(2:1 co-financing ratio currently indicated)

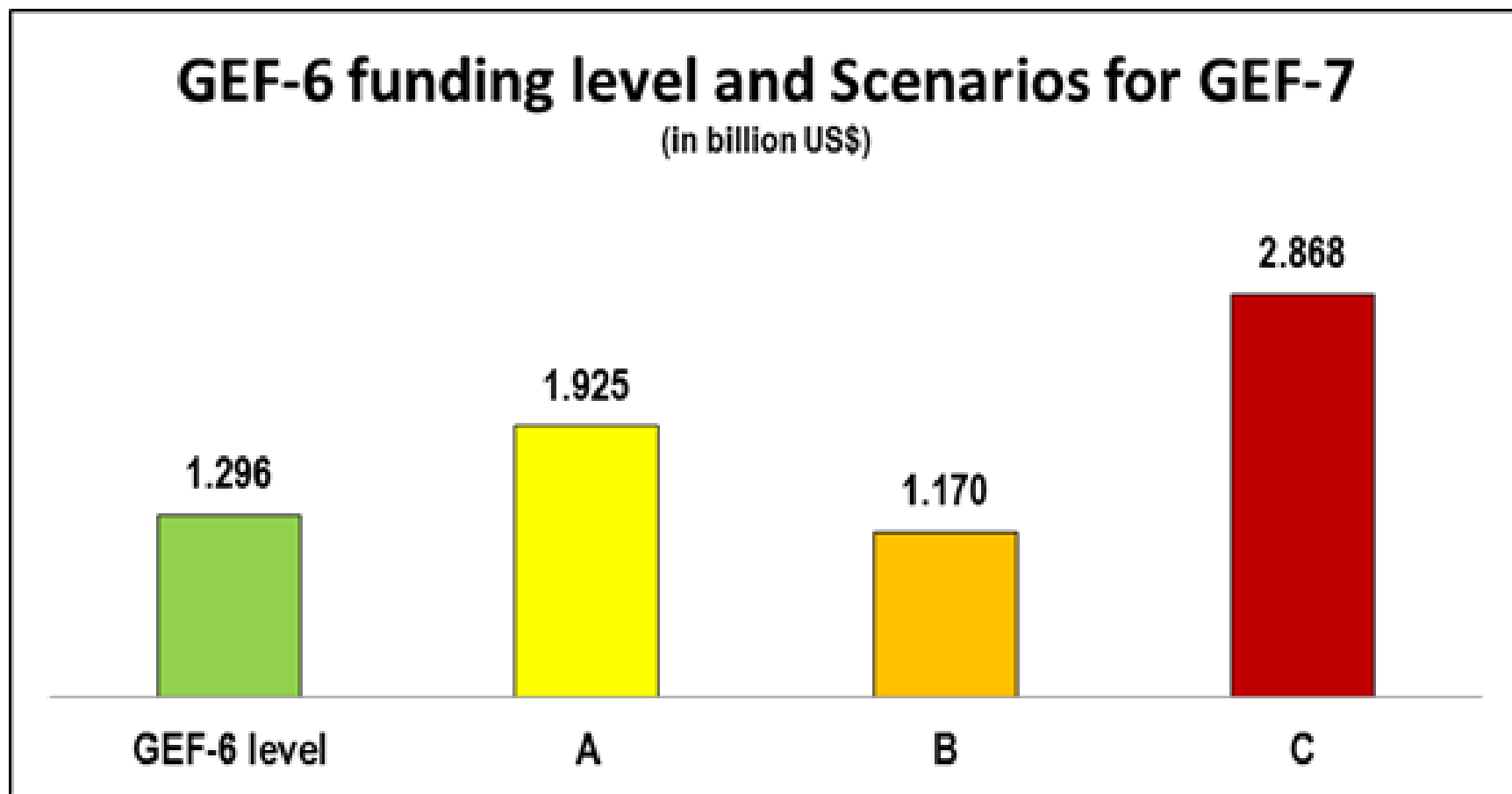
Scenario B: Calculated funding for GEF-7 based on
reported total project costs and 4:1 co-financing (as GEF-5)

Scenario C: Calculated funding for GEF-7 and applied
GEF-6 STAR allocation to non-reporting countries

Reference: approved GEF-6 funding level

Estimation on Funding Needs

Three GEF-7 funding scenarios (sample 36 countries)





Reflections / Conclusions

- **Countries should strive to complete their questionnaire**
 - **calculate their intended GEF-7 projects in a robust way** (basic information)
 - **carefully consider the Incremental Cost Principle** (to achieve global environmental benefits)
 - **update their NBSAP to decide on strategic projects**
 - **consider their absorption capacity seriously while calculating their total costs and funding needs**



Recommendation to SBI-1

- **The methodology (ToR) appears adequate to deliver transparent, reliable, and replicable data and information.**
- **The quality of the assessment relies heavily on input from GEF-recipient countries and can only be improved if countries engage further, systematically, and seriously in the task**
- **Expert Team recommends establishing a funding needs assessment panel and a formalized and structured process that includes strategic resource planning to assess financial requirements for GEF replenishments**



Thanks for your attention!

and
to the supporters of the Expert Team's work

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EU Commission



Sources of information

CBD Decision XII/30:

<https://www.cbd.int/doc/decisions/cop-12/cop-12-dec-30-en.pdf>

GEF-7 Needs Assessment Terms of Reference

<https://www.cbd.int/financial/gef7needs.shtml>

Group of five Experts to prepare the Assessment

<https://www.cbd.int/financial/gef7experts.shtml>

Notification on GEF-7 Funding Needs Questionnaire

<https://www.cbd.int/doc/notifications/2015/ntf-2015-094-gef-fund-en.pdf>;

<https://www.cbd.int/financial/gef/cbd-gef-7-funds-needsquestionnaire-en.xls>

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